

**ORDER 2018-26
IN RE SETTLEMENT AGREEMENT**

**SUZOHAPP GAMING SOLUTIONS, INC.
18-SUZOHAPP-01**

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:

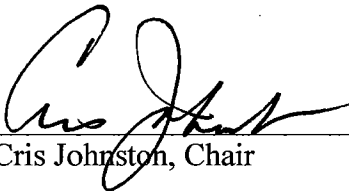
APPROVED

APPROVES OR DISAPPROVES

the proposed terms of the Settlement Agreement.

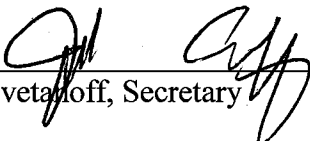
IT IS SO ORDERED THIS THE 8th DAY OF MARCH, 2018.

THE INDIANA GAMING COMMISSION:



Cris Johnston, Chair

ATTEST:



Joseph Svetajoff, Secretary

**STATE OF INDIANA
INDIANA GAMING COMMISSION**

IN RE THE MATTER OF:)	
)	SETTLEMENT
SUZOHAPP GAMING SOLUTIONS, LLC)	18-SUZOHAPP-01
)	

SETTLEMENT AGREEMENT

The Indiana Gaming Commission (“Commission”) by and through its Executive Director Sara Gonso Tait and SUZOHAPP Gaming Solutions, LLC (“SuzoHapp”) (collectively, the “Parties”) desire to settle this matter prior to the initiation of a disciplinary proceeding.

The Parties stipulate and agree to the following:

FINDINGS OF FACT

1. 68 IAC 2-2-1(d) states the applicant's key persons, substantial owners, and any other persons deemed necessary to allow the commission to ensure the applicant meets the statutory criteria for licensure set forth in IC 4-33, IC 4-35, and this title must complete and submit a Personal Disclosure Form 1 application for occupational license under 68 IAC 2-3-1.
2. 68 IAC 2-2-4(b)(3) states an application shall be deemed filed when the completed application forms, including all required documents, all personal disclosure forms, materials, photographs and application fee have been submitted. The commission will not begin its background investigation until the application is filed.
3. On December 21, 2017, the Commission’s Director of Compliance received an email from the Commission’s Director of Background Investigations (DBI) regarding a late filing for a level one (1) license.
4. On September 19, 2017, the DBI instructed SuzoHapp’s Compliance Division that the Director of Sales needed to apply for a level one (1) license. SuzoHapp requested thirty (30) days to file the application.
5. On September 25, 2017, the DBI responded that SuzoHapp’s request to file the application within thirty (30) days was accepted and a due date of October 25, 2017 was established.

6. On September 25, 2017, SuzoHapp's Compliance representative acknowledged the deadline and advised she would pass the information along to the Director of Sales.
7. On December 19, 2017, the application was received by the Commission, which was fifty-four (54) days past the agreed deadline.

TERMS AND CONDITIONS

Commission staff alleges that the acts and omissions of SuzoHapp by and through its Agents as described herein constitute a breach of the IC 4-33 and/or 68 IAC. The Commission and SuzoHapp hereby agree to a monetary settlement of the alleged violations in lieu of the Commission pursuing formal disciplinary action against SuzoHapp.

SuzoHapp shall pay to the Commission a total of \$1,500 in settlement of the violations explained in this Settlement Agreement ("Agreement"). This agreement extends only to those violations and findings of fact, specifically alleged herein. If the Commission subsequently discovers facts that give rise to additional or separate violations, which are not described herein, the Commission may pursue disciplinary action for such violations even if the subsequent violations are similar or related to an incident described herein.

Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, SuzoHapp agrees to promptly remit payment in the amount of \$1,500 and shall waive all rights to further administrative or judicial review.

This Agreement constitutes the entire agreement between the Parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or referenced within this document will be valid provisions of this Agreement. This Agreement may not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

This Agreement shall be binding upon the Commission and SuzoHapp.

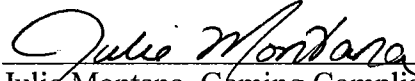
IN WITNESS WHEREOF, the Parties have signed this Agreement on the below date and year.



Sara Gonso Tait, Executive Director
Indiana Gaming Commission

3/6/18

Date



Julie Montana, Gaming Compliance
Coordinator
SUZOHAPP Gaming Solutions,
LLC

23rd February 2018

Date