



# Indiana SUD/SMI Waivers

Post-Award Forum: Waiver and Data Updates

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# Agenda

- SUD Waiver Overview
  - Goals/Milestones
  - Monitoring Report Metrics
    - 2019 vs. 2020
  - 2020 Accomplishments
  - 2021 Focus Areas
- SMI Waiver Overview
  - Goals/Milestones
  - Monitoring Report Metrics
  - 2020 Accomplishments
  - 2021 Focus Areas



# Indiana SUD Waiver

§1115 Demonstration



# Indiana SUD Waiver

## General Overview

- Indiana's Substance Use Disorder (SUD) waiver allows the State to receive federal financial participation (FFP) for short-term inpatient and residential stays for individuals aged 21-64 in qualified facilities that are considered Institutions for Mental Disease (IMDs) under federal law.
- State commits to making continued progress toward a variety of waiver goals and milestones for the duration of waiver approval.
- The waiver requires robust federal monitoring and evaluation requirements to measure the success of waiver implementation.

**February 1, 2018**

Indiana's §1115 SUD Demonstration Waiver goes live and is approved until December 31, 2020



**October 26, 2020**

Indiana's §1115 SUD and SMI waivers renewals approved for an additional 5 years until December 31, 2025.



# Indiana SUD Waiver

## General Criteria for Qualifying IMDs - SUD

A facility will be recognized as a qualifying IMD under the SUD Waiver if it meets the following requirements:

### **Inpatient SUD**

- Enrolled as a psychiatric hospital (provider type 01 and provider specialty 011) with more than 16 beds
- Inpatient SUD admission must meet American Society of Addiction Medicine (ASAM) Patient Placement Criteria, Level 4

### **Residential SUD**

- Enrolled as SUD residential addiction treatment facility: Provider Type 11 – Behavioral Health Provider, Provider Specialty 836 - *SUD Residential Addiction Treatment Facility*
  - The SUD facility must receive DMHA designation indicating approval to offer ASAM Level 3.1 or Level 3.5 residential services (Facilities that have designations to offer both ASAM Level 3.1 and Level 3.5 services within the facility must include proof of both with their enrollment application).
  - Certified as a residential (sub-acute stabilization) for DMHA

### **Note:**

- State operated facilities (SOFs) are currently ineligible

# SUD Waiver Goals

## Purpose of Goal:

Expand availability of SUD services/ providers

- Increase rates of identification, initiation, and engagement in treatment
- Reduce utilization of emergency departments and inpatient settings
- Reduce overdose deaths

Increase utilization of evidence-based treatment methods

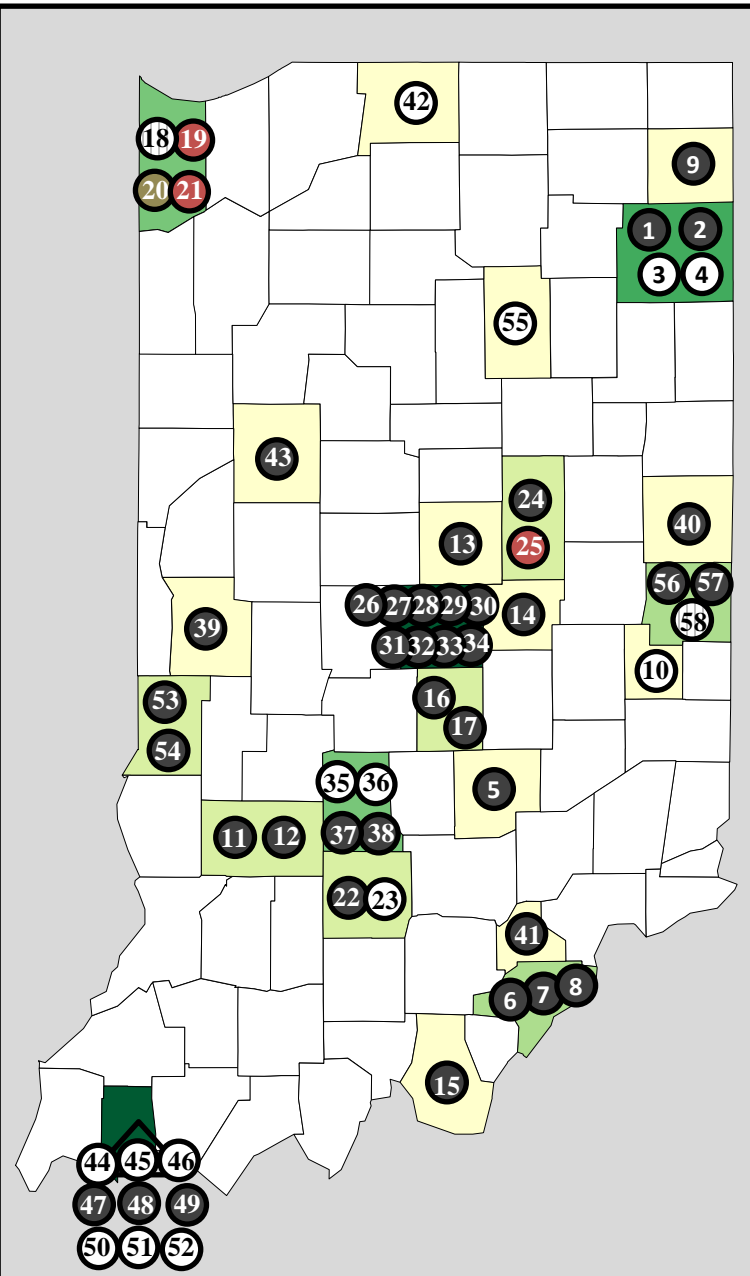
- Fewer readmissions to the same or higher level of care where the readmission is preventable or medically inappropriate
- Improve access to care for physical health conditions among beneficiaries
- Improve care coordination and transitions of care

Administrative improvement and simplification

- Increase provider networks and participation
- Assure consistency with better provider education and guidance

# ASAM Facilities in Indiana

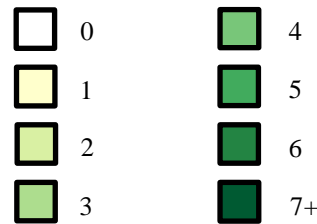
Current as of 8/1/2021



## Facility

- |   |  |
|---|--|
| 1 Park Center Carew                                   | 30 Turning Point Men's                                 |
| 2 Avenues Recovery Center of Fort Wayne, LLC          | 31 Turning Point Women's                               |
| 3 Harriet House                                       | 32 Hickory House Treatment Center at Lawrence          |
| 4 Hope House  | 33 Landmark Recovery of Indianapolis                   |
| 5 Columbus House                                      | 34 Recovery Centers of America at Indianapolis         |
| 6 Sunrise Recovery, LLC                               | 35 Amethyst House Men's                                |
| 7 Wooded Glen Recovery Center                         | 36 Amethyst House Women's                              |
| 8 Turning Point                                       | 37 Centerstone Recovery Center (Bloomington)           |
| 9 Allendale, LLC                                      | 38 Indiana Center for Recovery                         |
| 10 Reid Health Care Pavilion                          | 39 Hickory Treatment Center at Rockville               |
| 11 The NewDay Center                                  | 40 Winchester House                                    |
| 12 Hickory Treatment Center at Linton                 | 41 Centerstone Recovery Center (Lexington)             |
| 13 Landmark Recovery of Camel                         | 42 YWCA North Central Indiana                          |
| 14 Hickory House Recovery                             | 43 Addiction and Recovery Center (West Lafayette)      |
| 15 Hickory Treatment Center at Corydon                | 44 Peppiatt House                                      |
| 16 Alcohol and Drug Treatment Center (Men's Campus)   | 45 N.O.W. Counseling Services LLC (Weinbach)           |
| 17 Alcohol and Drug Treatment Center (Women's Campus) | 46 N.O.W. Counseling Services LLC (Franklin)           |
| 18 Rapid Access Center                                | 47 SAFE NOW Recovery Center                            |
| 19 Recovery Matters                                   | 48 Stepping Stone                                      |
| 20 Recovery Works (Merrillville)                      | 49 Evansville House                                    |
| 21 Strawhun Center                                    | 50 Stepping Forward for Men (unit w/in Stepping Stone) |
| 22 Transitions Recovery                               | 51 Stepping Forward for Women                          |
| 23 Transitions Recovery - Building 2                  | 52 Chandler Home                                       |
| 24 Bridges of Hope                                    | 53 Anabranch Recovery Center                           |
| 25 Mockingbird Hill                                   | 54 Hickory Treatment Center at Terre Haute             |
| 26 Fairbanks  | 55 White's Residential & Family Services               |
| 27 Hickory Treatment Center at Indianapolis           | 56 Recovery Works (Cambridge City)                     |
| 28 Harbor Light                                       | 57 Centerstone Recovery Center (Richmond)              |
| 29 Fresh Start Recovery Center                        | 58 Addiction and Recovery Center (Richmond)            |

## Number of ASAM Facilities per County



## ASAM Designation



## Totals

- ASAM Level 3.1
  - Facilities: 16
  - Beds: 302
- ASAM Level 3.5
  - Facilities: 40
  - Beds: 1,495\*
- ASAM Level 3.1 & 3.5
  - Facilities: 3
  - Beds: 125

\*This includes 14 juvenile beds at Fairbanks (#26) and 10 adolescent beds at Reid Health (#10)

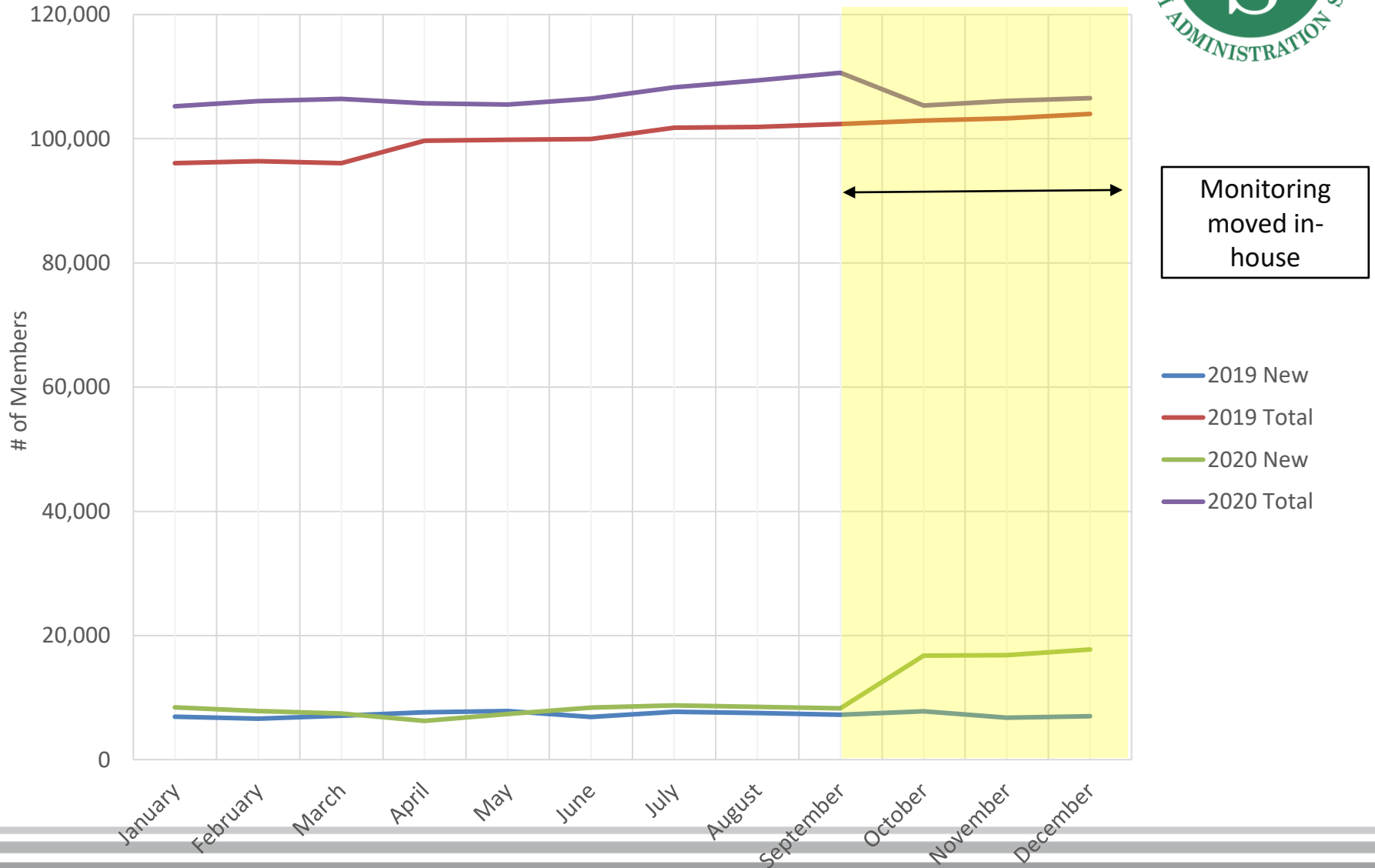


# SUD Monitoring Reports 2019 vs. 2020



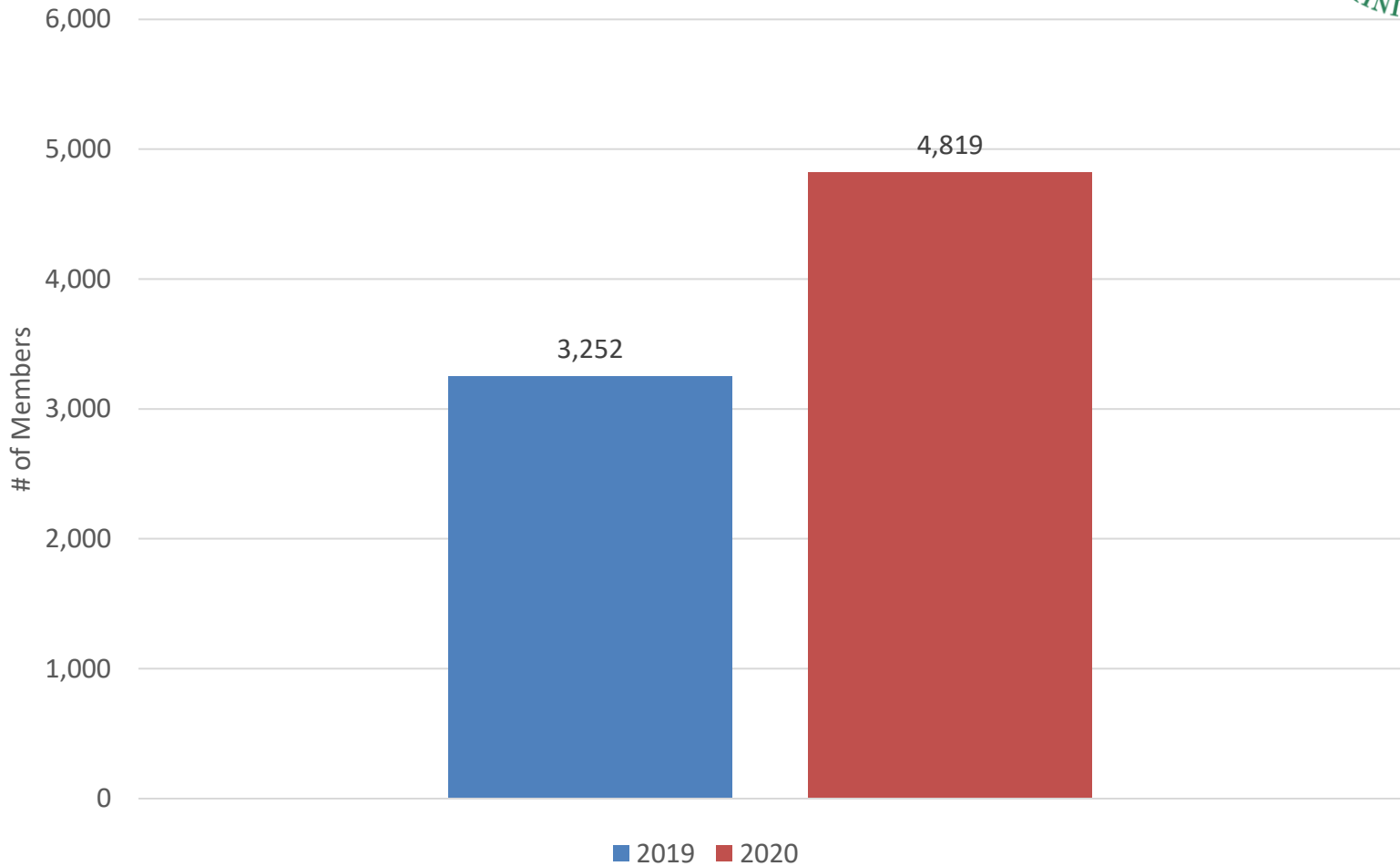
# Medicaid Members with a SUD Diagnosis

## Metric #2 & #3



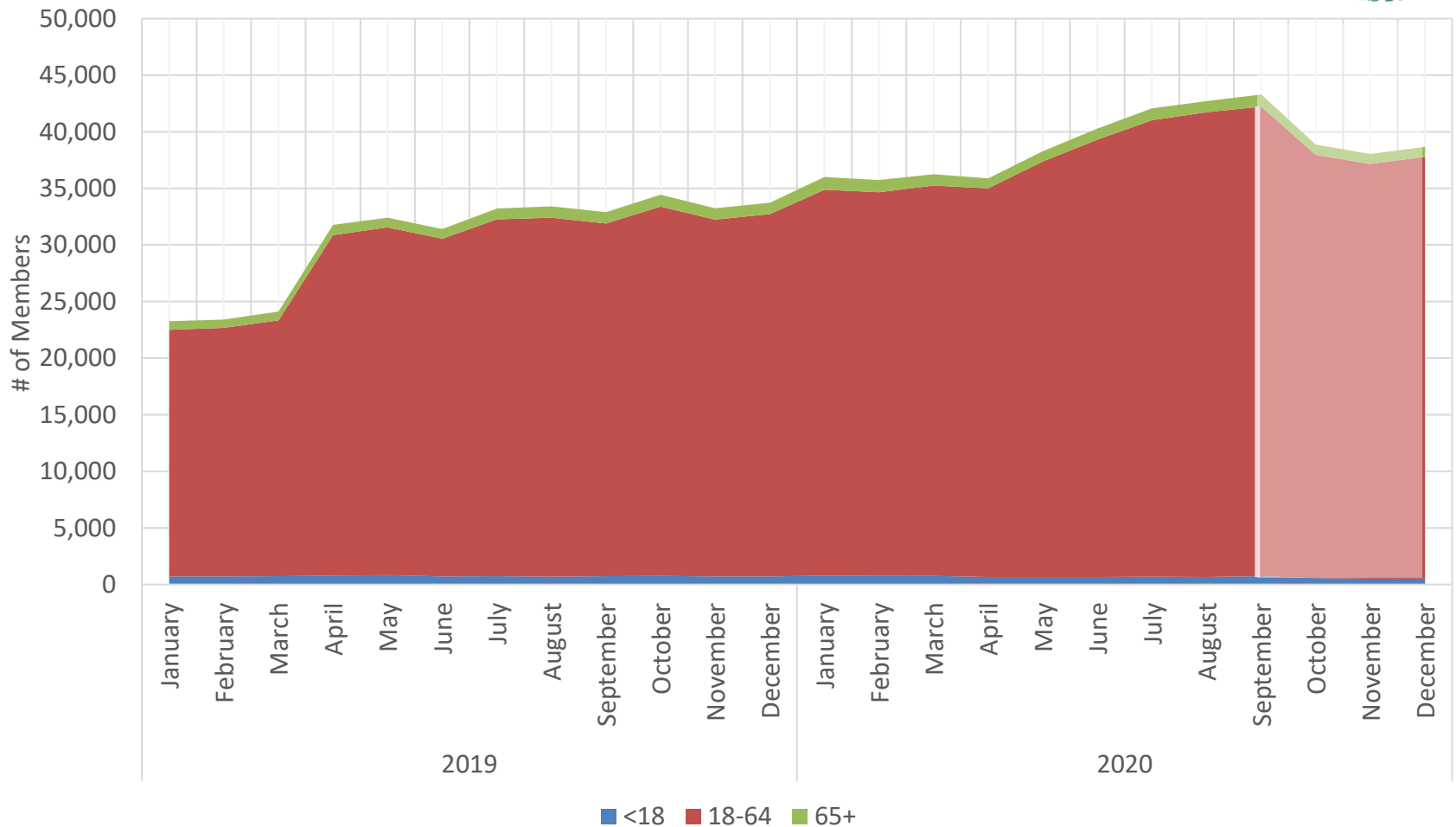
# Medicaid Members Treated in an IMD for SUD

## Metric #5



# Number of Members Receiving Any SUD Treatment

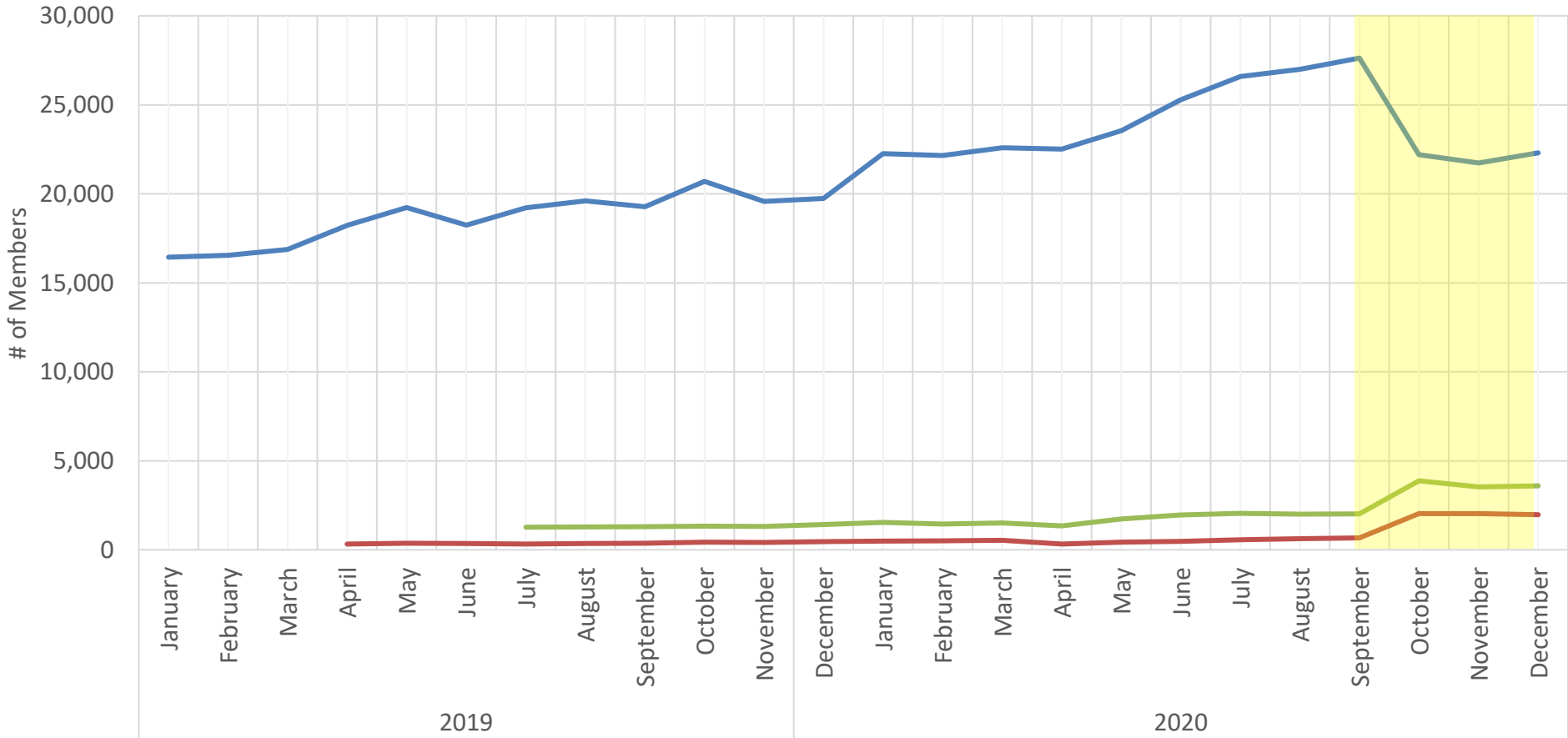
## Metric #6



# Utilization of SUD Treatment

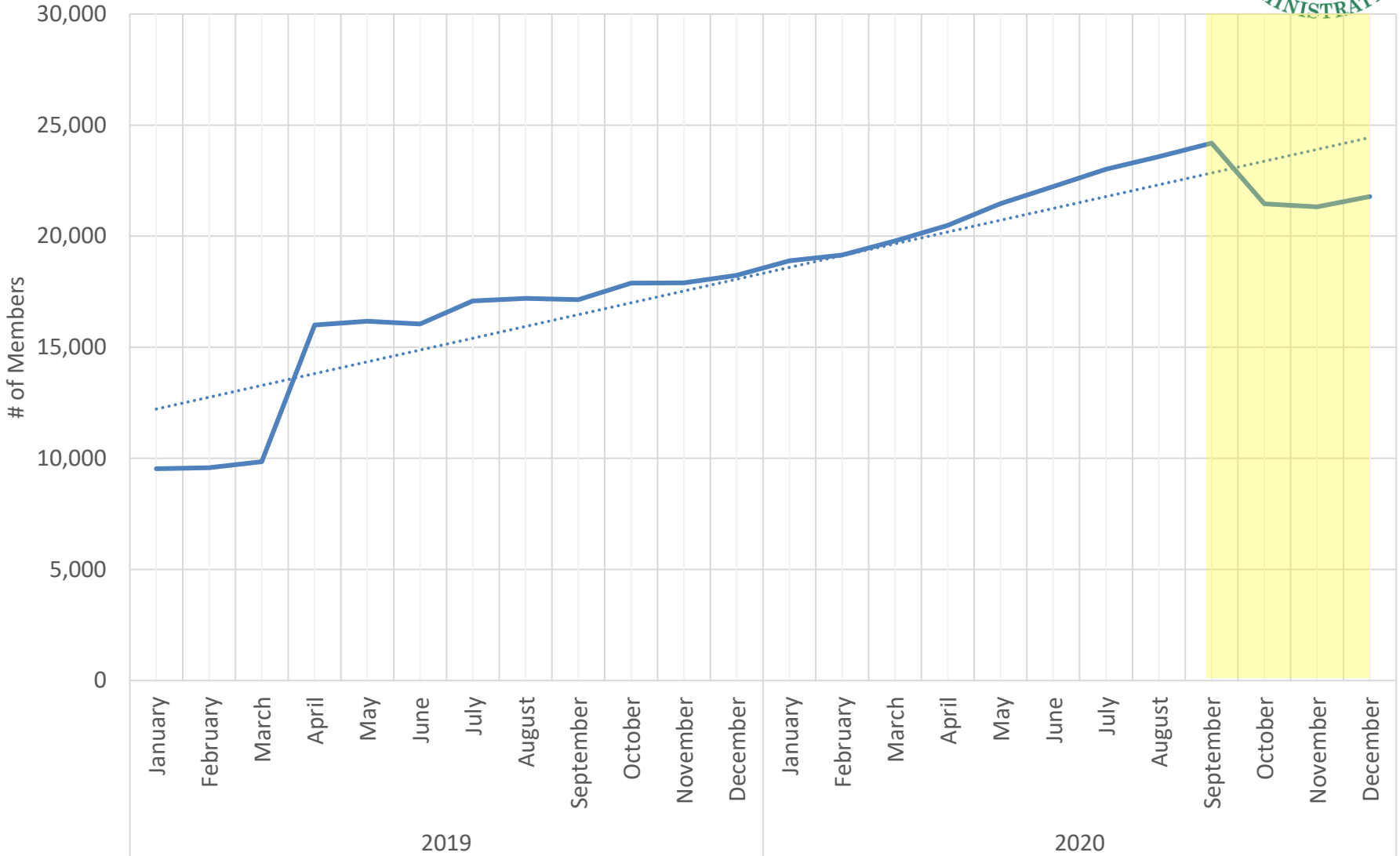
## *Metrics #7-10*

- Outpatient Services
- Intensive Outpatient/Partial Hospitalization
- Residential/Inpatient Services



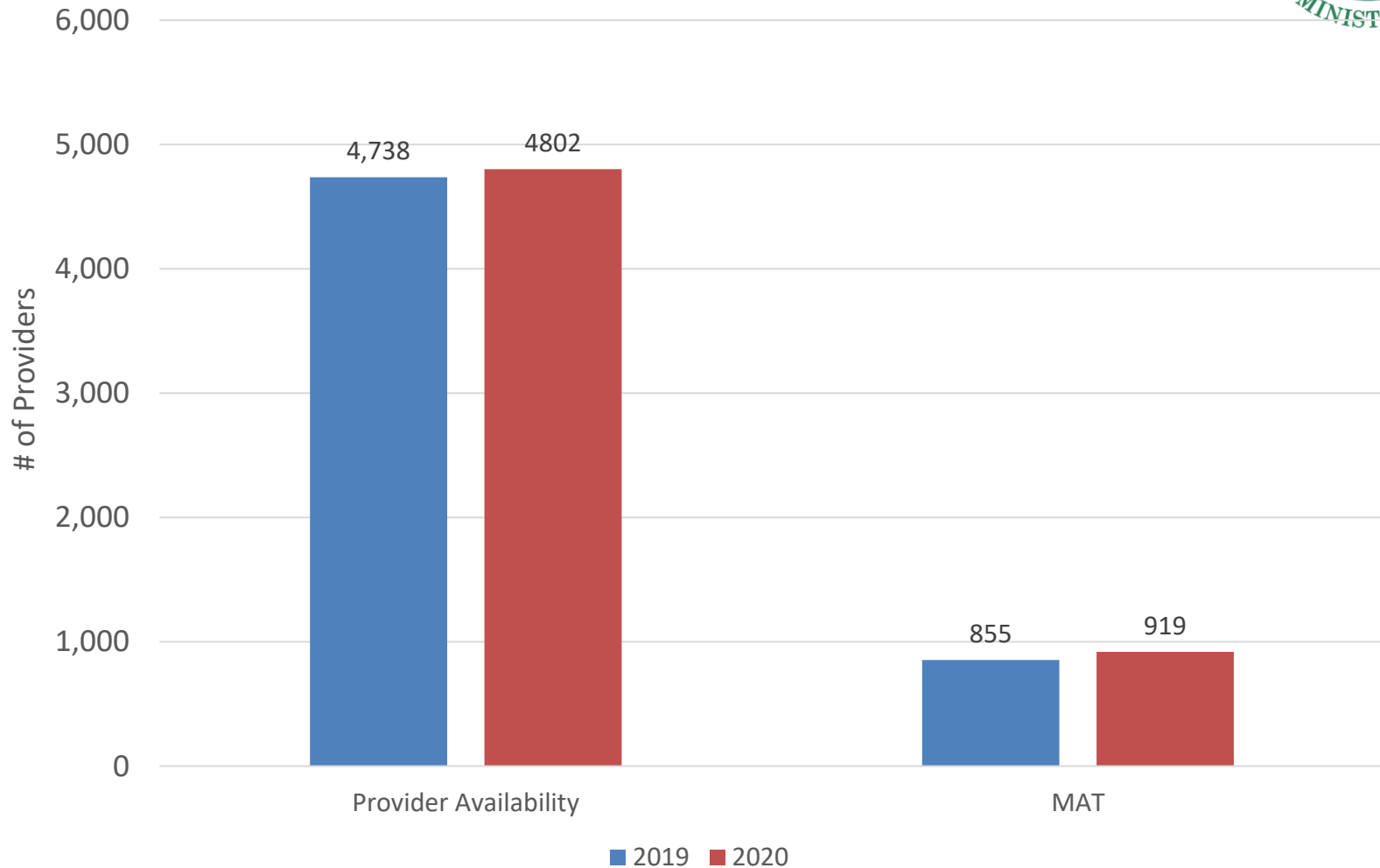
# Number of Members Utilizing MAT for SUD

## Metric #12



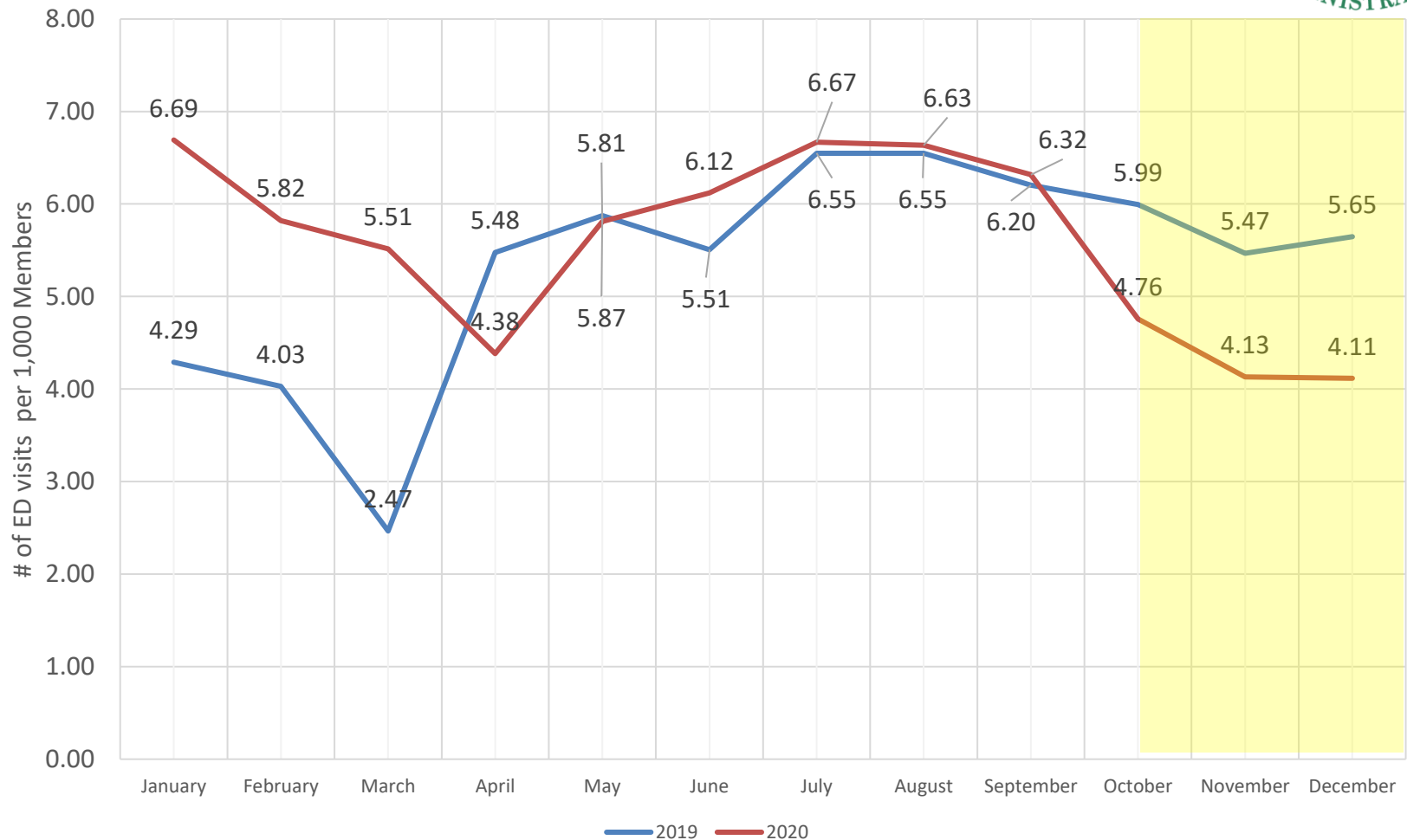
# SUD Provider Availability

## Metric #13 and #14






# Emergency Utilization for SUD per 1,000 Medicaid Members

## Metric #23



# 2020 Year in Review - Accomplishments

The OMPP and DMHA Core Committee for Substance Use Disorder (SUD) treatment achieved notable improvements in the SUD treatment system in the midst of the on-going COVID-19 crisis.

| Accomplishments   | Description  |
|---|--|
| <p><b>COVID-19 Response</b></p>                        | <ul style="list-style-type: none"> <li>• Worked with providers &amp; MCEs to adapt SUD treatment delivery during the COVID-19 pandemic so that individuals could continue to access treatment in a rapidly changing environment. Adaptations included:               <ul style="list-style-type: none"> <li>• Prior authorizations modifications for certain SUD treatment</li> <li>• Efforts to adopt telehealth treatment options</li> </ul> </li> </ul> |
| <p><b>EMS for Naloxone Coverage</b></p>                | <ul style="list-style-type: none"> <li>• Indiana adopted CMS's Emergency Triage, Treat, and Transport Model to allow for reimbursement to transport patients to non-emergency room treatment such as:               <ul style="list-style-type: none"> <li>• Substance use disorder treatment</li> <li>• Necessary emergency care (i.e., administration of naloxone)</li> </ul> </li> </ul>  |
| <p><b>Mid-Level Provider Enrollment Expansion</b></p>  | <ul style="list-style-type: none"> <li>• Made several modifications to the requirements for behavioral health treatment providers, incl. expanding the provider specialties eligible for enrollment.</li> </ul>  |

November 1, 2020, IHCP expanded eligible providers to include licensed behavioral health professionals:



1989

Mid-level providers enrolled since November 2020





# Indiana SMI Waiver

## §1115 Demonstration



# Overview and Background

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# Indiana SMI Waiver

Key Program Dates

## **January 1, 2020**

Indiana's §1115 SMI waiver demonstration goes live with an approval until December 31, 2020

## **October 26, 2020**

Indiana's §1115 SUD and SMI waivers renewals approved for additional 5 years until December 31, 2025.

# IMD Exclusion



## Federal Regulatory Definition for Institution of Mental Disease (IMD):

“A hospital, nursing facility, or other institution of **more than 16 beds** that is **primarily engaged in providing diagnosis, treatment or care of persons with mental diseases, including medical attention, nursing care and related services.** Whether an institution is an institution for mental diseases is **determined by its overall character** as that of a facility established and maintained primarily for the care and treatment of individuals with mental diseases, **whether or not it is licensed as such.**”\*

42 CFR §435.1010



# Indiana SMI Waiver

General Criteria for Qualifying IMDs - SMI

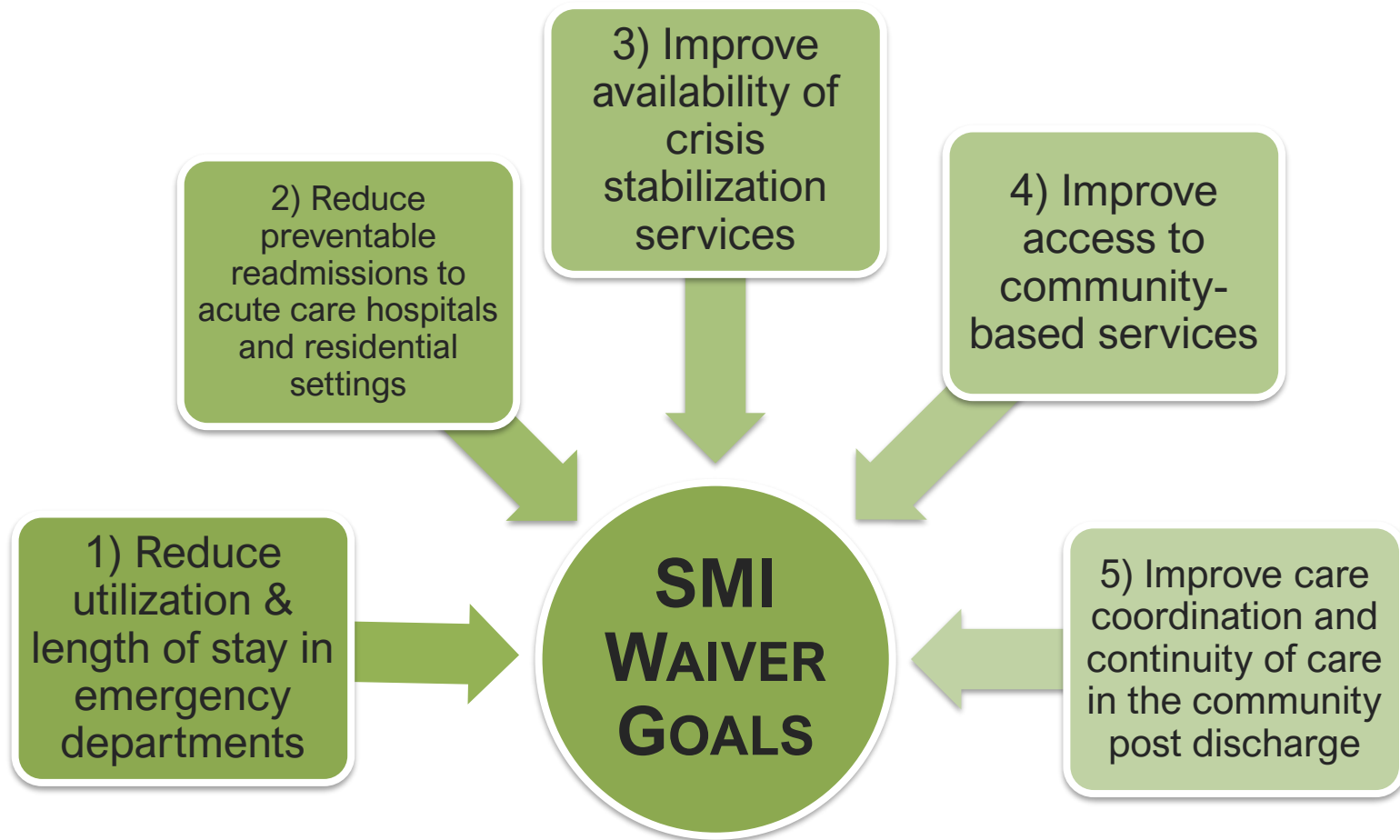
A facility will be recognized as qualifying IMD under the SMI Waiver if it meets the following requirements:

- Enrolled as a psychiatric hospital (provider type 01 and provider specialty 011) with more than 16 beds
- Certified by Division of Mental Health and Addiction (DMHA) as a private mental health institution (PMHI)\*
- State operated facilities (SOFs) are currently ineligible under the SMI waiver

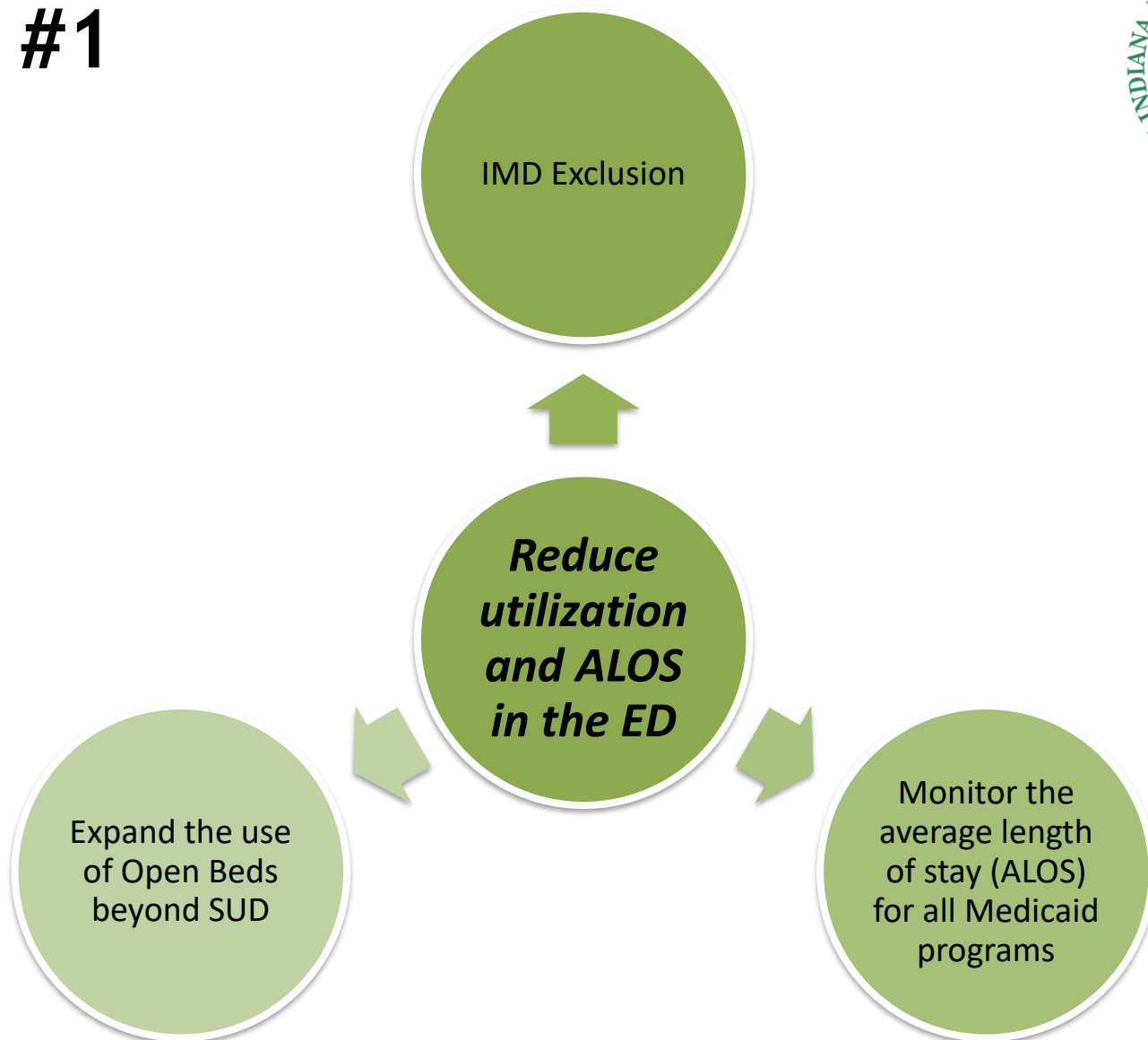


# Wavier Goals

# Indiana SMI Waiver Goals

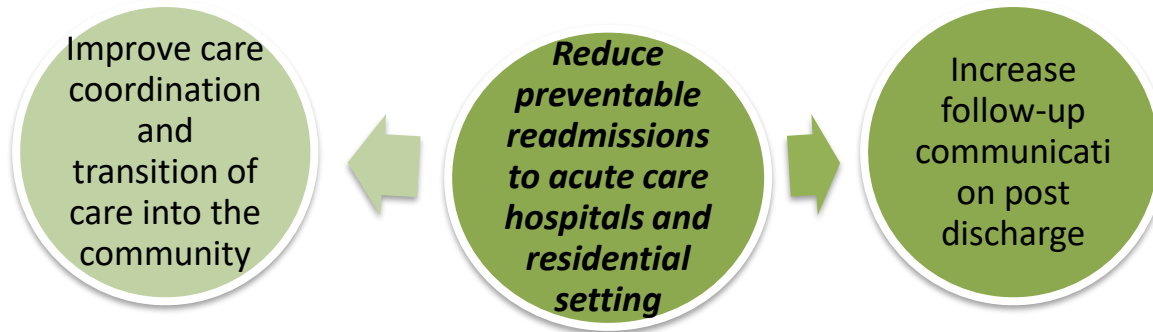


# Goal #1

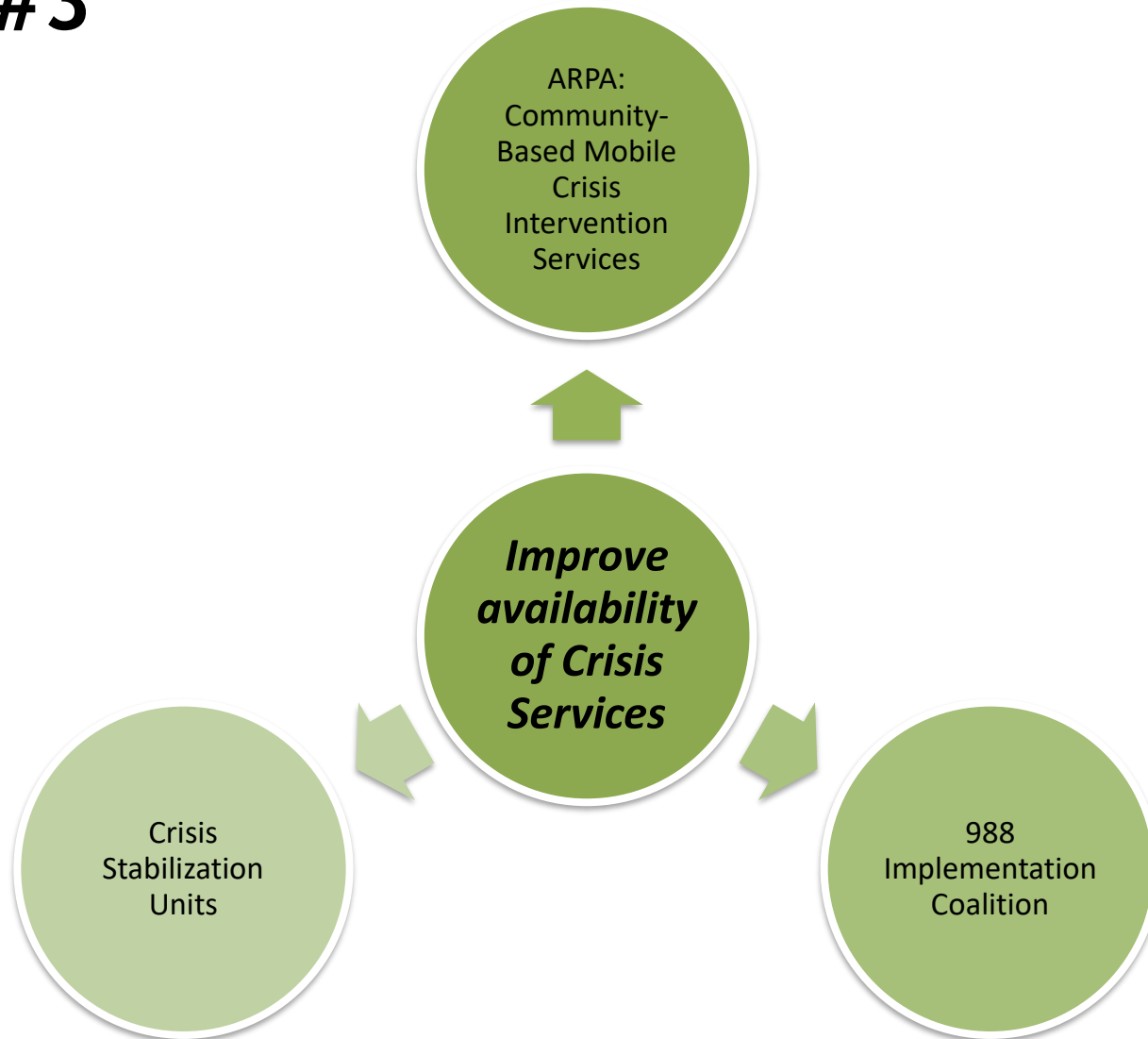




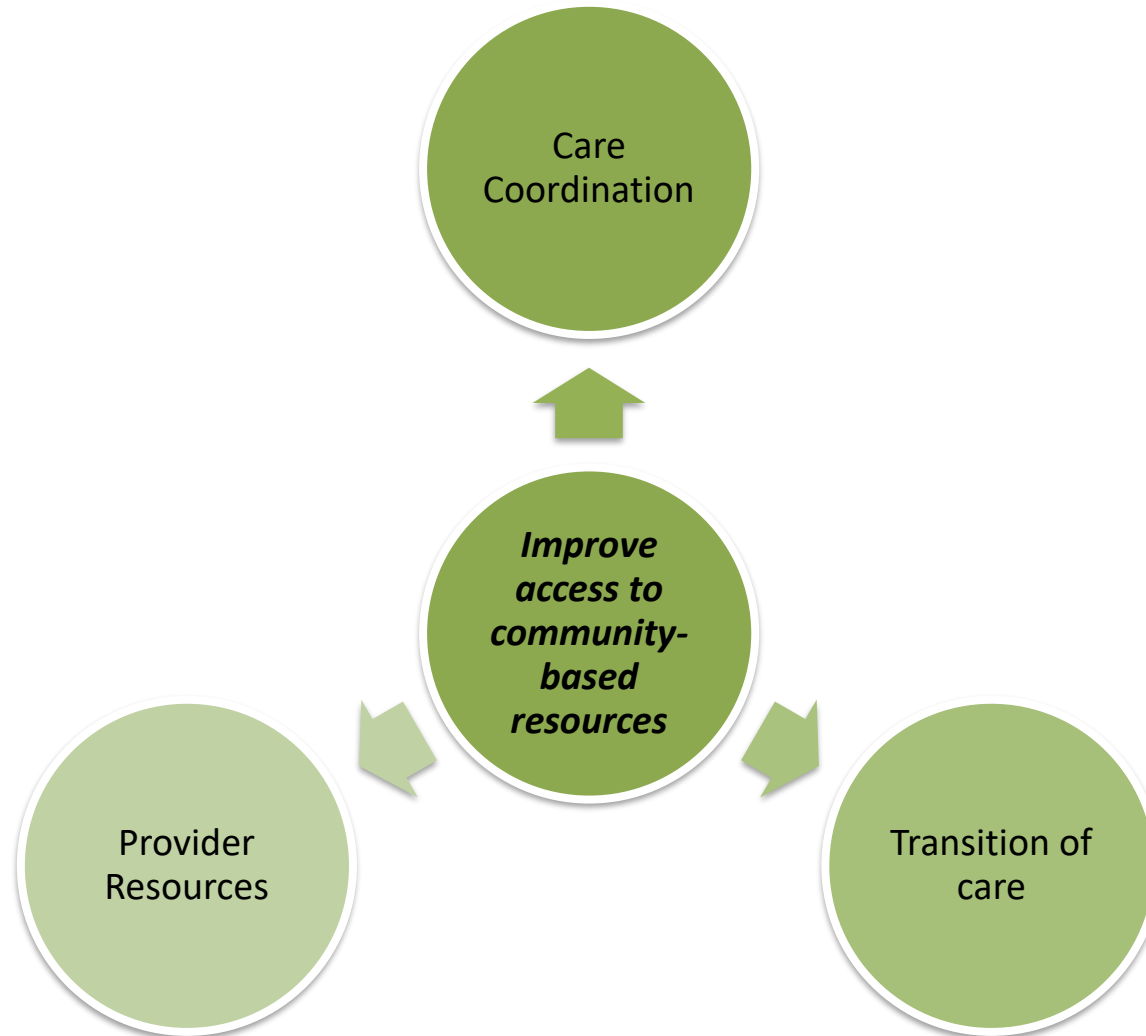
# Goal #2



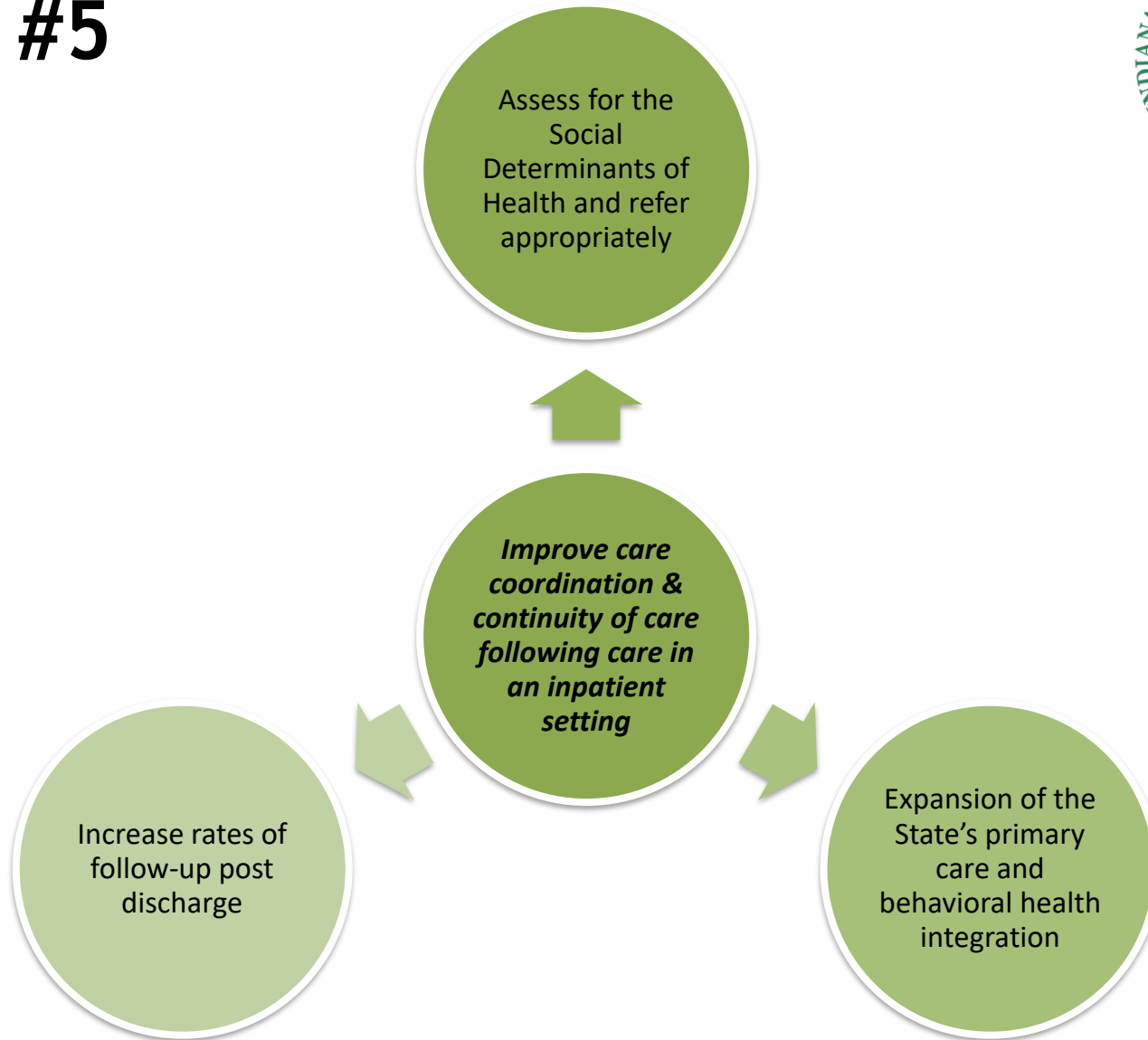
# Goal #3



# Goal #4



# Goal #5





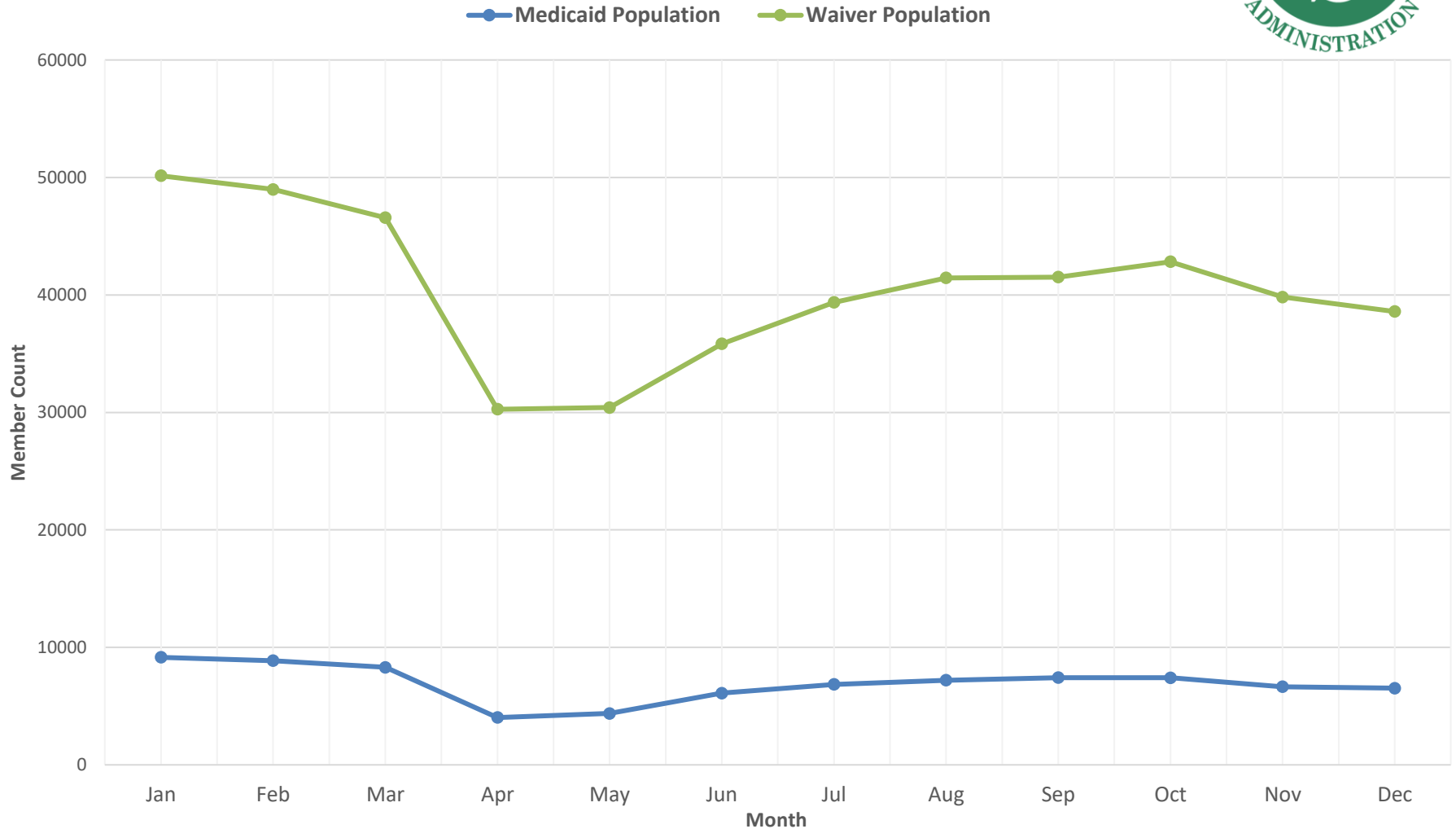
# 2020 Monitoring Report Data



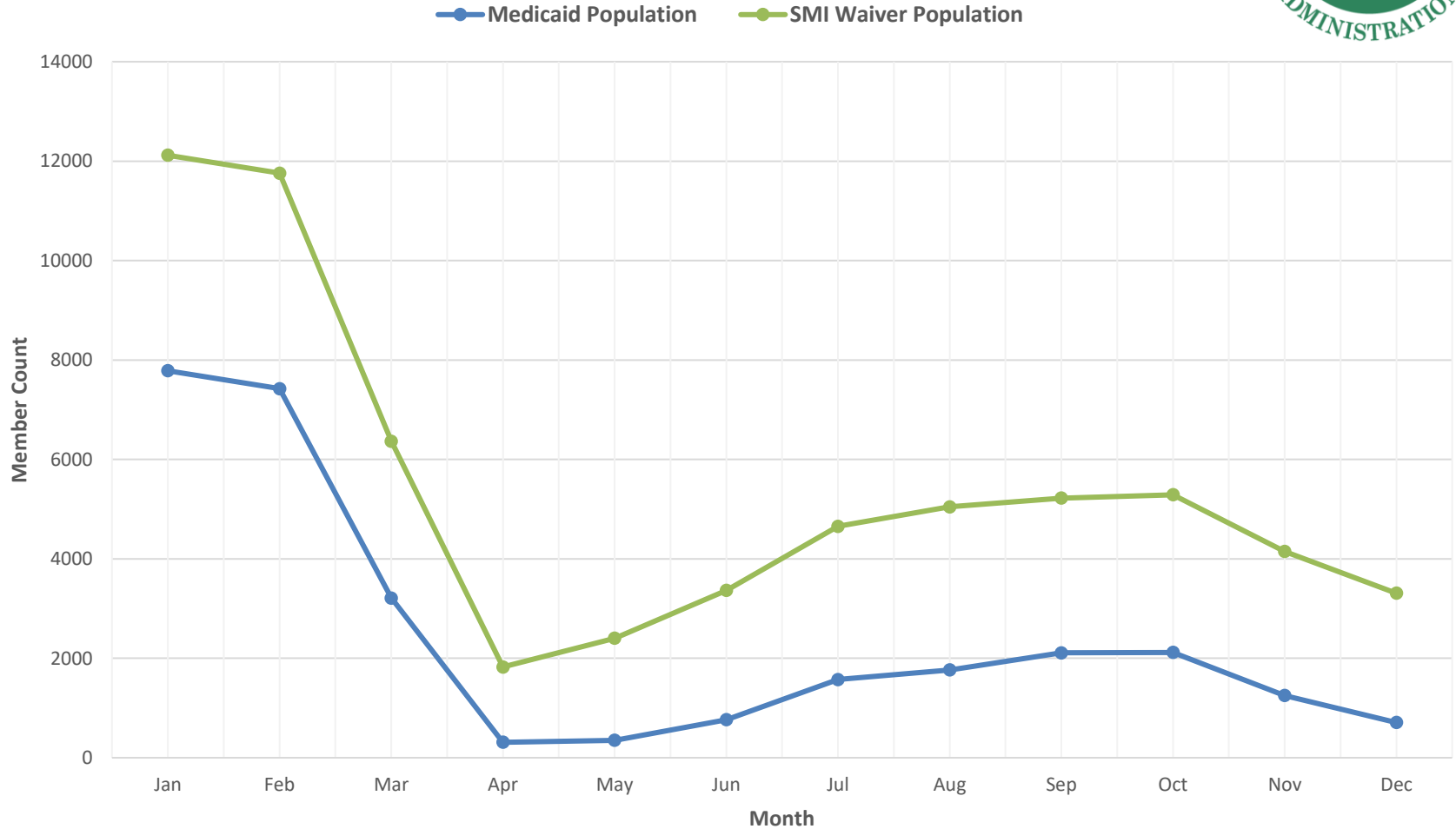
# Data Collection

- Federal reports are generated in house
- The Lewin Group is our independent evaluator
- Preliminary data
- Next slides will be service utilization during CY2020

# #15: Outpatient BH Service Utilization

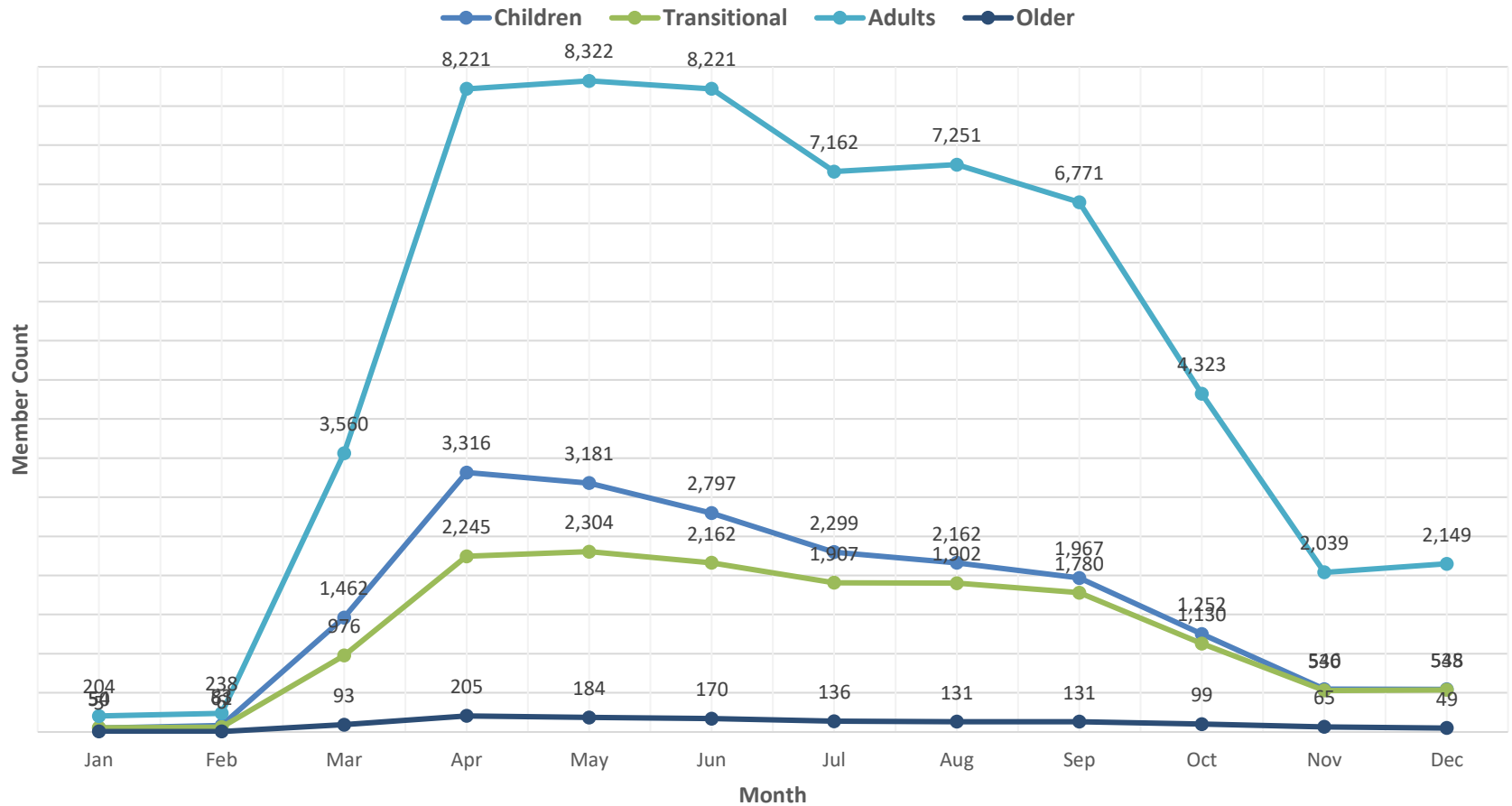


# #16: Emergency Dept. Utilization

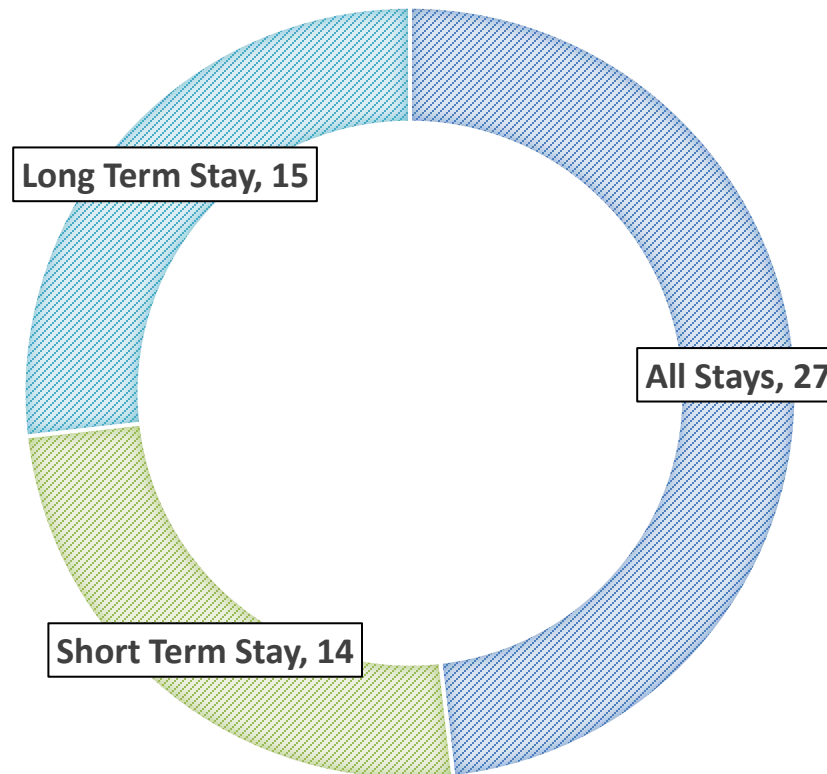




# #18: Telehealth Utilization



# #19b: Average Length of Stay for IMDs receiving FFP

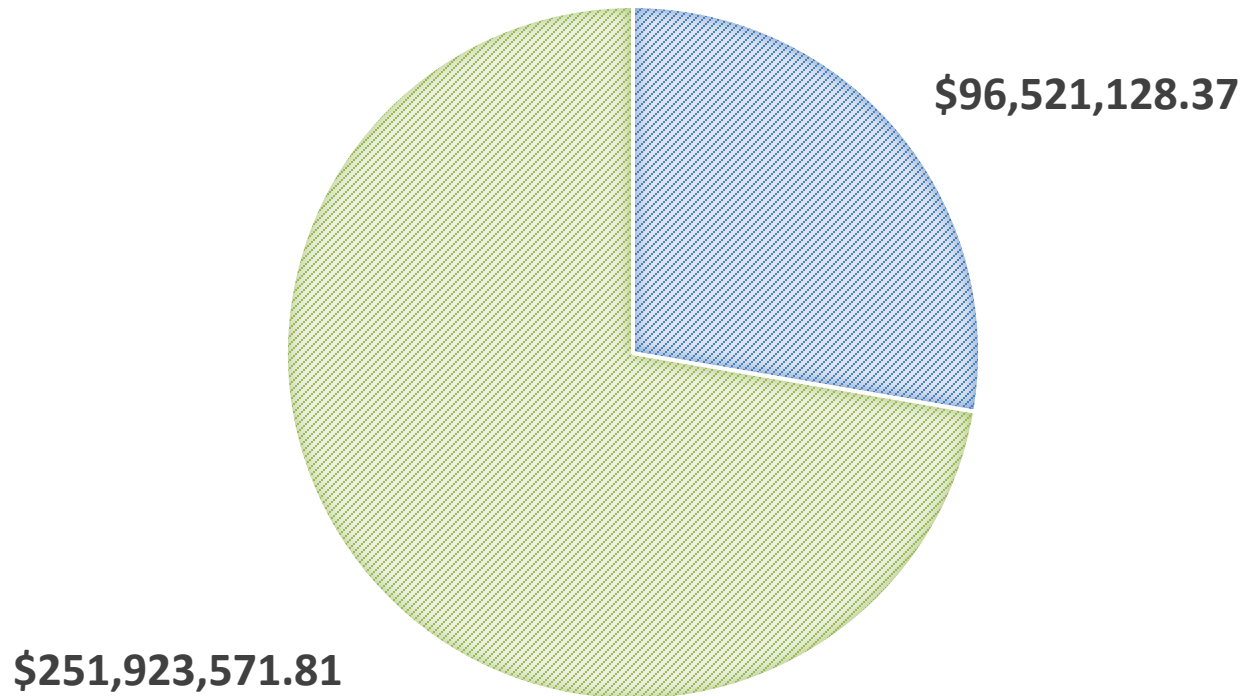


CMS requires the State to maintain a global average of less than 30 days.

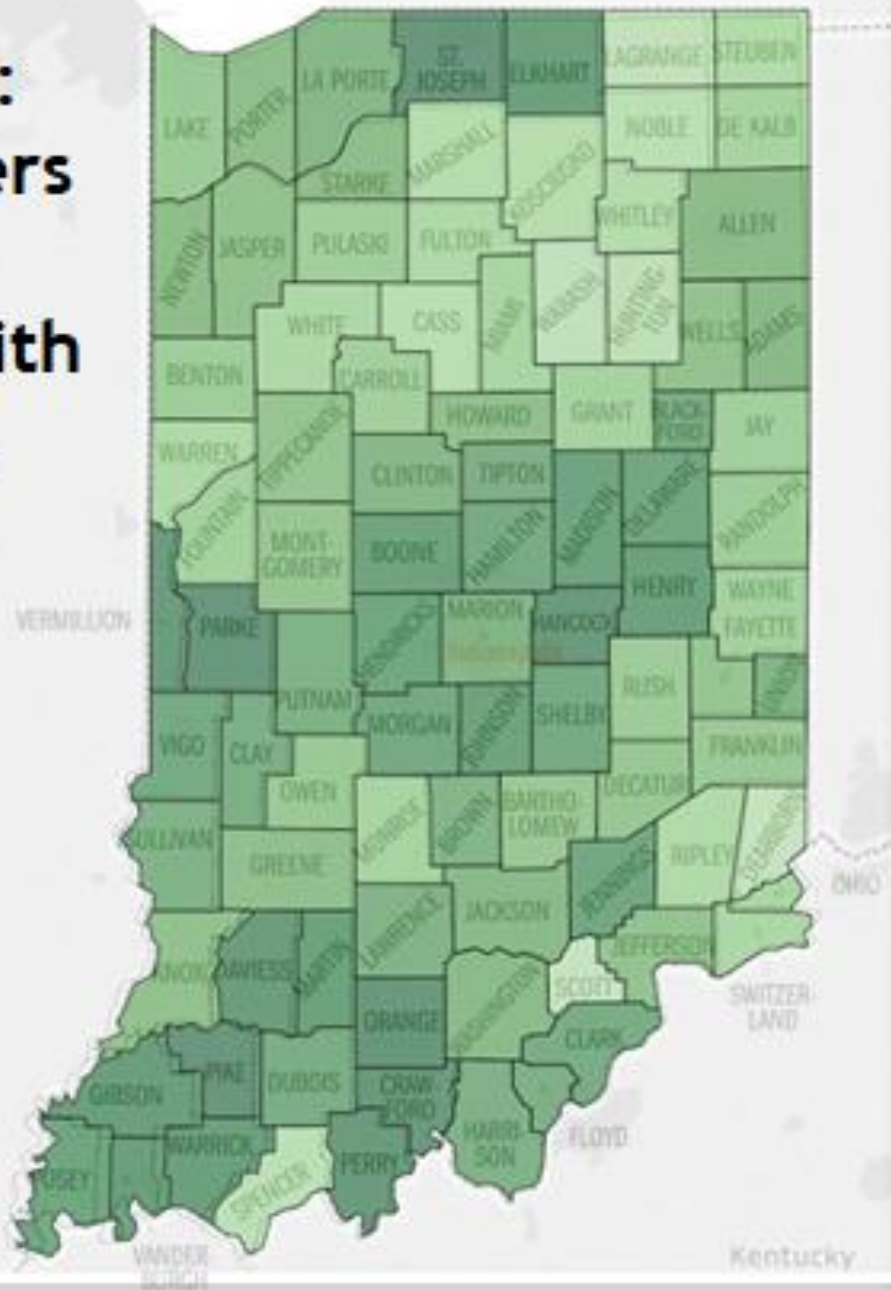
# #32 & #33: Total Cost



■ Non-Inpatient    ■ Inpatient



# Percent Change: Ratio of Providers to Medicaid Beneficiaries with a SMI Diagnosis, age 21-64



**Legend**

|                    |              |
|--------------------|--------------|
| Lightest Green     | 60% - 150%   |
| Light Green        | 151% - 240%  |
| Medium-Light Green | 241% - 330%  |
| Medium Green       | 331% - 420%  |
| Medium-Dark Green  | 421% - 510%  |
| Dark Green         | 511% - 600%  |
| Very Dark Green    | 601% - 690%  |
| Dark Green         | 691% - 780%  |
| Very Dark Green    | 781% - 870%  |
| Dark Green         | 871% - 960%  |
| Darkest Green      | 960% & Above |

# 2020 Waiver Accomplishments



## Procurement of an Independent Evaluator

- The Lewin Group
- Required by CMS
- Continued partnership with a trusted organization who is familiar with Indiana's Medicaid Population

## Average Length of Stay Maintenance

- Maintained an average of less than 30 days for short-term and long-term stays in IMDS
- This is crucial to keeping our FFP on IMD stays

## Crisis Intervention Services Overhaul

- Lead by DMHA
- 988 Implementation Coalition
- Launch of Mobile Crisis Units
- Launch of Crisis Stabilization Units
- Allows behavioral health providers and law enforcement to receive various types of crisis intervention, de-escalation, and suicide prevention training
- Has the potential to decrease Emergency Dept. utilization for the overall Behavioral Health population in the State
- Has the potential to be expanded beyond just the Medicaid population



## SMI Point of Contacts

- Serious Mental Illness (SMI) & Serious Emotional Disturbance (SED)
  - Primary: Ashiye Aator
  - Secondary: Nicole Coton
- Behavioral Health Overall
  - [SUDServices@fssa.in.gov](mailto:SUDServices@fssa.in.gov)





Questions?