



Eric J. Holcomb, Governor
Terry J. Stigdon, MSN, RN, Director
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August 31, 2018

Lynwood McDaniel, Jr. M.P.P.
Social Services Program Specialist
Office of Community Services
U.S. Department of Health and Human Services
330 C Street, S.W. 5th Floor
Washington, DC 20201

Dear Mr. McDaniel,

Enclosed is the Social Services Block Grant Intended Use State Plan for the State of Indiana. This report covers Federal fiscal year 2019, which runs from 10/01/2018 through 09/30/2019. This Intended Use Plan, along with the Pre-Expenditure Report, are also being submitted through the SSBG Online Data Portal. This letter of transmittal is being submitted to satisfy the requirements of 42 U.S.C. § 1397(c); 42 U.S.C. § 1397(d) and 42 U.S.C. § 1397(e).

The SSBG Grant Award Letter should be sent to:

Terry J. Stigdon, Director
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Email: Terry.Stigdon@dcs.IN.gov
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The SSBG Program Contact person is:

Heather Kestian
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If you have any questions or require additional information, please contact Heather Kestian, the SSBG Program Contact, at the phone number listed above.

Sincerely,

Terry J. Stigdon
Director
Indiana Department of Child Services

Enclosures

Cc: Heather Kestian
Terri Van Zant



Protecting our children, families and future

Social Services Block Grant (SSBG)

Indiana

FFY 2019 Intended Use State Plan

2019 SSBG Intended Use State Plan

I. State / Federal Fiscal Year Covered by the Pre-Expenditure Report

For purposes of the SSBG program calendar, the State of Indiana observes the Federal fiscal year of October 1, 2018 through September 30, 2019.

II. Letter of Transmittal

The preceding cover letter is written to the Program Specialist, Office of Community Services, and includes the Indiana SSBG program contact person, the Indiana Official who is to receive the SSBG grant award, and complete addresses, phone numbers, and fax numbers for these individuals.

III. Public Inspection of Pre-Expenditure Report

A meeting involving all State agencies was held in preparation of the Pre-Expenditure report and a Public Notice was placed in Indiana newspapers notifying citizens of the document's availability, including an address where public comments may be mailed in accordance with 42 U.S.C. § 1397 (c). This notice is attached to this report as **Appendix A**.

IV. The Narrative of Pre-Expenditure Report

A. Administrative Operations

1. State Administrative Agency – Mission, Vision, and Values

The State Administrative Agency for the Social Services Block Grant is the Indiana Department of Child Services, the mission of which is, "The Indiana Department of Child Services engages with families and collaborates with state, local and community partners to protect children from abuse and neglect and to provide child support services."

The vision of the Indiana Department of Child Services is "Indiana children will live in safe, healthy and supportive families and communities."

The values of the Indiana Department of Child Services are as follows:

RESPECT – Every person has value, worth and dignity

SAFETY – Every child has the right to be free from abuse and neglect

STABILITY – The best place for children to grow up is with their own families

PERMANENCY – Children and older youth have the right to permanency

RESPONSIBILITY – Parents have the primary responsibility for the care and safety of their children

ACCOUNTABILITY – Each person is accountable for outcomes and one's own

growth and development

CONTINUOUS IMPROVEMENT' – The agency will engage in continuous improvement efforts to improve outcomes for children and families

2. State Entities Allocated SSBG Funds & Services Supported by Each State Entity

The State of Indiana allocates SSBG funds to the Indiana Department of Child Services, the Indiana Criminal Justice Institute, the Indiana State Department of Health, the Indiana Department of Correction, and the Family and Social Service Administration (FSSA) Division of Mental Health & Addiction, FSSA Division of Disability and Rehabilitative Services, FSSA Division of Aging, and FSSA Division of Family Resources. These agencies coordinate on development of the SSBG State Plan, the Pre-Expenditure Report, quarterly grant monitoring and reporting, and the Post-Expenditure Report and grant close-out. The Indiana Department of Child Services is the lead agency in these efforts.

Indiana Department of Child Services (DCS)

DCS will utilize SSBG funds to provide an array of services to children involved, or at risk of involvement, in the child welfare system and their families.

- Structured Analysis Family Evaluation Home Study: Indiana will use SSBG funds in order to provide education and training to foster home licensing and adoptive home study staff on the Structured Analysis Family Evaluation (S.A.F.E.) home study methodology. This home study methodology is being implemented as Indiana's state approved home study model to effectively evaluate families for foster care licensure and adoption preparation. S.A.F.E. is built upon social work practice values emphasizing respectful engagement to learn more about the families. By implementing this methodology, it will help further the objective of achieving more stable placements for foster youth in the state. This level of funding will permit Indiana to continue to provide this training to new staff who require this certification to implement their duties, and would provide consistency across Indiana for licensure of Indiana's foster family homes.
- Museum Membership Program: Indiana has established a successful program with the Indianapolis Children's Museum to offer payment of membership fees to foster children and their foster parent family to encourage the well-being and educational opportunities of the children in care. These annual memberships allow unlimited visits to a nationally-recognized museum and educational resources for youth and families. Foster families have benefited for the past several years from the opportunity to experience this attraction, many of whom would not have sought the chance to visit based on the standard membership/entrance fees.
- Foster Parent Retention/Recruitment/Appreciation/Support Groups: A primary use of funding toward retention of foster parents will be used to plan and implement regional foster parent appreciation/ recognition events to highlight strengths and

accomplishments of foster parents and say “thanks for all you do”. These events can also be structured to include community education, recruitment opportunities, and regional appreciation events. These appreciation events are generally 2-4 hours in duration and structured to include a meal, family and child friendly activities, an hour of foster parent training, the distribution of awards and small appreciation gifts, and important networking opportunities for foster parents. The awards generally consist of a certificate or plaque of recognition for various accomplishments, such as years of service, mentoring of newer foster parents, availability for respite or emergency care, etc. Regional foster parent recognition/support events utilizing community partnerships and regional communities in order to recognize foster parents as critical partners of the agency and celebrating their dedication is critical in the retention and recruitment of foster families.

- National Electronic Interstate Compact Enterprise: Indiana will use SSBG funds to participate in the National Electronic Interstate Compact Enterprise (“NEICE”) through the American Public Human Services Association. The NEICE project is to establish a database system to streamline child welfare processes when a child or youth is being placed for foster care or privately adopted out of state. The goal of NEICE is to enable each State to process Interstate Compact for the Placement of Children (ICPC) cases in their own child welfare system and use NEICE to assist with the interstate movement of case data. This will allow for more effective provision of child welfare services around the country and will provide a secure mechanism for transmitting important data between states to allow for appropriate decision-making and safe placement on individual child welfare cases.
- Child Advocacy Centers: Child Advocacy Centers are neutral, safe and child appropriate locations where multidisciplinary teams investigate disclosures of child sexual or severe physical abuse. These multidisciplinary teams are made up of law enforcement officers, child protective service personnel, medical and mental health, victim assistance, prosecutors, and advocates. Children who have disclosed abuse are interviewed by a forensic interviewer at such centers. The multidisciplinary approach to child abuse assessments is designed with the intention of reducing the secondary trauma associated with the disclosure and subsequent investigation of abuse.
- Client Federal Eligibility Data Sharing: This initiative will facilitate referrals between Healthy Families Indiana and Women, Infants, and Children’s (WIC) Program eligibility and service offerings. The coordination of these outreach efforts, through the data sharing procedures outlined below, will enable each program to more effectively enroll eligible program participants and facilitate the timely provision of services. Costs relative to this initiative includes development and then ongoing weekly exchanges of data.
- Cross Systems Care Coordination: Cross Systems Care Coordination include a system of care that is comprehensive, incorporating a broad range of services and

supports, individualized, provided in the least restrictive, appropriate setting coordinated at the system and service delivery levels. The services involve youth and families as full partners and emphasize early identification and intervention. Core values of a system of care are that services are child centered, family driven, community based, and culturally competent.

The services provided include cross-system coordination, case management, safety and crisis planning, comprehensive strength-based discovery and assessment, activities of daily living training, assistance to the FCM in the facilitation of the child and family team process, facilitation of the family team process for probation cases and family and child centered care.

This service is based on the belief that children and their families are remarkably resilient and capable of positive development when provided with community-centered support, truly defined by what is in the best interest of the child. It is meant to provide a single comprehensive system of care that allows children and families in the child welfare and/or juvenile probation system(s) with complex needs to receive culturally competent, coordinated, and uninterrupted care. The services provided to the clients and covered in the per child allotment rate will include all services necessary to meet the child's safety, permanency and well-being needs and address criminogenic risk factors.

Indiana Criminal Justice Institute (ICJI)

SSBG funds will be used by the Indiana Criminal Justice Institute for emergency shelter operations at twenty-nine (29) domestic violence shelters which provide emergency shelter, congregate meals, and transportation (for residents and children to medical and legal appointments, interviews, etc.) for victims of domestic violence. From 7/1/17 to 6/30/18, shelters provided 301,612 bed nights to victims of domestic violence and their dependents. Performance reports indicate that 1,126 victims of interpersonal violence were turned away from emergency shelter due to lack of beds during this past year.

ICJI awards SSBG dollars to supplement federal Family Violence and Prevention Services Act (FVPSA) funds and state Domestic Violence Prevention and Treatment (DVPT) dollars to fund the most comprehensive efforts to meet the needs of domestic violence victims in the state of Indiana. FVPSA and DVPT funds are awarded and allocated for different costs than SSBG, such as personnel costs necessary to deliver services and outreach and prevention efforts. The SSBG is the only funding that the shelters receive from ICJI that is dedicated solely toward the support of emergency shelter operations.

SSBG will continue to be awarded for emergency shelter operations in FFY 2019, as recommended by the Governor-appointed Domestic Violence Prevention and Treatment Council. These domestic violence funds are also strategized to help meet the goals of ICJI's federal formula funding from the Office on Violence against Women in the area of reducing domestic violence related homicides. The provision of safe,

emergency shelter victims is an effective intervention utilized to reduce domestic violence related fatalities. In addition, a shelter's capacity to meet the basic needs of victims, such as food and transportation, increases the likelihood that clients will access more services, which greater reduces their likelihood to be killed by their abusers.

SSBG funding and other domestic violence funds are leveraged to not only help meet the goals of other programs, but to meet one of SSBG's broad statutory goals of "preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interest or preserving, rehabilitation, or reuniting families."

Indiana State Department of Health (ISDH)

The ISDH Division of HIV/STD/Viral Hepatitis utilizes SSBG funds under the expense category of Health-Related Services to support HIV Care Coordination. The mission of this specialized case management program is to assist those living with HIV in coordination of a wide variety of health and social services. The program consists of goal-oriented activities that serve to locate, facilitate access to, and monitor the full range of HIV-related services in cooperation with the client. The program encourages the most cost-effective use of medical and community resources and promotes the overall well-being of the individual, while respecting cultural diversity, emphasizing confidentiality, and striving to ensure the client's freedom of choice and self-determination. These comprehensive and compassionate services are rendered in a safe, secure, and non-judgmental environment and are provided without cost to the client.

Indiana's HIV Care Coordination Program is the result of recommendations made by the HIV/AIDS Health and Human Services Planning Project for Indiana which was conducted in 1989 and 1990. It was determined in the planning process that "care coordination services are the foundation upon which all other HIV/AIDS health and human service programs are built." The plan identified the need for regional care coordination on a statewide basis, site communication, and the standardization of data collection and intake procedures. Through a community baseline viral load report in 2011 and a second analysis performed in 2012, the Division confirmed that those individuals dually enrolled in the HIV Care Coordination Program and the HIV Medical Services Program are healthier than the larger HIV-positive community in Indiana.

Currently, the Care Coordination Program receives funding through the Social Services Block Grant (SSBG), the CDC Ryan White Care Act, and the Indiana HIV/AIDS Services appropriation. These state dollars are allocated to ISDH through the State Budget Agency. ISDH then contracts with specific entities in targeted communities throughout the state to offer Care Coordination services.

The SSBG funding received for this program partially supports one large contract in South Bend. Related funds supported the balance of this contract as well as the remaining contracts serving the state's other 11 regions. Any eligible person may

receive services until such time as they are no longer necessary. Though there are no income or asset restrictions on the program participants, the program tends to target those with very limited resources. More than 40% of its enrollees earn less than \$10,000 annually. HIV/STD/Viral Hepatitis will provide integrated health services and wellness services in Northern Indiana in communities where we have the most incidence of these diseases. We will work with our local care sites to provide needed health and wellness services and programming and report the services provided annually to DCS. All of these diseases are preventable with the correct information given to the consumer. Understanding these issues will help families have better health outcomes and live healthier lives.

Care Coordination is a multi-step process which ensures coordination and timely access to a range of appropriate medical and social services. This process includes, at a minimum, the following activities:

- Client Identification and Recruitment;
- Client Orientation, Initial Interview, and Assessment;
- Development of Individualized Care Plan;
- Monitoring and Evaluation of Individualized Care Plan;
- Client Status Maintenance;
- Crisis Intervention Activities; and
- Termination and Discharge Planning.

Family Planning Services: The Indiana State Department of Health (ISDH) utilizes SSBG funds annually in the expenditure category of Family Planning Services. Through the ISDH Division of Maternal and Child Health, the Indiana Family Health Council, Inc. (IFHC) operates as Indiana's "Family Planning Administrator" statewide. IFHC has updated its reproductive Health Needs Assessment to identify the groups who are at greatest risk for adverse reproductive health outcomes in Indiana; this assessment is updated every three years, most recently in 2018. According to the most recent reproductive Health Needs Assessment, the target counties for those most in need contain 65% of teens and 73.9% of the women under 100% of poverty who need family planning services. The area contains 92.5% of the black population and 76.8% of the Hispanic population in Indiana. Based on the Needs Assessment, IFHC will target: adolescents and their families; women at risk for unintended pregnancy; families needing child spacing; underserved communities; and minority populations where racial disparities in reproductive health exist. Of the locations we will fund in year 1 of the Title X grant, those locations will target 61% of the state's population who are in need of contraceptive services (age 13-44) and are below federal poverty level, IFHC contracts with Indiana non-profit and/or public health service providers to provide culturally-competent, comprehensive family planning medical, educational, and counseling services. IFHC initiated a competitive application process to ensure sites are funded in counties with the highest need for services. Currently funding counties include Allen, Daviess, Delaware, Dubois, Elkhart, Floyd, Grant, Jackson, Knox, Kosciusko, Lake, LaPorte,

Lawrence, Madison, Marion, Monroe, Owen, Pike, Putnam, St. Joseph, Vanderburgh, Vigo, Wayne, and Warrick. These sites serve all 92 Indiana counties. Services provided by sub-grantee delegate agencies statewide are medical examinations and physical assessments, laboratory services, STD/HIV testing, all U.S. Department of Health and Human Services FDA-approved methods of contraception, adolescent services, counseling, education, and community outreach.

IFHC looks forward to continued collaboration for those most in need with ISDH and the Indiana Family and Social Services Administration and Indiana Department of Child Services for the Indiana Family Planning Partnership. This partnership combines the benefits and coverage of the Title X, Title V, SSBG Title XX and TANF Family Planning Funds. In addition, IFHC and the ISDH State Laboratory have partnered for more than twenty-five years of Chlamydia/Gonorrhea Testing Program which also benefits the Indiana Family Planning Partnership. It is important to note, IFHC does not use any State of Indiana family planning funds to support an agency that performs abortion services.

Indiana Department of Correction (IDOC)

The Indiana Department of Correction will use 2019 SSBG funds to provide transitional services to juveniles (eligible youth between the ages of 12-21) who are returning to the community from a state juvenile correctional facility, or who are under supervision in the community. This is done in accordance with two of the stated SSBG goals: achieving or maintaining self-sufficiency, including reduction or prevention of dependency; and preventing or reducing inappropriate institutional care by providing for community-based care, home-based care or other forms of less intensive care.

In keeping with correctional best practices and the US Department of Justice Office of Juvenile Justice Programs, the Department's goal is to maintain each juvenile safely in the least restrictive setting possible for that individual. Through SSBG funding, juveniles who no longer require housing in a secured correctional facility are moved to a contracted transitional residential "step-down" placement in or near the individual's home community, or to the individual's home, according to treatment needs. Placement in the community allows access to positive family and social supports as well as community-based services that can be continued as needed on an outpatient basis after involvement with the criminal justice system concludes. Services are provided at the transitional placement based on an individual's assessed need, and may include family reunification, substance abuse treatment, mental health treatment, mentoring, education/vocation programs, and post-release placement assistance. For individuals who are able to return to their home following placement in an IDOC juvenile facility, community-based or in-home services may be provided in accordance with the treatment plan. Community-based services may also be provided to those juveniles on community supervision who require additional supports to maintain their placement in the community and prevent a return to secure confinement.

As the IDOC's population for SSBG funding is juveniles, the emphasis on employment training falls primarily on education. Within facilities, vocational and post-secondary education is available for those who have received a TASC or high school diploma, as is programming focused on portable job skills and employment preparation. For those transitioning the community who have not yet received a diploma or its equivalent, the preference is reentry into the local public school system. Provision of transition services through SSBG funding allows individuals to continue receiving educational and employment preparation services necessary for successful reentry and independence.

IDOC estimates that 360 individuals will receive services funded in whole or in part through the 2019 SSBG grant. Based on historical data, it is expected that 225 individuals will require short-term or long-term placement services (averaging 45 and 90 days in transitional placement, respectively), and 135 individuals will require community-based support services.

FSSA Division of Mental Health and Addiction (DMHA)

The FSSA Division of Mental Health & Addiction will use SSBG funds, along with funds from the Center for Mental Health Services Block Grant (CMHS) and State General Funds, to provide services for at least 28,000 children and adolescents at risk due to a serious emotional disturbance (SED).

To be eligible for services funded with these SSBG, CMHS, and State funds, SED children must be from families with household incomes at or below 200% of the poverty level. The services will be available statewide and will be delivered by Community Mental Health Centers and Provider Service Networks that are Certified by the State of Indiana DMHA and accredited by nationally recognized accreditation bodies.

FSSA Division of Disability and Rehabilitation Services (DDRS)

The FSSA Division of Disability and Rehabilitation Services (DDRS) currently uses SSBG funds to support services for the following populations: deaf and hard-of-hearing individuals, developmentally disabled individuals and children (ages 0 -3) identified with cognitive developmental delay.

Expenses for Interpreters, intervention and case management services are funded by SSBG, as well as adult day programs for eligible developmentally disabled (DD) individuals who live in long-term care facilities. Pre-vocational and sheltered workshop activities, as well as supported employment, are available to persons of all ages including those in long-term care facilities and assisted living situations. Early intervention services are provided to families with children with developmental disabilities, including family education and training, therapies, social work services, and assistive technology. Case management services are provided to eligible members of the deaf/hard of hearing community.

There are no income-level restrictions for participation in the DDRS programs that are funded in part with SSBG funds – the Programs are entitlement programs. Eligibility for the services in these programs is based on Program-specific criteria used to determine development delay (First Steps), intellectual and developmental disabilities (BDDS) and deaf/hard of hearing disabilities (DHHS). All DDRS programs funded in part with SSBG funding are available state-wide, in all 92 counties.

FSSA Division of Aging

SSBG funding will be used by the Division of Aging (DA) to fund a compilation of in-home and community-based services targeted for low-income older adults and persons with disabilities. The Area Agencies on Aging (AAAs), in their roles as Aging and Disability Resource Centers (ADRCs), received over 230,000 requests for information and assistance from July 2017 – June 2018. Through these contacts, the AAAs have identified gaps in services including, but not limited to, home-delivered meals, accessible housing, and personal care services. The additional SSBG funding will enable the AAAs to assist eligible Hoosiers in meeting their basic needs. Funds will be distributed to all sixteen AAAs through a funding formula.

Services to be Provided include Home-based services and Health-related services are noted below:

Services provided for older adults or persons with disabilities in their own homes or apartments, such as homemaker, home-health aide, attendant care, home-delivered meals, and case management, also include the following:

- Attendant Care
- Case Management
- Environmental Modifications
- Handy Chore
- Home-Delivered Meals
- Home Health Aide
- Home Health Supplies
- Home Mod-Maintenance
- Homemaker
- Nursing
- Personal Response Systems Installation
- Personal Response Systems Maintenance
- Respite-Home Health Aide

Community-Based Services to be provided are noted below:

Services provided within the home or community rather than in an institutional setting, such as the following:

- Assisted Transportation
- Congregate Meals
- Adult Day Services
- Other Needed Services

Social Service Counseling
Transportation-Adult Day Services
Transportation
Vehicle Modification

The target population for service delivery is residents of Indiana, who are elderly or disabled and in need of assistive services. Elderly residents are defined as persons who are 60 years of age or older. Disabled persons are defined as persons 59 and under with a medically verifiable physical or mental impairment, which substantially hinders them from engaging in occupations such as employment or homemaking, and meets the aforementioned income guidelines. Specific targeting will focus on those considered to be in financial need and those who can benefit from these services as documented by a case manager.

Some services provided by the Department of Aging, particularly community-based services like information and assistance and transportation, are not attributable to an identified client, so those services are measured in units. Other services such as case management, attendant care, homemaker, congregate and home-delivered meals, etc., are tied to a specific individual that can be distinctly identified. The Department of Aging anticipates serving 19,000 uniquely identified people through SSBG in SFY19, as well as many thousands more through community-based services.

FSSA Division of Family Resources (DFR)

The Indiana Head Start State Collaboration Office, under FSSA Division of Family Resources (DFR), will use SSBG funds for T.E.A.C.H Head Start programs.

T.E.A.C.H. Scholarship for Early Childhood Teachers. Research has clearly indicated that one of the most effective ways to improve at-risk children's health, social emotional and cognitive outcomes is to make high quality early learning experiences available and affordable. One of the most important predictors of positive child outcomes is the education and training levels of the child's teacher.

In Indiana, Head Start is one of the largest providers of free, high quality early learning for low income children. Knowing that the education level of their teachers is critical, Head Start has mandated that 50% of all Head Start Teachers have a bachelor's degree. In order to reach this goal, financial assistance is needed by the programs and the teachers. T.E.A.C.H. Early Childhood® INDIANA has served as an umbrella for a variety of educational scholarship opportunities for professionals working in licensed, registered, or exempt child care centers and homes in Indiana. T.E.A.C.H. Early Childhood® links training, compensation, and commitment in order to improve the quality of care and educational experiences for young children and their families.

Head Start children are from vulnerable families and as such are at high risk for poor school readiness and low academic achievement. In addition to preparing at risk children for school success, Head Start programs support low income families through home visiting, parent engagement and training activities and other essential supports for family success. As such, children and their families are the main beneficiaries of the T.E.A.C.H. project because they are able to bond with a highly qualified teacher who is a specialist in the field, understands child development, and is an expert at supporting children's individualized learning. Early care and education (ECE) professionals also benefit by enhancing their core knowledge and competency level and increasing their teaching effectiveness. In turn, compensation, professional status, and job satisfaction for the individual are increased. This promotes retention of staff, which also greatly benefits families and children by providing critical continuity of care.

B. Fiscal Operations

1. Distribution and Use of Funds

State agencies are asked to submit to the Department of Child Services proposals as to how they will spend SSBG funds, who they will serve with these funds, and an estimation of how many individuals will benefit from their SSBG allocation. Once the proposal is received and approved, a Memorandum of Understanding (MOU) is signed by the head of each cooperating state agency. The MOU spans the entire SSBG program period and spells out the responsibilities of the state agencies relative to program and financial accountability required for the Pre-Expenditure and Post-Expenditure Reports. The DCS financial management team is responsible for collecting program and financial data required to complete these reports and file timely with the Department of Health and Human Services.

2. Description of Financial Operations System

The State of Indiana utilizes PeopleSoft Financial, an Oracle enterprise resource tool, to manage financial operations. The Project Costing module of this system is utilized to establish and monitor Federal grants including SSBG. When an MOU is created for each agency's SSBG allocation, project budgets are also created in PeopleSoft to ensure costs are properly identified under SSBG. Through use of a strict naming convention, costs associated with these projects can be tracked across State agencies through queries and reports, allowing DCS to monitor the progression of each project budget as the grant period progresses.

C. Program Operations

1. SSBG Statutory Goals the State Plans to Achieve

Services funded by SSBG will be directed towards all five broad statutory goals.

- (1) "Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency."
 - Services include improvements to employment, housing and education to children and adults with serious emotional disturbance or mental illness, and appropriate employment training and transition services for juveniles returning to the community from a correctional facility.
- (2) "Achieving or maintaining self-sufficiency, including reduction or prevention of dependency."
 - Services include case management for those living with HIV/AIDS, family planning for low income individuals, transitional services to juveniles who are returning to the community from a state juvenile correctional facility or who are under supervision in the community, elimination of substance abuse and criminal justice involvement for children and adults with serious emotional disturbance or mental illness, interpreter services, community support specialists, and intervention services for deaf and hard-of-hearing individuals, and Head Start training programs.
- (3) "Preventing or remedying neglect, abuse or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating or reuniting families."
 - Services include adult protective services through the FSSA Division of Aging, services provided to children and families through DCS, and for emergency shelter care providing services for victims of domestic violence, such as overnights, meals, and transportation services.
- (4) "Preventing or reducing appropriate institutional care by providing community-based care, home-based care, or other forms of less intensive care."
 - Services include in-home and community-based services for low income older adults and adults with disabilities through the various FSSA divisions, as well as to children and families through DCS, and providing transitional services to juveniles who no longer require housing in a secured correctional facility so they can be in their community and receive community based or in-home services.
- (5) "Securing referral or admission for institutional care when other forms of care are not appropriate or providing services to individuals in institutions."

- Services include access to Community Mental Health Centers for children and adolescents at risk due to serious emotional disturbance through FSSA – Division of Mental Health and Addiction and transitional services to juveniles returning to the community from a state juvenile facility.

2. Characteristics of Individuals to be Served

a) Definitions of Child, Adult and Family

Children are defined as individuals from birth up to 18 years of age.
 Adults are defined as persons age 18 and younger (18 years – 59 years).
 Older persons are defined as those persons being 60 years or older.
 A family is defined as a group of two or more persons related by birth, marriage, adoption, or a legal and/or caretaker relationship who live together.

b) Eligibility Criteria & Income Guidelines

The ISDH Division of Maternal and Child Health, family planning defines low income as at or below 100% of poverty level and utilizes a sliding scale to determine fees.

The FSSA Division of Aging defines low income as 300% of poverty level (HHS Poverty Guidelines). Further eligibility criteria require that the client must be a resident of Indiana; must have a documented determination of service need (a service need exists when the case management provider determines and documents that the client's functional status may be enhanced through the provision of appropriate services); and must meet program income guidelines. If the client fails to meet the income guidelines but has a documented need for service due to abuse, neglect, exploitation, risk of institutionalization, and/or pending discharge from the hospital or nursing facility, the client may qualify for SSBG-funded services as a no means-test client. Otherwise, the client must be determined to be in financial need by the case management provider.

The FSSA Division of Mental Health and Addictions defines low income as households at or below 200% of poverty level.

For purposes of FSSA Division of Disability and Rehabilitation Services, Adult Day Programs for the developmentally disabled are defined as persons over 16 years of age whose disability occurred prior to 18 years of age.

Services provided by the remainder of State agencies have no quantifiable definition of low income.

3. Types of Activities to be Supported

- The Indiana Department of Child Services (DCS) will use SSBG funds throughout Indiana in the following categories:
 - (7) Education and Training - Children's Museum memberships for Foster Families;
 - (11) Foster Care Services – Adults for Foster Parent Retention/Recruitment/Appreciation/Support Groups and S.A.F.E. home study methodology training;
 - (22) Protective Services – Children, for the expansion of the child advocacy centers throughout Indiana, participation in NEICE (ICPC) program and the Client Federal Eligibility Data Sharing project; and
 - (26) Special Services – Youth at Risk for the Cross System Care Coordination program for youth involved in both the probation and the child welfare systems.

These activities address the SSBG Statutory Goals of “preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating or reuniting families; and preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care.”

- The Indiana Criminal Justice Institute (ICJI) will use SSBG funds statewide to support domestic violence shelters in the following service categories:
 - (3) Congregate Meals,
 - (21) Protective Services – Adults
 - (22) Protective Services - Children, and
 - (28) Transportation.

These activities address the SSBG Statutory Goal of “preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating or reuniting families.”

- The Indiana State Department of Health (ISDH) uses SSBG funds to provide case management services to those living with HIV/AIDS. The service category for this activity is (2) Case Management. These activities address the SSBG Statutory Goal of “achieving self-sufficiency, including reduction or prevention of dependency.”
- The ISDH Division of Maternal and Child Health uses SSBG funds to provide family planning services in service category (9) Family Planning Services. These activities address the SSBG Statutory Goal of “achieving self-sufficiency, including reduction or prevention of dependency.”

- The Indiana Department of Correction (IDOC) uses SSBG funds to provide transitional services to juveniles returning to the community from a State juvenile facility. This activity falls into service categories (24) Residential treatment and (26)

Special Services – Youth at Risk. These activities address the SSBG Statutory Goal of “preventing or reducing inappropriate institutional care by providing for community-based care, home-based care, or other forms of less intensive care”, “securing referral or admission for institutional care when other forms of care are not appropriate or providing services to individuals in institutions”, “achieving or maintaining self-sufficiency, including reduction or prevention of dependency”, and “achieving or maintaining self-sufficiency, including reduction or prevention of dependency.”

- The Family and Social Services Administration (FSSA) Division of Mental Health and Addiction (DMHA) uses SSBG funds to serve children and adolescents with serious emotional disturbance. These activities fall into service category (26) Special Services – Youth at Risk. The related SSBG Statutory goals are “achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency”, “achieving or maintaining self-sufficiency, including reduction or prevention of dependency”, and “securing referral or admission for institutional care when other forms of care are not appropriate or providing services to individuals in institutions”.
- The FSSA Division of Disability and Rehabilitation Services (DDRS) uses SSBG funds to support services for deaf and hard-of-hearing individuals, developmentally disabled individuals and children (ages 0-3) identified with cognitive developmental delay through interpreters, community support specialists, and intervention services. The services categories for these activities are (2) Case Management, (5) Day Care – Adult, and (25) Special Services - Disabled. These activities address the SSBG Statutory Goal of “achieving or maintaining self-sufficiency, including reduction or prevention of dependency.”
- The FSSA Division of Aging (DA) uses SSBG funds to provide in-home and community-based services for low income older adults and adults with disabilities. The service categories included in these activities are (2) Case Management, (3) Congregate Meals, (5) Day Care – Adult, (12) Health-Related Services, (13) Home-Based Services, (14) Home-Delivered Meals, (17) Information and Referral, and (28) Transportation. These activities address the SSBG Statutory Goal of “Preventing or reducing inappropriate institutional care by providing community-based care, home-based care, or other forms of less intensive care” and “preventing or remedying neglect, abuse or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating or reuniting families.”
- The FSSA Division of Family Resources (DFR) uses SSBG funds to support Head Start training programs. These activities fall into service category (7) Education and Training Services and address SSBG Statutory Goal of “achieving or maintaining self-sufficiency, including reduction or prevention of dependency.”

The geographic area/location for SSBG services includes the entire State of Indiana. Indiana is divided into 92 counties, covers more than 34,000 square miles, and is home to more than 6.6 million residents. SSBG services in Indiana are available statewide.

V. **Pre-Expenditure Reporting Form**

Indiana's Pre-Expenditure report, detailing the estimated expenditures and the number of recipients by service category for Federal fiscal year 2019, accompanies this report as a Microsoft Excel file and is being uploaded into the SSBG Data Portal Dropbox.

VI. **Appendices Summary**

A. **Documentation of Public Hearing**

Information posted on the DCS internet website and published in Indiana newspapers in accordance with 42 U.S.C. § 1397(c) is attached as **Appendix A**.

B. **Certifications**

Signed copies of the following certifications are attached in **Appendix B**.

- a) Certification Regarding Drug-Free Workplace Requirements
- b) Certification Regarding Environmental Tobacco Smoke
- c) Certification Regarding Lobbying
- d) Certification Regarding Debarment, Suspension and Other Responsibility Matters

C. **Proof of Audit**

The Indiana State Board of Accounts performs an annual audit of all Federal funds in compliance with OMB Circular A-133: Single Audit Act, a thorough and detailed presentation of the State's financial condition. Proof of this audit, dated March 28, 2018, is attached as **Appendix C**. A copy of the full audit report can be found at <https://www.in.gov/sboa/WebReports/B49738.pdf>

D. **TANF/SSBG Worksheet** is attached as **Appendix D**.

E. **SF 424M** was completed in the Online Portal.

F. **Federal Financial Reporting (FFR) Form SF-425** is attached as **Appendix E**.

Appendix A

Documentation of Public Notice

The public notice below stating the Report is available for review and comment or may be obtained by written request has been distributed to the following Indiana newspapers for publication. Below is the name of the publication and the date the public notice was published.

Newspaper	Date of Publication
Indianapolis Star	7/18/18
Herald Republican	7/20/18
Anderson Herald Bulletin	7/20/18
Herald-Press	7/18/18
Mishawaka Enterprise	7/19/18
News and Tribune	7/24/18
Times Media	7/19/18
The Journal and Courier	7/18/18
Kokomo Tribune	7/20/18
Evansville Courier	7/20/18
Bloomington Herald Times	7/18/18

FEDERAL SOCIAL SERVICES BLOCK GRANT FEDERAL FISCAL YEAR 2018 (10/1/2018 TO 9/30/2019)

The State of Indiana receives federal Social Services Block Grant (SSBG) funds. The SSBG State Plan and Pre-Expenditure Report are prepared annually pursuant to SSBG requirements in 42 U.S.C. 1397(c). The Indiana Department of Child Services (DCS) will submit the SSBG State Plan and Pre-Expenditure Report for FFY 2018 to the U.S. Department of Health & Human Services (HHS), Administration for Children and Families, Office of Community Services, on or before September 1, 2018. The SSBG State Plan and Pre-Expenditure Report include Indiana agencies receiving SSBG funds and their intended use, including information on the types of activities supported and the categories of individuals to be served. SSBG funds are used to support a broad array of social services for children, adults, older adults and other special populations. Discrimination on the grounds of race, color, sex, age, disability, national origin, or ancestry is prohibited in all activities funded by SSBG. The SSBG State Plan and Pre-Expenditure Report will be available for review on the DCS Internet website at www.in.gov/dcs/2329.htm or copies may be obtained by written request at the address below. Comments on the SSBG State Plan and Pre-Expenditure Report can be submitted at:

Federal Compliance Manager
Indiana Department of Child Services

302 W. Washington St., Room E306, MS47
Indianapolis, IN 46204-2739
(317) 358-3253

Appendix B: Certifications

CERTIFICATION REGARDING DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - -
Primary Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.
2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.
4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is being submitted for assistance in obtaining a copy of those regulations.
6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.
7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusive-Lower Tier Covered Transaction," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant

may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters - - Primary Covered Transactions

(1) The prospective primary participant certifies to the best of its knowledge and belief, that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any Federal department or agency;

(b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State or local) terminated for cause or default.

(2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

Instructions for Certification

1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.

2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other

remedies available to the Federal Government the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or had become erroneous by reason of changed circumstances.

4. The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meaning set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.

5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

6. The prospective lower tier participant further agrees by submitting this proposal that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not proposed for debarment under 48 CFR part 9, subpart 9.4, debarred, suspended, ineligible, or voluntarily excluded from covered transactions, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the List of Parties Excluded from Federal Procurement and Nonprocurement Programs.

8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

9. Except for transactions authorized under paragraph five of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is proposed for debarment under 48 CFR part 9, subpart 9.4, suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - - Lower Tier Covered Transactions

(1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared

ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

(2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Terry J. Stigdon 8/17/2018

Signature and Date

Terry J. Stigdon

Printed Name
Director

Title

Indiana Department of Child Services

Organization

CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

This certification is required by the regulations implementing the Drug-Free Workplace Act of 1988: 45 CFR Part 76, Subpart, F. Sections 76.630(c) and (d)(2) and 76.645 (a)(1) and (b) provide that a Federal agency may designate a central receipt point for STATE-WIDE AND STATE AGENCY-WIDE certifications, and for notification of criminal drug convictions. For the Department of Health and Human Services, the central point is: Division of Grants Management and Oversight, Office of Management and Acquisition, Department of Health and Human Services, Room 517-D, 200 Independence Avenue, SW Washington, DC 20201.

Certification Regarding Drug-Free Workplace Requirements (Instructions for Certification)

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification set out below.
2. The certification set out below is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, the agency, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies.
4. For grantees who are individuals, Alternate II applies.
5. Workplaces under grants, for grantees other than individuals, need to be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio studios).
7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

Controlled substance means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

Conviction means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

Criminal drug statute means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

Employee means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All direct charge employees; (ii) All indirect charge employees unless their impact or involvement is insignificant to the performance of the grant; and, (iii) Temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Certification Regarding Drug-Free Workplace Requirements

Alternate I. (Grantees Other Than Individuals)

The grantee certifies that it will or will continue to provide a drug-free workplace by:

- (a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- (b) Establishing an ongoing drug-free awareness program to inform employees about - -
 - (1) The dangers of drug abuse in the workplace;
 - (2) The grantee's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- (c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);
- (d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will - -
 - (1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency in writing, within 10 calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d)(2), with respect to any employee who is so convicted - -

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e) and (f).

(B) The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

Alternate II. (Grantees Who Are Individuals)

(a) The grantee certifies that, as a condition of the grant, he or she will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant;

(b) If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, he or she will report the conviction, in writing, within 10 calendar days of the conviction, to every grant officer or other designee, unless the Federal agency designates a central point for the receipt of such notices. When notice is made to such a central point, it shall include the identification number(s) of each affected grant.

[55 FR 21690, 21702, May 25, 1990]

Terry J. Stigdon 8/17/18

Signature and Date
Terry J. Stigdon

Printed Name
Director

Title
Indiana Department of Child Services

Organization

CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103227, Part C Environmental Tobacco Smoke, also known as the Pro Children Act of 1994, requires that smoking not be permitted in any portion of any indoor routinely owned or leased or contracted for by an entity and used routinely or regularly for provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions of facilities used for inpatient drug or alcohol treatment. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1000 per day and/or the imposition of an administrative compliance order on the responsible entity by signing and submitting this application the applicant/grantee certifies that it will comply with the requirements of the Act.

The applicant/grantee further agrees that it will require the language of this certification be included in any subawards which contain provisions for the children's services and that all subgrantees shall certify accordingly.

Terry J. Stigdon 8/17/18
Signature and Date
Terry J. Stigdon
Printed Name
Director
Title
Indiana Department of Child Services
Organization

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Terry J. Stigdon 8/17/18
Signature and Date
Terry J. Stigdon
Printed Name
Director
Title
Indiana Department of Child Services
Organization

Appendix C: Proof of Audit

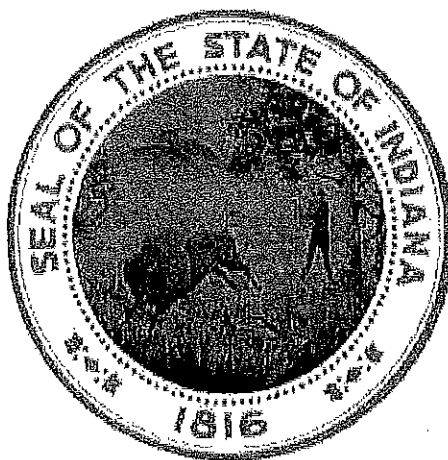
STATE BOARD OF ACCOUNTS
302 West Washington Street
Room E418
INDIANAPOLIS, INDIANA 46204-2769

FEDERAL SINGLE AUDIT REPORT

OF

STATE OF INDIANA

July 1, 2016 to June 30, 2017



FILED

03/28/2018

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**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF THE FINANCIAL
STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

TO: THE OFFICIALS OF THE STATE OF INDIANA

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of the State of Indiana (State), as of and for the year ended June 30, 2017, and the related notes to the financial statements, which collectively comprise the State's basic financial statements, and have issued our report thereon dated December 19, 2017. Our report includes a reference to other auditors who audited components of the financial statements and component units of the State, as described in our report on the State's financial statements. This report does not include the results of the other auditor's testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors. The financial statements of the Ports of Indiana, Indiana State Fair Commission, Indiana Political Subdivision Risk Management Commission, the State Police Pension, and the Indiana Public Retirement System were not audited in accordance with *Government Auditing Standards*.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the State's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the State's internal control. Accordingly, we do not express an opinion on the effectiveness of the State's internal control.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as described in the accompanying Schedule of Findings and Questioned Costs, we identified certain deficiencies in internal control that we consider to be material weaknesses and significant deficiencies.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. We consider the deficiency described in item 2017-002 of the accompanying Schedule of Findings and Questioned Costs to be a material weakness.

INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING
AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF THE FINANCIAL
STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*
(Continued)

A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiency described in Item 2017-001 of the accompanying Schedule of Findings and Questioned Costs to be a significant deficiency.

Compliance and Other Matters

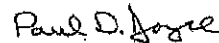
As part of obtaining reasonable assurance about whether the State's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards* and which are described in the accompanying Schedule of Findings and Questioned Costs as items 2017-001 and 2017-002.

State's Response to Findings

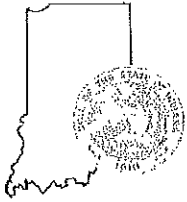
The State's response to the findings identified in our audit is described in the accompanying section of the report entitled Corrective Action Plans. The State's response was not subjected to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on it.

Purpose of This Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the State's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.


Paul D. Joyce, CPA
State Examiner

December 19, 2017, except for the Schedule of Federal Expenditures,
which has an opinion date of March 28, 2018.



STATE OF INDIANA
AN EQUAL OPPORTUNITY EMPLOYER

STATE BOARD OF ACCOUNTS
302 WEST WASHINGTON STREET
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INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY UNIFORM GUIDANCE

TO: THE OFFICIALS OF THE STATE OF INDIANA

Report on Compliance for Each Major Federal Program

We have audited State of Indiana's (State) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2017. The State's major federal programs are identified in the Summary of Auditor's Results section of the accompanying Schedule of Findings and Questioned Costs.

The State's basic financial statements include the operations of Purdue University, Indiana University, Indiana State University, Ball State University, Vincennes University, University of Southern Indiana, Ivy Tech State College, Indiana Finance Authority, and Indiana Housing and Community Development Authority which expended a total of \$2,471,125,293 in federal awards that are not included in the Schedule of Expenditures of Federal Awards for the year ended June 30, 2017. Our audit, described below, did not include the operations of these units because they have separate audits performed in accordance with Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost principles, and Audit Requirements for Federal Awards* (Uniform Guidance). See Note 4 of the Notes to the Schedule of Expenditures of Federal Awards for federal funds expended by each component unit.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the State's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in the Uniform Guidance. Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the State's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the State's compliance.

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY UNIFORM GUIDANCE
(Continued)

Basis for Qualified Opinion on Highway Planning and Construction Cluster

As described in item 2017-003 in the accompanying Schedule of Findings and Questioned Costs, the State did not comply with requirement regarding Special Tests and Provisions - Wage Rate Requirements that is applicable to its Highway Planning and Construction Cluster. Compliance with such requirements is necessary, in our opinion, for the State to comply with requirements applicable to that program.

Basis for Qualified Opinion on Child Support Enforcement

As described in item 2017-010 in the accompanying Schedule of Findings and Questioned Costs, State did not comply with requirement regarding Period of Performance that is applicable to its Child Support Enforcement program. Compliance with such requirements is necessary, in our opinion, for the State to comply with the requirements applicable to that program.

Basis for Qualified Opinion on TANF Cluster

As described in items 2017-017 in the accompanying Schedule of Findings and Questioned Costs, the State did not comply with requirement regarding Special Tests and Provisions - Child Support Non-Cooperation that is applicable to its TANF Cluster. Compliance with such requirements is necessary, in our opinion, for the State to comply with requirements applicable to that program.

Basis for Qualified Opinion on Rehabilitation Services Vocational Rehabilitation Grants to States

As described in items 2017-021, 2017-022, 2017-023, and 2017-024 in the accompanying Schedule of Findings and Questioned Costs, the State did not comply with requirements regarding Special Tests and Provisions - Completion of IPEs, Procurement and Suspension and Debarment, Earmarking, and Period of Performance that are applicable to its Rehabilitation Services Vocational Rehabilitation Grants to States program. Compliance with such requirements is necessary, in our opinion, for the State to comply with requirements applicable to that program.

Qualified Opinion on Highway Planning and Construction Cluster, Child Support Enforcement, TANF Cluster, and Rehabilitation Services Vocational Rehabilitation Grants to States

In our opinion, except for the noncompliance described in the *Basis for Qualified Opinion* paragraphs, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on Highway Planning and Construction Cluster, Child Support Enforcement, TANF Cluster, and Rehabilitation Services Vocational Rehabilitation Grants to States for the year ended June 30, 2017.

Basis for Qualified Opinion on Foster Care Title IV-E

As described in items 2017-011 and 2017-012 in the accompanying Schedule of Findings and Questioned Costs, we were unable to obtain sufficient documentation supporting the compliance of the State with its Foster Care Title IV-E program regarding Reporting and Special Tests and Provision - Operation of a Foster Care Demonstration Project. Consequently, we were unable to determine whether the State complied with those requirements applicable to that program.

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY UNIFORM GUIDANCE
(Continued)

Basis for Qualified Opinion on SNAP Cluster

As described in items 2017-019, in the accompanying Schedule of Findings and Questioned Costs, we were unable to obtain sufficient documentation supporting the compliance of the State with its SNAP Cluster regarding Reporting. Consequently, we were unable to determine whether the State complied with this requirement applicable to that program.

Basis for Qualified Opinion on Medicaid Cluster

As described in items 2017-026 in the accompanying Schedule of Findings and Questioned Costs, we were unable to obtain sufficient documentation supporting the compliance of the State with Special Tests and Provisions - ADP Risk Analysis and System Security Review that is applicable to its Medicaid Cluster. Consequently, we were unable to determine whether the State complied with this requirement applicable to that program.

Qualified Opinion on Foster Care Title IV-E, SNAP Cluster and Medicaid Cluster

In our opinion, except for the possible effects, if any, as might have been determined had we been able to examine sufficient evidence regarding the State's compliance with the requirements of its Foster Care IV-E program regarding Reporting and Special Tests and Provisions - Operation of a Foster Care Demonstration Project, the SNAP Cluster regarding Reporting, and the Medicaid Cluster regarding Special Tests and Provisions - ADP Risk Analysis and System Security Review described in the *Basis for Qualified Opinion* paragraph, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on its Foster Care IV-E program, SNAP Cluster, and Medicaid Cluster for the year ended June 30, 2017.

Unmodified Opinion on Each of the Other Major Federal Programs

In our opinion, the State complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its other major federal programs identified in the summary of auditor's results section of the accompanying Schedule of Findings and Questioned Costs for the year ended June 30, 2017.

Other Matters

The results of our auditing procedures disclosed other instances of noncompliance, which are required to be reported in accordance with the Uniform Guidance and which are described in the accompanying Schedule of Findings and Questioned Costs as items:

<i>Finding #</i>	<i>CFDA#</i>	<i>Program Name</i>	<i>Requirement</i>
2017-005	20.205	Highway Planning and Construction Cluster	Subrecipient Monitoring
2017-006	84.010	Title I Grants to Local Educational Agencies	Reporting
2017-007	84.010	Title I Grants to Local Educational Agencies	Subrecipient Monitoring
2017-008	84.027, 84.173	Special Education Cluster (IDEA)	Subrecipient Monitoring
2017-009	84.367	Supporting Effective Instruction State Grant	Subrecipient Monitoring
2017-013	93.558	Temporary Assistance for Needy Families (TANF)	Allowable Costs/Cost Principles
2017-015	93.558	Temporary Assistance for Needy Families (TANF)	Period of Performance
2017-016	93.558	Temporary Assistance for Needy Families (TANF)	Activities Allowed or Unallowed
2017-020	84.126	Rehabilitation Services Vocational Rehabilitation Grants to States	Eligibility

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY UNIFORM GUIDANCE
(Continued)

Our opinion on each major federal program is not modified with respect to these matters.

The State's response to the noncompliance findings identified in our audit is described in the accompanying Corrective Action Plan. The State's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

Report on Internal Control over Compliance

Management of the State is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the State's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State's internal control over compliance.

Our consideration of internal control over compliance was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as discussed below, we identified certain deficiencies in internal control over compliance that we consider to be material weaknesses and significant deficiencies.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. We consider the following deficiencies in internal control over compliance, described in the accompanying Schedule of Findings and Questioned Costs, to be material weaknesses:

<i>Finding #</i>	<i>CFDA#</i>	<i>Program Name</i>	<i>Requirement</i>
2017-003	20.205	Highway Planning and Construction Cluster	Special Tests and Provisions - Wage Rate Requirements
2017-004	20.205	Highway Planning and Construction Cluster	Special Tests and Provisions - Quality Assurance Program
2017-005	20.205	Highway Planning and Construction Cluster	Subrecipient Monitoring
2017-010	93.563	Child Support Enforcement	Period of Performance
2017-011	93.658	Foster Care Title IV-E	Reporting
2017-012	93.658	Foster Care Title IV-E	Special Tests and Provisions - Operation of a Foster Care Demonstration Project
2017-013	93.558	Temporary Assistance for Needy Families (TANF)	Allowable Costs/Cost Principles
2017-014	93.558	Temporary Assistance for Needy Families (TANF)	Activities Allowed or Unallowed, Allowable Costs/Cost Principles, Period of Performance
2017-015	93.558	Temporary Assistance for Needy Families (TANF)	Period of Performance
2017-016	93.558	Temporary Assistance for Needy Families (TANF)	Activities Allowed or Unallowed

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY UNIFORM GUIDANCE
(Continued)

<i>Finding #</i>	<i>CFDA#</i>	<i>Program Name</i>	<i>Requirement</i>
2017-017	93.558	Temporary Assistance for Needy Families (TANF)	Special Tests and Provisions - Child Support Non-Cooperation
2017-018	93.959	Block Grants for Prevention and Treatment of Substance Abuse	Level of Effort
2017-019	10.551	Supplemental Nutrition Assistance Program	Reporting
2017-020	84.126	Rehabilitation Services Vocational Rehabilitation Grants to States	Eligibility
2017-021	84.126	Rehabilitation Services Vocational Rehabilitation Grants to States	Special Tests and Provisions - Completion of IPEs
2017-022	84.126	Rehabilitation Services Vocational Rehabilitation Grants to States	Procurement and Suspension and Debarment
2017-023	84.126	Rehabilitation Services Vocational Rehabilitation Grants to States	Earmarking
2017-024	84.126	Rehabilitation Services Vocational Rehabilitation Grants to States	Period of Performance
2017-025	93.778 93.767	Medical Assistance Program Children's Health Insurance Program	Activities Allowed or Unallowed, Allowable Costs/Cost Principles
2017-026	93.778	Medical Assistance Program	Special Tests and Provisions - ADP Risk Analysis and System Security Review
2017-027	93.778	Medical Assistance Program	Special Tests and Provisions - Provider Health and Safety Standards

A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance. We consider the following deficiencies in internal control over compliance, described in the accompanying Schedule of Findings and Questioned Costs, to be significant deficiencies:

<i>Finding #</i>	<i>CFDA#</i>	<i>Program Name</i>	<i>Requirement</i>
2017-006	84.010	Title I Grants to Local Educational Agencies	Reporting
2017-007	84.010	Title I Grants to Local Educational Agencies	Subrecipient Monitoring
2017-008	84.027, 84.173	Special Education Cluster (IDEA)	Subrecipient Monitoring
2017-009	84.367	Supporting Effective Instruction State Grant	Subrecipient Monitoring

The State's response to the internal control over compliance findings identified in our audit is described in the accompanying Corrective Action Plan. The State's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY UNIFORM GUIDANCE
(Continued)

Report on Schedule of Expenditures of Federal Awards Required by Uniform Guidance

We have audited the financial statements of governmental activities, the business-type activities, the aggregate discretely presented component units, each major fund, and the aggregate remaining fund information of, as of and for the year ended June 30, 2017, and the related notes to the financial statements, which collectively comprise the State's basic financial statements. We issued our report thereon dated December 19, 2017, which contained unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the Schedule of Expenditures of Federal Awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

Paul D. Joyce
Paul D. Joyce, CPA
State Examiner

March 28, 2018

Appendix D

The TANF grant is not owned by DCS, this is owned by FSSA. we do not use TANF funds in SSBCG



Department of Health and Human Services
Administration for Children and Families

Temporary Assistance for Needy Families (TANF) ACF - 1998 Financial Report
Part 1: Expenditure Data

State	Grant Year	Fiscal Year	Report Quarter Ending	Next Quarter Ending	Report is Submitted as: <input type="checkbox"/> New <input type="checkbox"/> Revised <input type="checkbox"/> Final (Zero Check Funds Remaining)													
			<table border="1"> <thead> <tr> <th>Federal Funds</th> <th>State Funds</th> <th>Federal Funds</th> <th>Federal Funds</th> </tr> <tr> <th>State Family Assistance Grant</th> <td></td> <th>Contingency Funds Award Participation Federal Share of FAFAP Rate of: _____%</th> <th>Emergency Contingency Funds (Authorized by ARRA)</th> </tr> <tr> <th>(A)</th> <th>(B)</th> <th>(C)</th> <th>(D)</th> </tr> </thead> </table>		Federal Funds	State Funds	Federal Funds	Federal Funds	State Family Assistance Grant		Contingency Funds Award Participation Federal Share of FAFAP Rate of: _____%	Emergency Contingency Funds (Authorized by ARRA)	(A)	(B)	(C)	(D)	(E)	
Federal Funds	State Funds	Federal Funds	Federal Funds															
State Family Assistance Grant		Contingency Funds Award Participation Federal Share of FAFAP Rate of: _____%	Emergency Contingency Funds (Authorized by ARRA)															
(A)	(B)	(C)	(D)															
1. Awarded																		
2. Transferred to ODFP Discretionary																		
3. Transferred to FSSA																		
4. Adjusted Award																		
5. Carryover																		
Expenditures Categories																		
FEDERAL EXPENDITURES		STATE NON-EXPENDITURES IN TANF	NON-EXPENDITURES SEPARATE STATE PROGRAMS	EXPENDITURES WITH CONTINGENCY FUNDS	EXPENDITURES WITH EMERGENCY CONTINGENCY FUNDS													
6 Basic Assistance																		
6.a. Basic Assistance (including Relative Foster Care Maintenance Payments and Adoption and Guardianship Subsidies)																		
6.b. Relative Foster Care Maintenance Payments and Adoption and Guardianship Subsidies																		
7. Assistance Authorized Solely Under Prior Law																		
7.a. Foster Care Payments																		
7.b. Juvenile Justice Payments																		
7.c. Emergency Assistance Authorized Solely Under Prior Law																		
8. Non-Assistance Authorized Solely Under Prior Law																		
8.a. Child Welfare or Foster Care Services																		
8.b. Juvenile Justice Services																		
8.c. Emergency Services Authorized Solely Under Prior Law																		
9. Work, Education, and Training Activities																		
9.a. Subsidized Employment																		
9.b. Education and Training																		
9.c. Additional Work Activities																		
10. Work Supports																		
11. Early Care and Education																		
11.a. Child Care (Assistance and Non-Assistance)																		
11.b. Pre-K/Headstart/Head Start																		
12. Financial Education and Asset Development																		
13. Refundable Earned Income Tax Credits																		
14. Non-ETC Refundable State Tax Credits																		
15. Non-Restructured Short Term Benefits																		
16. Supportive Services																		
16.a. Services for Children and Youth																		
16.b. Prevention of Out-of-Wedlock Pregnancies																		
16.c. Fatherhood and Two-Parent Family Formation and Maintenance Programs																		
17. Child Welfare Services																		
17.a. Family Support/ Family Preservation/Reunification Services																		
17.b. Adoption Services																		
17.c. Additional Child Welfare Services																		
18. Home Visiting Programs																		
19. Program Management																		
19.a. Administrative Costs																		
19.b. Assessment/Service Provision																		
19.c. Systems																		
20. Other																		
21. Total Expenditures																		
25. Transitional Services for Employed																		
26. Job Access																		
27. Federal Unliquidated Obligations																		
28. Unliquidated Balance																		
29. State Replacement Funds																		
Quarterly Estimate		Estimate TANF Federal Funds																
30. Estimate of TANF Funds Requested for the Following Quarter																		
<small>PLEASE PRINT OR TYPE THE INFORMATION ON ALL PARTS OF THIS FORM IN CAPS. PRINT AND TRUE TO THE BEST OF YOUR KNOWLEDGE AND BELIEF.</small>																		
SIGNATURE AUTHORIZED STATE OFFICIAL DATE SUBMITTED: <i>John K. Van Zant</i> <i>8/29/18</i>		TYPED NAME, TITLE, AGENCY NAME <i>Terri Van Zant</i> <i>ASST. CONTROLLER</i> <i>DCS, INDIANAK</i>																
PAGE 1 OF 2 - APPROVED CHILD - IN 2017-04-14, Expiration 04/30/2017																		



Department of Health and Human Services
Administration for Children and Families
Temporary Assistance for Needy Families (TANF) ACF - 198R Financial Report
Part 2: Narrative Section

State		Fiscal Year	Methodology Used to Estimate Federal Funding and State MOE Expenditures
Expenditure Categories 6. Basic Assistance 6.a. Basic Assistance (excluding Relative Foster Care Maintenance Payments and Adoption and Guardianship Subsidies) 6.b. Relative Foster Care Maintenance Payments and Adoption and Guardianship Subsidies 7. Assistance Authorized Solely Under Prior Law 7.a. Foster Care Payments 7.b. Juvenile Justice Payments 7.c. Emergency/Assistance Authorized Solely Under Prior Law 8. Non-Assistance Authorized Solely Under Prior Law 8.a. Child Welfare or Foster Care Services 8.b. Juvenile Justice Services 8.c. Emergency Services Authorized Solely Under Prior Law 9. Work, Education, and Training Activities 9.a. Subsidized Employment 9.b. Education and Training 9.c. Additional Work Activities 10. Work Supports 11. Early Care and Education 11.a. Child Care (Assistance and Non-Assistance) 11.b. Pre-Kindergarten/Head Start 12. Financial Education and Asset Development 13. Refundable Earned Income Tax Credits 14. Non-ETC Refundable State Tax Credits 15. Non-Recurrent Short Term Benefits 16. Supportive Services 17. Services for Children and Youth 18. Prevention of Out-of-Wedlock Pregnancies 19. Fatherhood and Two-Parent Family Formation and Maintenance Programs 20. Child Welfare Services 20.a. Family Support/ Family Preservation/Reunification Services 20.b. Adoption Services 20.c. Additional Child Welfare Services 21. Home Visiting Programs 22. Program Management 22.a. Administrative Costs 22.b. Assessment/Service Provision 22.c. Systems 23. Other			Methodology Used to Estimate Federal Funding and State MOE Expenditures
SIGNATURE: AUTHORIZED STATE OFFICIAL <i>John F. [Signature]</i> DATE SUBMITTED: 8-24-18 TYPED NAME, TITLE, AGENCY NAME JOHN F. [Typed Name] ASST. GOVT. CALLER DCS, INDIANA			

Appendix E

Federal Financial Report (FFR)

Program Name: Social Services Block Grant

Grantee Name: State of Indiana

Report Name: Federal Financial Report (FFR)

Funding/Grant Period: 1702INSOSR

Report Period: 10/01/2016 to 09/30/2017

Report Status: Submitted


FEDERAL FINANCIAL REPORT (FFR)					OMB Approval Number: 0348-0081 Expiration Date: 02/28/2015
1. Federal Agency and Org. Element to Which Report is Submitted Administration for Children and Families		2. Federal Grant or Other Identifying Number Assigned By Fed. Agency (To report multiple grants, use FFR Attachment) 1702INSOSR			
3. Recipient Organization (Name and complete address including Zip code)					
4. Grantee Name State of Indiana		Grantee Name2 Director			
Grantee Street Address1 402 W. Washington Street		Grantee Street Address2 Rm. W360, MS 48			
Grantee Street Address3					
Grantee City Indianapolis	Grantee State IN	Grantee Zip 5 46204	Grantee Zip +4		
4a. DUNS Number 963484113	4b. EIN 135600015837	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	6. Report Type <input type="radio"/> QUARTERLY <input type="radio"/> SEMI-ANNUAL <input checked="" type="radio"/> ANNUAL	Final Report <input type="radio"/> NO <input checked="" type="radio"/> YES	
7. Basis of Accounting <input checked="" type="radio"/> CASH <input type="radio"/> ACCRUAL	8. Project/Grant Period From (Month, Day, Year) 10/01/2016	To: (Month, Day, Year) 09/30/2018	9. Reporting Period End Date (Month, Day, Year) 09/30/2017		

Transaction Information

10. TRANSACTIONS	Cumulative
Federal Cash (To report multiple grants, also use FFR attachment):	
a. Cash Receipts	\$0
b. Cash Disbursements	\$0
c. Cash on hand (line a minus b)	\$0
Federal Expenditures and Unobligated Balance:	
d. Total Federal funds authorized	\$27,227,616
e. Federal share of expenditures	\$27,227,616
f. Federal share of unliquidated obligations	\$0
g. Total Federal share (sum of line e plus line f)	\$27,227,616
h. Unobligated balance of Federal funds (line d minus g)	\$0
Recipient Share:	
i. Total recipient share required	\$0
j. Recipient share of expenditures	\$0
k. Remaining recipient share to be provided (line i minus j)	\$0
Program Income:	
l. Total Federal program income earned	\$0
m. Program income expended in accordance with the deduction alternative	\$0
n. Program income expended in accordance with the addition alternative	\$0
o. Unexpended program income (line l minus line m or line n)	\$0

Indirect Expense

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
No. 1		0.00%			\$0	\$0	\$0

g. Totals:		\$0	\$0	\$0
12. Remarks				
13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)				
a. Typed or Printed Name and Title of Authorized Certifying Official Terri K. Van Zant		c. Telephone (Area code, number and extension) (317) 233-1621		
Certification Title		d. Email address		
b. Signature of Authorized Certifying Official 		e. Date Report Submitted (Month, Day, Year) 03/09/2018		

Report Attachment (For reporting multiple grants)

14. List information below for each grant covered by this report.	Federal Grant Number	Recipient Account Number	Cumulative Federal Cash Disbursement
No. 1			\$0
Total (Should correspond to the amount on Line 10b)			\$0