

**ORDER 2013-153  
IN RE SETTLEMENT AGREEMENT**

**GLOBAL CASH ACCESS, INC.  
13-GCA-01**

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:

**APPROVED**

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APPROVES OR DISAPPROVES

the proposed terms of the Settlement Agreement.

**IT IS SO ORDERED THIS THE 12th DAY OF SEPTEMBER, 2013.**

**THE INDIANA GAMING COMMISSION:**

  
\_\_\_\_\_  
Matt Bell, Chair

ATTEST:

  
\_\_\_\_\_  
Christopher Johnston, Secretary

**STATE OF INDIANA  
INDIANA GAMING COMMISSION**

<b>IN RE THE MATTER OF:</b>	)	
	)	<b>SETTLEMENT</b>
<b>GLOBAL CASH ACCESS, INC.</b>	)	<b>13-GCA-01</b>
	)	

**SETTLEMENT AGREEMENT**

The Indiana Gaming Commission (“Commission”) by and through its Executive Director Ernest E. Yelton and Global Cash Access, Inc. (“GCA”) (collectively, the “Parties”) desire to settle this matter pursuant to the terms of this Settlement Agreement (this “Agreement”). The Parties stipulate and agree to the following:

**FINDINGS OF FACT**

1. 68 IAC 2-2-1(d) states the applicant's key persons, substantial owners, and any other persons deemed necessary to allow the commission to ensure the applicant meets the statutory criteria for licensure set forth in IC 4-33, IC 4-35, and this title must complete and submit a Personal Disclosure Form 1 application for occupational license under 68 IAC 2-3-1.
2. 68 IAC 2-2-4(b)(3) states an application shall be deemed filed when the completed application forms, including all required documents, all personal disclosure forms, materials, photographs, and application fee have been submitted. The commission will not begin its background investigation until the application is filed.
3. On January 29, 2013 the Commission’s Licensing Coordinator sent an email to GCA’s Corporate Compliance Manager requesting the Level one application for two employees. On March 13, 2013 the Licensing Coordinator emailed the Compliance Manager about the status of the applications since they were due at the beginning of March. The Compliance Manager asked for an extension until April 12, 2013. One application was received on April 19, 2013 and the other one on April 24, 2013.
4. On March 11, 2013 the Commission received notification of the appointment and promotion of two employees. The Commission’s Licensing Coordinator requested the Level one application for one employee on March 13, 2013. GCA asked for a deadline for the submission of June 5, 2013 and the application was received on June 17, 2013. For the employee receiving the promotion, the level one application was requested on April 2, 2013. GCA asked for a deadline for the submission of June 28, 2013 and the application was received on July 1, 2013. For both of these applications the Commission was very generous in approving the deadline dates.

**TERMS AND CONDITIONS**

The Commission and GCA hereby agree to a monetary settlement of \$6,000 in settlement of GCA's alleged untimely filing of the applications as explained in this Agreement. This Agreement extends only to those alleged violations specifically alleged herein. If the Commission subsequently discovers facts that give rise to additional or separate potential violations, which are not described herein, the Commission may pursue disciplinary action for such potential violations even if such potential violations are similar or related to an incident described herein.

Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, GCA agrees to promptly remit payment in the amount of \$6,000 and shall waive all rights to further administrative or judicial review of the subject matter of this Agreement.

This Agreement constitutes the entire agreement between the parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or referenced within this document will be valid provisions of this Agreement. This Agreement may not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

This Agreement shall be binding upon the Commission and GCA.

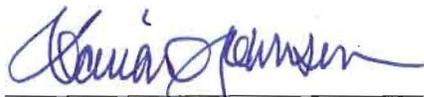
IN WITNESS WHEREOF, the parties have signed this Agreement on the below date and year.



Ernest E. Yelton, Executive Director  
Indiana Gaming Commission

9.12.13

Date



David D. Johnson, EVP/General Counsel  
Global Cash Access, Inc.

9-9-13

Date