

**Contract #0000000000000000000059180**

**MEMORANDUM OF UNDERSTANDING**

**Between the**

**INDIANA STATE BUDGET AGENCY**

**and the**

**FAMILY AND SOCIAL SERVICES ADMINISTRATION**

This Memorandum of Understanding (“MOU”) is entered into by and between the Family and Social Services Administration (“FSSA”) and the Indiana State Budget Agency (“SBA”). In consideration of those mutual undertakings, the parties agree as follows:

**WHEREAS**, SBA, an agency of the Office of Management and Budget (“OMB”), is designated by OMB to accept and administer funds from the federal American Rescue Plan (ARPA) Act, sections 602 and 603 of the Social Security Act as added by section 9901 of the American Rescue Plan Act of 2021 (the “Act”), Pub. L. No. 117-2 (Mar. 11, 2021); and

**WHEREAS**, FSSA has authority under Title 12 to administer various social service programs for the State, including Healthy Indiana Plan (HIP), Children’s Health Insurance Program (CHIP), Temporary Assistance to Needy Families (TANF), Supplemental Nutrition Assistance Program (SNAP), and Medicaid; and

**WHEREAS**, the parties enter into the MOU to memorialize their understanding of the mutual advantages of this cooperative relationship.

**NOW, THEREFORE**, the parties agree to the terms and conditions set forth below:

**I. Purpose**

The purpose of this MOU is to memorialize an agreement to reimburse FSSA for necessary expenditures related to the ARPA Act, as more fully set forth in Attachment A, (the “Program”) and provide guidance to FSSA for the purpose of complying with federal requirements of the Program.

**II. Grant Information**

- a. Assistance listing (CFDA) number: 21.027
- b. Federal Award Name: Coronavirus State Fiscal Recovery Funds (CSFRF)
- c. Federal Award Identification Number: N/A
- d. Federal Award Date: March 11, 2021
- e. Name of Federal Agency: U.S. Department of the Treasury
- f. Total Amount of Funds obligated to sub-state agency:
  - **FY 2022**
    - Mental Health Grants: \$50,000,000
  - **FY 2023**
    - Mental Health Grants: \$50,000,000
- g. Statutory Uses:
  - To respond to the COVID-19 public health emergency or its negative economic impacts;

- To respond to workers performing essential work during the COVID-19 public health emergency by providing grants to eligible employers that have eligible workers who performed essential work;
  - For the provision of government services, to the extent the reduction in revenue of such recipient due to the COVID-19 public health emergency, relative to revenues collected in the most recent full fiscal year of the recipient prior to the emergency; and
  - To make necessary investments in water, sewer or broadband infrastructure.
- h. Period of time sub-state agency can obligate funds:
- **Federal Covered Period**  
The Federal covered period to obligate CSFRF funds is March 3, 2021, through December 31, 2024. See also State Covered Period (State Appropriation). Funds must be expended by December 31, 2026.
  - **State Covered Period (State Appropriation)**  
The funds listed in the MOU were appropriated by the Indiana General Assembly in P.L. 165-2021 (HEA 1001). FY 2022 and FY 2023 appropriations expired on June 30, 2023. Any funds not obligated by June 30, 2023, will revert to SBA CSFRF Fund.
- i. Requirements imposed on the sub-state agency so that the award is used in accordance with Federal statutes and regulations, and the terms and conditions of the award:
- 2 CFR 200, Uniform Administrative Requirement, Cost Principles and Audit Requirements for the Federal Awards.
    - i. The following 2 CFR policy requirements apply to this Assistance Listing:
      1. Subpart A, Definitions
      2. Subpart B, General Provisions
      3. Subpart C, Pre-Federal Award Requirements and Contents of Federal Awards
      4. Subpart D, Post Federal Award Requirements
      5. Subpart E, Cost Principles
      6. Subpart F, Audit Requirements
    - ii. The following 2 CFR policy requirements apply to this assistance listing:
      1. 2 CFR Part 25, Universal Identifier and System for Award Management
      2. 2 CFR Part 170, Reporting Subaward and Executive Compensation Information
      3. 2 CFR part 180, OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Non-Procurement)
  - The following 2 CFR policy requirements are excluded from coverage under this Assistance Listing:
    - i. For 2 CFR Part 200, Subpart C, the following provisions do not apply to the CSFRF program:
      1. 2 CFR 200.204 Notices of Funding Opportunities
      2. 2 CFR 200.205 Federal Awarding Agency review of merit proposal
      3. 2 CFR 200.210 Pre award costs
      4. 2 CFR 200.213 Reporting a determination that a non-Federal entity is not qualified for a Federal award.
    - ii. For 2 CFR 200, Subpart D, the following provisions do not apply to the CSFRF program:
      1. 2 CFR 200.308 Revision of budget or program plan

2. 2 CFR 200.309 Modifications to period of performance
  3. 2 CFR 200.305 (b)(8) and (9) Federal payment
- j. Compliance with Title VI of the Civil rights Act of 1964
  - k. Compliance with CSFRF required programmatic data requirements and performance indicators
  - l. Administrative Expenses
    - Recipients may use funds for administering the CSFRF program, including costs of consultants to support effective management and oversight, including consultation for ensuring compliance with legal, regulatory and other requirements. Further, costs must be allowable under 2 CFR 403, and be reasonable and allocable as outlined in 2 CFR 200.404 and 2 CFR 405.
  - m. Match requirements: None.
  - n. Indirect Cost: None.

### **III. Term**

The MOU shall be in effect from July 1, 2021 through December 31, 2026.

When the Director of SBA makes a written determination that funds are not appropriated or otherwise available to support continuation of performance of this MOU, the MOU shall be canceled. A determination by the Director of SBA that funds are not appropriated or otherwise available to support continuation of performance shall be final and conclusive.

### **IV. Obligation of the Parties**

The parties agree to the following obligations under this MOU:

- a. SBA agrees to provide FSSA from the ARPA Act an amount not to exceed the amount listed in Section II(f), which may be amended from time to time. FSSA agrees to return to SBA any unused funds.
- b. FSSA will follow federal expenditure procedures as outlined in the State Board of Accounts manual.
- c. FSSA acknowledges that it is a Sub-State Agency as the term is used in the State Board of Accounts manual.
- d. FSSA will administer the Program in accordance with federal laws and guidance of the ARPA Act, U.S. Treasury guidance and policies, OMB and SBA policies and procedures, State Board of Accounts guidance on administration and tracking of federal COVID funds, and any policies or procedure implemented by FSSA for administration of the program. FSSA's responsibilities to administer the Program include:
  - Provide communications and monthly reports to the Director of the OMB and the Director of SBA regarding the status of the Program, including a detailed breakdown of the expenditures reimbursed under the Program and an explanation of why those expenditures were necessary to respond to the COVID-19 public health emergency.
  - SBA will assist FSSA as necessary with the administration of the Program.

### **V. ARPA Reporting Requirement**

- a. FSSA agrees to provide SBA with timely information that allows SBA to comply with reporting requirements of the ARPA Act. This includes interim report, Program and Expenditures Reports, and Recovery Plan Reports.
- b. FSSA agrees to provide information by deadlines established by SBA.

- c. FSSA agrees to collect data from sub-awards and contracts as required by SBA.

## **VI. Records Retention**

Agency agrees to maintain records to support compliance with the ARPA Act. This may include, but is not limited to, copies of the following:

- a. General ledger and subsidiary ledgers used to account for: (a) the receipt of ARPA Act payments and (b) the disbursements from such payments to meet the eligible expenses related to the public health emergency due to COVID-19;
- b. Budget records;
- c. Payroll, time records, and human resource records to support costs incurred for payroll expenses related to addressing the public health emergency due to COVID-19;
- d. Receipts of purchases made related to addressing the public health emergency due to COVID-19;
- e. Contracts and subcontracts entered into using APRA Act payments and all documents related to such contracts;
- f. Grant agreement and grant subaward agreements entered into using APRA Act payments and all documents related to such awards;
- g. All documentation of reports, audits, and other monitoring of contractors, including subcontractors, grant recipients, and subrecipients;
- h. All documentation supporting the performance outcomes of contracts, subcontracts, grant awards and grant recipient subawards;
- i. All internal and external email/electronic communications related to use of ARPA Act payments; and
- j. All investigative files and inquiry reports involving ARPA Act payments

FSSA will maintain records for a period of five (5) years after final payment is made using ARPA Act monies. These record retention requirements are applicable to all prime recipients and their grantees, subgrant recipients, contractors, and other levels of government that received transfers of ARPA Act payments from prime recipients.

FSSA agrees to provide SBA, its contractors, and State Board of Accounts full access to the FSSA's records and financial statements, as necessary to determine compliance with the Federal award for audit purposes.

## **VII. SEFA Reporting**

FSSA will identify Emergency Act expenditures separately on its Schedule of Expenditure of Federal Awards (SEFA).

## **VIII. Modifications**

The parties may modify this MOU by a written, mutual, signed amendment.

## **IX. Transfer of Funds to Another State Agency**

FSSA is prohibited from transferring CSFRF funds to another state agency without prior approval of the State Budget Agency.

**X. Notices**

Any notice required or permitted to be given under this MOU shall be sent to the following:

State Budget Agency  
Attn: Lisa Acobert  
State House Room 212  
200 W. Washington Street  
Indianapolis, IN 46204  
E-mail: [LiAcobert@sba.in.gov](mailto:LiAcobert@sba.in.gov)

Family and Social Services Administration  
Attn: Jay Chaudhary, Director  
Division of Mental Health and Addiction  
402 W Washington St IGCS Room W353  
Indianapolis, IN 46204  
E-mail: [jay.chaudhary@fssa.in.gov](mailto:jay.chaudhary@fssa.in.gov)

**XI. Termination or Suspension**

This MOU may be terminated or suspended by either party if the other party has failed to comply with the terms of this MOU, or for any reason if such termination is in the best interest of the terminating agency, upon thirty (30) days written notice. The notice of termination or suspension shall state the reasons for termination or suspension. Regardless of the reason for termination or suspension, the parties will be compensated for services properly rendered prior to termination or suspension of this MOU.

**XII. Entire Agreement**

This MOU constitutes the entire agreement of the parties and may only be amended by the written mutual consent of the parties.

**XIII. Non-Collusion and Acceptance**

The undersigned attests, subject to the penalties for perjury, that he/she is the agreeing party, or that he/she is the representative, agent, member or officer of the agreeing party, that he/she has not, nor has any other member, employee, representative, agent or officer of the division, firm, company, corporation or partnership represented by him/her, directly or indirectly, to the best of his/her knowledge, entered into or offered to enter into any combination, collusion or agreement to receive or pay, and that he/she has not received or paid, any sum of money or other consideration for the execution of this MOU other than that which appears on the face of this MOU.

**In Witness Whereof**, the SBA and FSSA have, through their duly authorized representatives, entered into this MOU. The parties, having read and understood the foregoing terms of this MOU, do by their respective signatures dated below agree to the terms.



Administration



Title: Director

Title: State Budget Director

Date: 11/18/2021 | 06:26 PST

Date: 11/23/2021 | 09:22 EST

Electronically Approved by: State Budget Agency	
By: Zachary Q. Jackson, Director	(for)

## Attachment A

The Division of Mental Health and Addiction (DMHA) HA has identified the following uses of funding in collaboration with state stakeholders. With the implementation of these initiatives there will be 1) payroll costs, 2) administrative expenses, 3) contracts with 3<sup>rd</sup> parties, and/or 4) grants to 3<sup>rd</sup> parties. DMHA is building a financial tracker so all parties can track spending. There will be more uses and projects identified as conversations evolve. As new or modified initiatives are identified these will be communicated to SBA and the MOU will be modified as appropriate.

1. 988 start-up costs: Procurements to establish the federally mandated 988 Crisis Helpline call center, to provide suicide prevention services statewide. Start-up costs will include software, telephony platforms, and staffing of the call center.
2. Competency Restoration Pilot: The waiting list for admission into the State Psychiatric Hospitals has a negative impact on every part of the system in every part of the state. DMHA plans on piloting a Restoration Unit at a private inpatient unit for appropriate forensic ICST patients.
3. Assessment and Technical Assistance: DMHA proposes to study and provide technical assistance for several key areas.
4. Mobile Psychiatric Medication Units for Homeless Individuals: A partnership with the City of Indianapolis to increase the accessibility of psychiatric medication for individuals experiencing homelessness.
5. Community Catalyst Grant Program: DMHA will reserve some of the funding for a competitive RFP process open to communities and organizations throughout the state.
6. Workforce Initiatives: Workforce shortages remain the single largest barrier to access to behavioral health services. DMHA is planning a suite of programming designed to improve retention, recruitment, and quality of a skilled behavioral health workforce.
7. CIT Program Implementation: Crisis Intervention training is an evidence based best practice to improve law enforcement interaction with individuals experiencing mental health crises.
8. Transportation: Transportation is a barrier to accessing treatment and recovery supports in many rural areas. DMHA will work with local groups to solve transportation challenges, including purchasing vehicles and partnering with ride-sharing companies.
9. School Coaching Model: DMHA plans to pursue an initiative to implement a school-based mental health coaching model in schools across the state.

## MEMORANDUM OF UNDERSTANDING

### Amendment #1

#### Contract # 000000000000000000059180

This is the First Amendment to the Memorandum of Understanding (the "MOU") entered into by and between the Indiana State Budget Agency ("SBA") and the Family and Social Services Administration ("FSSA")

In consideration of the mutual undertakings and covenants hereinafter set for, the parties agree as follows:

- 1) Pursuant to Section VIII Modification of the MOU, the following are amendments to the MOU:
  - a. For purposes of reporting and tracking, the following appropriations from P.L. 165-2021 are considered revenue loss funds under guidance issued by the U.S. Department of Treasury (SLFRF Final Rule FAQ dated 07/27/2022, sections 13.14 and 13.15). <https://home.treasury.gov/system/files/136/SLFRF-Final-Rule-FAQ.pdf>:  
Mental Health Grants \$100,000,000
  - b. For appropriations described in section 1) the following 2 CFR 200 references apply:  
Subpart D Post Federal Award Requirements
    - 200.300 Statutory and national policy requirements.
    - 200.302 Financial management.
    - 200.303 Internal controls.
    - 200.328 Financial reporting.
    - 200.329 Monitoring and reporting program performance.
    - Record Retention and Access (2 C.F.R. 200.334 – 200.338)
      - o 200.334 Retention requirements for records.
      - o 200.335 Requests for transfer of records.
      - o 200.336 Methods for collection, transmission, and storage of information.
      - o 200.337 Access to records.
      - o 200.338 Restrictions on public access to records.  
Subpart E – Cost Principles
    - 200.400(a) - (c), and (e) Policy guide.
    - 200.403(a), (c), (d), (g), and (h) Factors affecting allowability of costs.
    - 200.404(e) Reasonable costs.
  - c. For the appropriations described in Section 1), the agency should not deviate from its established practices and policies regarding the incurrence of costs, and that it should expend and account for the funds in accordance with laws and procedures for expending and accounting for the recipient's own funds. Recipients' use of revenue replacement funds remains subject to the other applicable requirements of the SLFRF program, including among other things the deadlines for obligations and expenditures and the application of federal antidiscrimination requirements.

All matters set forth in the original Contract and not affected by this Amendment shall remain in full force and effect.



**In Witness Whereof**, Family and Social Services Administration and the Indiana State Budget Agency have, through their duly authorized representatives, entered into this Memorandum. The parties, having read and understood the foregoing terms of this Memorandum, do by their respective signatures dated below agree to the terms thereof.

Family and Social Services Administration

Indiana State Budget Agency

By: [Redacted Signature]

By: [Redacted Signature]

Title: Director

Title: State Budget Director

Date: 3/21/2023 | 13:00 PDT

Date: 3/21/2023 | 16:03 EDT

Electronically Approved by: State Budget Agency	
By: Zachary Q. Jackson, Director	(for)

**MEMORANDUM OF UNDERSTANDING**

**Amendment #2**

**Contract # 000000000000000000059180**

This is the Second Amendment to the Memorandum of Understanding 51980 (the "MOU") entered into by and between the Indiana State Budget Agency ("SBA") and the Family and Social Services Administration (FSSA).

In consideration of the mutual undertakings and covenants hereinafter set for, the parties agree as follows:

1. Pursuant to Section VIII Modifications of the MOU and Section 284 of HEA 1001 (2023), Section II (h) Grant Information, Period of Time Sub-State Agency Can Obligate Funds, State Covered Period is amended to read the funds listed in the MOU were appropriated by the Indiana General Assembly in P.L. 165-2021 (HEA 1001). As provided in Section 284 of HEA 1001 (2023) and under the terms of the CSFRF program guidelines funds must be obligated by December 31, 2024, and expended by December 31, 2026.

**Non-Collusion and Acceptance**

The undersigned attests, subject to the penalties for perjury, that he/she is the agreeing party, or that he/she is the representative, agent, member or officer of the agreeing party, that he/she has not, nor has any other member, employee, representative, agent or officer of the division, firm, company, corporation or partnership represented by him/her, directly or indirectly, to the best of his/her knowledge, entered into or offered to enter into any combination, collusion or agreement to receive or pay, and that he/she has not received or paid, any sum of money or other consideration for the execution of this MOU other than that which appears on the face of this MOU.

**All matters set forth in the original Contract and not affected by this Amendment shall remain in full force and effect.**

**THE REMAINDER OF THIS PAGE HAS BEEN INTENTIONALLY LEFT BLANK.**

**In Witness Whereof**, the SBA and FSSA have, through their duly authorized representatives, entered into this Memorandum. The parties, having read and understood the foregoing terms of this Memorandum, do by their respective signatures dated below agree to the terms thereof.

Family and Social Services Administration

Indiana State Budget Agency

By

By

Title: Director

Title: State Budget Director

Date: 5/31/2023 | 10:37 PDT

Date: 5/31/2023 | 15:25 EDT

Electronically Approved by: State Budget Agency	
By: Zachary Q. Jackson, Director	(for)