Indiana Department of Labor

Indiana Occupational Safety and Health Administration 402 West Washington St - Room W195 Indianapolis, IN 46204 Phone: (317)232-2691 FAX: (317)233-3790



Safety Order and Notification of Penalty

To: Alt and Witzig Engineering Incorporated 4105 West 99th Street Carmel, IN 46032	Inspection Number: CSHO ID: Optional Report No.: Inspection Date(s): Issuance Date:	N9763
Inspection Site: 10495 Crosspoint Boulevard Indianapolis, IN 46256	The violation(s) described in Notification of Penalty is (ar on or about the day(s) the ins otherwise indicated within th	e) alleged to have occurred spection was made unless

An inspection of your place of employment has revealed conditions which we believe do not comply with the provisions of the Indiana Occupational Safety and Health Act (Indiana Code Chapter 22-8-1.1) or the standards or rules adopted thereunder. Accordingly, enclosed please find safety order(s) and notification(s) of penalty describing such violation(s) with references to applicable standards, rules, or provisions of the statute and stating the amount of any penalty(ies).

Informal Conference - Please be advised that it may be possible to informally settle any potential dispute without initiating the more elaborate proceedings brought on by a petition for review. Prior to filing a petition for review, you may request an informal conference concerning any of the results of the inspection (safety orders, penalties, abatement dates, etc.) by contacting the Indiana Department of Labor/IOSHA, preferably by telephone, in a prompt manner. Please be advised that a request for an informal conference cannot extend the fifteen working day period for filing a petition for review. Informal conferences frequently resolve any possible disputes, and therefore you are urged to take advantage of this opportunity. Because of the limited time period and in order to facilitate scheduling, any requests for an informal conference should be made promptly upon your receipt of the safety order(s) and notification(s) of penalty.

Right to Contest - You are hereby also notified that you are entitled to seek administrative review of the safety order(s), penalty(ies), or both by filing a written petition for review at the above address postmarked within fifteen working days of your receipt of the safety order(s) and notification(s) of penalty. ("Working days" means Mondays through Fridays, but does not include Saturdays, Sundays, legal holidays under a state statute or days on which the Indiana Department of Labor's offices are closed during regular business hours). If you do not file such a petition for review (contest), the safety order(s) and penalty(ies) shall be deemed final orders of the Board of Safety Review and not subject to review by any court or agency. The issuance of a safety order does not constitute a finding that a violation has

occurred unless no petition for review is filed, or if a petition for review (contest) is filed, it must contain a statement of its basis and should reference the above inspection number. Upon receipt of your petition for review, we will affirm, amend or dismiss the safety order(s) and notification(s) of penalty. If we affirm, your petition for review will be granted (unless it was not timely) and the dispute will be certified by the Board of Safety Review for further proceedings. The Board of Safety Review is an independent agency appointed by the governor with authority to conduct hearings and to issue decisions concerning disputed safety order(s) and notification(s) of penalty. If we amend the safety order(s) or notification(s) of penalty, your petition for review shall be deemed moot. However, you will then be given an opportunity to file a petition for review concerning the amended safety order(s) and notification(s) of penalty.

Please be advised that an employee or representative of employees may file a petition for review to contest the reasonableness of the time stated in the safety order(s) for the abatement of any violation.

Posting - Upon receipt of any safety order(s) you are required to post such safety order(s), or a copy thereof, unedited, at or near each place an alleged violation referred to in the safety order(s) occurred. However, if your operations are such that it is not practicable to post the safety order(s) at or near each place of alleged violation, such safety order(s) shall be posted, unedited, in a prominent place where it will be readily observable by all affected employees. For example, if you are engaged in activities which are physically dispersed, the safety order(s) may be posted at the location from which the employees operate to carry out their activities. You must take steps to ensure that the safety order is not altered, defaced, or covered by other material. Posting shall be until the violation is abated, or for three working days, whichever is longer.

Penalties - Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Abatement does not constitute payment of penalties.

Abatement - The conditions cited in the safety order(s) must be corrected (abated) on or before the date shown for each item on the safety order(s) and notification(s) of penalty and proof of abatement must be sent to the email address: <u>AbatementGI@dol.in.gov</u> unless:

(1) You file a petition for review concerning the violation, in which case the full abatement period shall commence from the issuance of a final decision by the Board of Safety Review or the courts which requires compliance with the safety order; or

(2) The abatement period is extended by the granting of a petition for modification of abatement date.

PMAs - The petition for modification of abatement date is a manner in which you may seek additional time to correct (abate) a violation without having to file a petition for review concerning the safety order, or after the expiration of the time period to file such a petition for review when it becomes apparent that you need extra time to abate the violation. A petition for modification of abatement date shall be in writing and shall be sent to the email address: <u>AbatementGI@dol.in.gov</u> shall include the following information:

(1) All steps you have taken, and the dates of such actions, in an effort to achieve compliance during the prescribed abatement period.

(2) The specific additional abatement time necessary in order to achieve compliance.

(3) The reasons such additional time is necessary, including the unavailability of professional or technical personnel or of materials and equipment, or because necessary construction or alteration of facilities cannot be completed by the original abatement date.

(4) All available interim steps being taken to safeguard employees against the cited hazard during the abatement period.

(5) A certification that a copy of the petition has been posted, and if appropriate, served on the authorized representative of affected employees, and a certification of the date upon which such posting and service was made.

A petition for modification of abatement date shall be filed with the Indiana Department of Labor/IOSHA no later than the close of the next working day following the date on which abatement was originally required sending the Petition to <u>AbatementGI@dol.in.gov</u>. A later-filed petition shall be accompanied by the employer's statement of exceptional circumstances explaining the delay. A copy of such petition shall be posted in a conspicuous place where all affected employees will have notice thereof or near such location where the violation occurred. The petition shall remain posted until the time period for the filing of a petition for review of the Commissioner's granting or denying the petition expires. Where affected employees are represented by an authorized representative, said representative shall be served a copy of such petition.

Notification of Corrective Action - Correction of the alleged violations which have an abatement period of thirty (30) days or less should be reported in writing to us promptly upon correction and sent to the email address <u>AbatementGI@dol.in.gov</u>. A "Letter of Abatement" form and an "Abatement Photographs" worksheet are enclosed for your assistance in providing adequate documentation of abatement. Reports of corrections should show specific corrective action on each alleged violation and the date of such action. On alleged violations with abatement periods of more than thirty (30) days, a written progress report should be submitted via email at <u>AbatementGI@dol.in.gov</u>, detailing what has been done, what remains to be done, and the time needed to fully abate each such violation. When the alleged violation is fully abated, we should be so advised. Timely correction of an alleged violation does not affect the initial proposed penalty..

Followup Inspections - Please be advised that a followup inspection may be made for the purpose of ascertaining that you have posted the safety order(s) and corrected the alleged violations. Failure to correct an alleged violation may result in additional penalties for each day that the violation has not been corrected.

Employer Discrimination Unlawful - The law prohibits discrimination by an employer against an employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the Indiana Department of Labor/IOSHA at the address shown above.

Notice to Employees - The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the Indiana Department of Labor/IOSHA at the address shown above within fifteen (15) working days (excluding weekends and State holidays) or receipt by the employer of this safety order and

penalty.

If you wish additional information, you may direct such requests to us at the address or telephone number stated above.

NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with IOSHA to discuss the safety order(s) issued on 3/27/2019. The conference will be held at the IOSHA office located at 402 West Washington Street, Room W195, Indianapolis, IN 46204 on ______ at _____. Employees and/or representatives of employees have a right to attend an informal conference.

Indiana Department of Labor
Occupational Safety and Health Administration

 Inspection Number:
 318105640

 Inspection Date(s):
 12/4/2018 - 3/8/2019

 Issuance Date:
 3/27/2019

 CSHO ID:
 N9763

 Optional Report No.:
 2195-19

Safety Order and Notification of Penalty

Company Name: Alt and Witzig Engineering Incorporated **Inspection Site:** 10495 Crosspoint Boulevard, Indianapolis, IN 46256

Safety Order 01 Item 001 Type of Violation: Serious

IC 22-8-1.1 Section 2: The employer did not establish and maintain conditions of work which were reasonably safe and healthful for employees, and free from recognized hazards that were causing or likely to cause death or serious physical harm to employees in that employees were exposed to caught-in hazards:

10495 Crosspoint Boulevard, Indianapolis - On or about December 3, 2018 and time prior to, adequate training was not provided in emergency shut down procedures for the Diedrich D50 which created a potential caught-in hazard.

AMONG OTHER METHODS, FEASIBLE MEANS OF ABATEMENT MAY INCLUDE: Follow the National Drilling Association Drilling Safety Guide which states on page 8 and 9 " Ensure that every drill rig worker is informed of safe operating practices on and around the drill rig. Ensure that every employee reads and understands the (drilling operations) safety manual. Carefully instruct a new worker in drilling safety and observe the new worker's progress towards understanding safe operating practices."

Date By Which Violation Must Be Abated:	4/30/2019
Proposed Penalty:	\$7,000.00

Indiana Department of Labor Occupational Safety and Health Administration
 Inspection Number:
 318105640

 Inspection Date(s):
 12/4/2018 - 3/8/2019

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 Optional Report No.:
 2195-19

Safety Order and Notification of Penalty

Company Name:Alt and Witzig Engineering IncorporatedInspection Site:10495 Crosspoint Boulevard, Indianapolis, IN 46256

Safety Order 01 Item 002 Type of Violation: Serious

IC 22-8-1.1 Section 2: The employer did not establish and maintain conditions of work which were reasonably safe and healthful for employees, and free from recognized hazards that were causing or likely to cause death or serious physical harm to employees in that employees were exposed to caught-on hazards:

10495 Crosspoint Boulevard, Indianapolis: On or about December 3, 2018 and time prior to, an employee used their hands to remove dirt and mud from the operating auger on a Diedrich D50 drilling rig.

AMONG METHODS, FEASIBLE MEANS OF ABATEMENT MAY INCLUDE: Follow the Diedrich Drill Safety & Service Manual "1.1 Operation Instructions #11 which states "Stay clear of all rotating parts and drill string." Additionally follow the National Drilling Association Drilling Safety Guide which states on page 30 "Never use hands or feet to move cuttings away from the auger, and Do not attempt to remove earth from rotating augers. Clean augers only when the drill rig is in neutral and the augers are stopped from rotating."

Date By Which Violation Must Be Abated:	4/30/2019
Proposed Penalty:	\$7,000.00

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Company Name:Alt and Witzig Engineering IncorporatedInspection Site:10495 Crosspoint Boulevard, Indianapolis, IN 46256

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Safety Order 01 Item 003a Type of Violation: Serious

29 CFR 1910.132(d)(2): The employer did not verify, through a written certification, that the required workplace hazard assessment had been performed:

Facility - No signed personal protective hazard assessment was produced for job tasks such as, but not limited to; soil sampling/auger use.

Date By Which Violation Must Be Abated:	4/30/2019
Proposed Penalty:	\$7,000.00

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Safety Order 01 Item 003b Type of Violation: Serious

29 CFR 1910.138(a): The employer did not select and require employee(s) to use appropriate hand protection when employees' hands were exposed to hazards such as those from skin absorption of harmful substances; severe cuts or lacerations; severe abrasion; punctures; chemical burns; thermal burns; and harmful temperature extremes.

10495 Crosspoint Boulevard, Indianapolis: On or about December 3, 2018 and time prior to, an employee used loose fitting leather work gloves with a cinch strap at the wrist to protect their hands while manually removing dirt and mud from the operating auger on a Diedrich D50 drilling rig which created a potential caught-in hazard.

Date By Which Violation Must Be Abated:4/30/2019Proposed Penalty:\$0.00

Indiana Department of Labor		
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The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Safety Order 01 Item 004a Type of Violation: Serious

29 CFR 1910.212(a)(1): One or more methods of machine guarding was not provided to protect the operator and other employees in the machine area from hazards such as those created by point of operation, ingoing nip points, rotating parts, flying chips and sparks:

10495 Crosspoint Boulevard, Indianapolis: On or about December 3, 2018 and time prior to, the rotating auger on the Diedrich 50 drilling rig was not guarded to prevent potential employee contact to a caught-in/caught-on hazard during operation.

Date By Which Violation Must Be Abated:	4/30/2019
Proposed Penalty:	\$7,000.00

Indiana Department of Labor Occupational Safety and Health Administration
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Safety Order 01 Item 004b Type of Violation: Serious

29 CFR 1910.212(a)(3)(ii): The point of operation of machines whose operation exposed an employee to injury, was not guarded:

10495 Crosspoint Boulevard, Indianapolis: On or about December 3, 2018 and time prior to, guarding was not present around the Diedrich 50 drilling rig auger to prevent potential employee contact to a caught-in/caught-on hazard during operation.

Date By Which Violation Must Be Abated:	4/30/2019
Proposed Penalty:	\$0.00

Julie C. Alexander, JD Director of General Industry

Indiana Department of Labor

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INVOICE/DEBT COLLECTION NOTICE

Company Name:	Alt and Witzig Engineering Incorporated
Inspection Site:	10495 Crosspoint Boulevard, Indianapolis, IN 46256
Issuance Date:	3/27/2019

Summary of Penalties for Inspection Number: 318105640

Safety Order 1, Serious	= \$28,000.00
TOTAL PENALTIES	= \$28,000.00

Penalties are due within fifteen (15) working days of receipt of this notification unless contested. Make your check or money order payable to: "Indiana DOL/IOSHA". Please indicate IOSHA's Inspection Number (indicated above) on the remittance.

IOSHA does not agree to any restrictions or conditions or endorsements put on any check or money order for less than full amount due, and will cash the check or money order as if these restrictions, conditions, or endorsements do not exist.

Corrective action, taken by you for each alleged violation should be submitted to this office on or about the abatement dates indicated on the Safety Order and Notification of Penalty.

A work sheet has been provided to assist in providing the required abatement information. A completed copy of this work sheet should be posted at the worksite with the safety order(s).

Julie C. Alexander, J.D. Director of General Industry

Date