



STATE OF INDIANA

DEPARTMENT OF FINANCIAL INSTITUTIONS



30 South Meridian Street, Suite 300  
Indianapolis, Indiana 46204-2759  
Telephone: (317) 232-3955  
FAX: (317) 232-7655  
WEB SITE <http://www.in.gov/dfi>

## TO PAWNBROKING LICENSEE:

Enclosed is an application for a branch change (Move outside of existing county), or additional branch locations, under your Pawnbroking license. The original copy of the application with the application fee of \$500.00 for each new location is to be returned to the Department. An application is to be completed on each branch change or additional branch location.

### FINANCIAL STATEMENT:

Pawnbrokers must have \$75,000 in net worth per location. If your latest CPA prepared review or audit level financial statement on file with the Department does not reflect sufficient net worth for an additional branch an updated statement must be provided.

Contact the Department for questions on this requirement.

### BOND

Pawnbrokers must maintain a surety bond payable to the Department in the amount of \$50,000 per location, up to a maximum of \$200,000. Provide an updated bond if your current bond is insufficient to cover the additional location.

**CRIMINAL BACKGROUND CHECK:** Unless completed within the last 12 months, a nationwide criminal background check based on fingerprints will be completed for each owner (sole proprietorship), partner (partnership), or officer (corporation) as well as the manager for an Indiana location. The State of Indiana now uses L-1 Identity Solutions to take and/or process fingerprint cards for the State and FBI background checks required for all license applications for the Indiana Department of Financial Institutions (DFI). The procedures will differ depending on whether you are located in Indiana or in other parts of the country. Detailed instructions for the fingerprinting process are on-line at: [http://www.in.gov/dfi/DFI\\_Fingerprint\\_Instruct.pdf](http://www.in.gov/dfi/DFI_Fingerprint_Instruct.pdf) Please read through this information and if you have any questions, please contact DFI at 800-382-4880 or 317-232-3955. DO NOT send fingerprint cards to the DFI as this will only delay the processing of your branch license application. Each applicant should include a list of all personnel to be fingerprinted for background checks and a current resume for the manager of the new branch.

### OTHER INFORMATION:

The City police and the county Sheriff in the community of the proposed location are to be notified of the licensee's intention to establish a pawnbroking business in that community. The applicant shall also inform the Department in writing on the application the name, address, and telephone number of each official that was contacted.

If you desire further information concerning specific branching questions, please contact this office.

**CONSUMER CREDIT DIVISION**  
mtarpey@dfi.in.gov



State of Indiana  
**DEPARTMENT OF FINANCIAL INSTITUTIONS**  
 30 South Meridian Street, Suite 300  
 Indianapolis, Indiana 46204  
 State Form 50290(7-05) Approved State Board of Accounts 2001

DFI USE ONLY	
Date Rec.	_____
Lic ID No.	_____ DFI ID No. _____
License No.	_____ Check No. _____
Trans. No.	_____

# APPLICATION FOR PAWNBROKING BRANCH LOCATION

ATTACH LICENSE APPLICATION FEE CHECK HERE

PAWNBROKING LICENSE INFORMATION		
Name of Pawnbroking Business		License ID#
Address ( Number and Street)		
City, State, Zip Code	Telephone	Fax

NEW LOCATION INFORMATION		
Address ( Number and Street)		
City, State, Zip Code	Telephone	Fax

ACKNOWLEDGMENT	
The applicant executed this application on _____ and acknowledges that all statements made herein and supporting schedules, to the best of my/our knowledge and belief, are true and is a true and complete statement in accordance with the law.	
IF A CORPORATION, PRESIDENT AND ONE OFFICER MUST SIGN; IF LLC, MEMBERS MUST SIGN; IF A PARTNERSHIP, ALL PARTNERS MUST SIGN; IF SOLE PROPRIETORSHIP, OWNER MUST SIGN. ATTACH AN ADDITIONAL SHEET IF NECESSARY.	
By:	Title E-Mail
By:	Title E-Mail
By:	Title E-Mail

**GENERAL QUESTIONS & ADDITIONAL INFORMATION REQUESTED**

1. Do you intend to engage in any other business in addition to Pawnbroking business at this new location. Yes  No  If Yes, describe type of business:

\_\_\_\_\_

2. Number of Pawnbroking locations now operating under your pawnbroking license \_\_\_\_\_

Attach your CPA prepared review or audit level financial statement indicating at least \$75,000.00 net worth for each location operating a pawnbroking business and liquid assets of at least \$50,000.

Provide a surety bond payable to the Department in the amount of \$50,000 per location, up to a maximum of \$200,000. Provide an updated bond if your current bond is insufficient to cover the additional location.

The City Police and the County Sheriff in the community of the proposed location are to be notified of the applicant's intention to establish a pawnbroking business in that community. In the space below, list the name, address, and telephone number of each official that was contacted:

Name

Address

City, Zip Code

Telephone

Fax

Name

Address

City, Zip Code

Telephone

Fax

# PAWNBROKER BRANCH APPLICATION CHECKLIST

## ATTACH TO APPLICATION

CHECK HERE	THE FOLLOWING MUST BE ATTACHED TO THE APPLICATION
	\$500 per location Pawnbroker Branch Application Fee
	CPA prepared review or audit level financial statement with \$75,000 <b>net worth per location</b> and \$50,000 in liquid assets, if not currently filed with the Department.
	Bond: payable to the Department in the amount of \$50,000 per location up to a maximum of \$200,000.
	General Questions & Additional Information Requested completed on Page 2
	Unless completed within the last 12 months, a criminal background check, based on fingerprints, from FBI or State Police from state of residence for each principal. (officer/ member/ partners/ owner/ Indiana manager). Detailed instructions for the fingerprinting process are on-line at: <a href="http://www.in.gov/dfi/DFI_Fingerprint_Instruct.pdf">http://www.in.gov/dfi/DFI_Fingerprint_Instruct.pdf</a>
	ATTACH EVIDENCE OF PROPERTY AND CASUALTY INSURANCE; Evidence of property and casualty insurance coverage for pawned items in an amount of at least \$25,000, or two times the amount of the total loans outstanding, whichever is greater. The coverage must be updated at least annually

Check each item required to accompany the application to make sure your application is complete and send this checklist with application.



## Fingerprinting in Indiana

### Department of Financial Institutions

PLEASE READ ALL 4 PAGES OF INSTRUCTIONS



Follow the simple steps outlined below to complete the fingerprinting process:

1. Using your computer web browser, go to [www.L1enrollment.com](http://www.L1enrollment.com) and choose Indiana.
2. If you do not have access to the internet, you may call us toll-free at (877) 472-6917 to schedule an appointment. If you call, you will be asked the following questions instead of completing these steps yourself.
3. Click Online Scheduling and choose the language you wish to use for scheduling (English or Spanish)
4. Enter your first and last name and click "go"
5. Choose your Agency Name **Department of Financial Institutions** and click "go".
6. Choose the correct Applicant Category for your license type and click "go".
7. Select the location where you want to be fingerprinted. You may choose a region of the state, click on the map, or enter a zip code to get a list of locations in a specific area. Press "go"
8. Click on the words "Click to Schedule" across from the location you want, under the day you wish to be fingerprinted. If you want a date further in the future, click the "Next Week>>" link to display more dates. Once you select the location/date combination, select the time for your appointment and click "go".
9. Complete the demographic information page. Required fields are indicated by a red asterisk (\*). When complete, click "Send Information"
10. Confirm the information. Follow the on screen directions to make any changes necessary. Once you see the data is correct, click "Send Information".
11. Complete your payment process and click "Send Payment Information".
12. Print your confirmation page. If you provided an email address, you will receive an email confirmation as well.
13. Bring **one** the following with you to your fingerprinting appointment: Valid Driver License, Valid State Issued Identification Card, Valid Passport, Student ID with Picture and DOB, Work ID with Picture and DOB, Valid Alien ID card with Picture and DOB. If you do not have the above identification, you will need **both** a valid Birth Certificate and a Social Security Card.
14. Arrive at the facility at your appointed date and time.
15. The Enrollment Officer at the site will check you ID, verify your information, verify or collect payment, capture your fingerprints, and submit your data. This normally takes less than five minutes.
16. You will receive a signed receipt at the end of your fingerprinting session which can be provided to your agency for proof of fingerprinting, if needed.
17. All results will be processed and delivered to the Department of Financial Institutions. L-1 is never in possession of criminal record data results.

## Indiana Card Scan Processing Procedures

Applicants who reside out of state, or are physically unable to go to a location to be fingerprinted may use L-1's Card Scan Processing Program. This program utilizes advanced scanning technology to convert a traditional fingerprint card (hard card) into an electronic fingerprint record. Converting a "hard card" into an electronic record enables an applicant to have their fingerprint record processed as quickly as if they had traveled to an electronic fingerprint processing location. The section below details the procedures for submitting fingerprints to the Card Scan Processing Unit.

### Indiana Licensing and Certification

- Applicants must go online to the L-1 Enrollment website ([www.l1enrollment.com](http://www.l1enrollment.com)) or call the toll free registration center at 1-877-472-6917 and complete the registration process. During the registration process, applicants should select "**Pay for Ink Card Submission**" on the Appointment Details page. This will identify to L-1 that a hard card will be mailed to them for conversion to an electronic fingerprint record which will then be submitted to the Indiana State Police.

## Appointment Details

If you are using assistive technology (such as a screen reader) or have problems using the scheduler below, please follow this link to our alternative appointment scheduler.

Pay for Ink Card Submission

Enter a zip code to determine the closest fingerprinting location.

go

or

Please choose the region you will be in for your enrollment appointment.

CENTRAL go



If you have any questions with the website, please contact L-1 Enrollment Services at 877-472-6917.

PRIVACY POLICY | PRIVACY STATEMENT  
COPYRIGHT © 2004-2005 L-1 IDENTITY SOLUTIONS

- Applicants should complete the entire registration process; a confirmation number will be supplied at the end of the registration process. This number should be retained by the applicant for tracking purposes. This confirmation number must be recorded on the fingerprint card when it is submitted to L-1 for proper processing.

- Fingerprints may be submitted on standard FBI applicant cards (FD-258); use of other types of fingerprint cards may delay your processing. FBI applicant cards are available from your employing or licensing agency. *Due to agency specific information, L-1 does not provide fingerprint cards to applicants.*
- Applicants should obtain a set of fingerprints from a local law enforcement agency or other entity that provides fingerprinting services. These fingerprint cards may be either traditional ink rolled fingerprints or electronically captured and printed fingerprint cards.
- Applicants need to make sure the following information is completed on the fingerprint card. **Required information includes: Full name, Date of Birth, and the confirmation number provided at the end of the registration process.**
- Applicants for Department of Children’s Services (DCS) must have approval from DCS COBCU to submit a hard card for processing via this method. Applicants must include a copy of their email from COBCU authorizing the submission of a hard card. Failure to include a copy of the email from COBCU will result in the card being returned to the applicant and will delay the process.
- If paying by Business Check or Money Order, include the full name of the applicant on each check or money order. If one Business Check or Money Order is being used as payment for more than one applicant, please include a list of all applicant names. Personal checks are not accepted.
- The fingerprint card along with the appropriate fee, if required and not paid by Escrow Account or Credit Card at the end of registration, should then be sent to the following address (for tracking & security reasons, it is recommended that a shipping service with tracking service be utilized):

*L-1 Enrollment Services  
Indiana Processing  
1650 Wabash Ave Suite D  
Springfield, IL 62704*

- Please include at least two (2) means of contact for each applicant for which a fingerprint card is submitted where the applicant can be reached if there are any questions related to the processing of the fingerprint card (for example, a daytime and evening telephone number or a cell phone number and email address, etc).
- Applicants wishing to verify that a fingerprint card has been processed may call 877-472-6917 and speak with a customer service representative. Please allow 3 days from date of mailing before contacting L-1 regarding processing status.

**Failure to complete the process as stated on these instructions will result in the card being returned to the applicant, which will delay the process.**



# Indiana Department of Financial Institutions Applicant Fingerprinting Form



Name: \_\_\_\_\_  
Last First Middle

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Daytime Contact # \_\_\_\_\_ Social Security #: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Date of Birth: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_ Birthplace: \_\_\_\_\_  
Month Day Year State

Citizenship: \_\_\_\_\_ Height: \_\_\_\_\_ Weight: \_\_\_\_\_

Original TCN (if this is a reprint) \_\_\_\_\_

### CIRCLE CODES THAT APPLY

<u>SEX</u>	<u>HAIR COLOR</u>	<u>EYE COLOR</u>
Male . . . . . M	Bald . . . . . BAL	Black . . . . . BLK
Female . . . . . F	Black . . . . . BLK	Blue . . . . . BLU
	Brown . . . . . BRO	Brown . . . . . BRO
<u>RACE</u>	Gray/Part Gray . . . GRY	Gray . . . . . GRY
Asian or Pacific Islander . . A	Red/Auburn . . . . . RED	Green . . . . . GRN
Black . . . . . B	Sandy . . . . . SDY	Hazel . . . . . HAZ
American Indian or	White . . . . . WHI	Maroon . . . . . MAR
Alaskan . . . . . I		Multicolored . . . . . MUL
Unknown . . . . . U		Pink . . . . . PNK
White . . . . . W		

**Go to [www.L1Enrollment.com](http://www.L1Enrollment.com) or call 1-877-472-6917 to schedule fingerprinting appointments. Use requesting agency information below to ensure correct processing and fees.**

Please bring your **Drivers License (or other State or Federal issued Photo ID)** to your fingerprint appointment

**Requesting Agency Information**  
**ORI Number - IN920130Z**

Agency Name: **Department of Financial Institutions**