

FEDERAL IDENTIFICATION NUMBER:  
35-0921690

STATE OF INDIANA  
STATE BOARD OF ACCOUNTS  
302 W. WASHINGTON ST., E418  
INDIANAPOLIS, IN 46204-2765  
TELEPHONE: (317) 232-2513

UNIT NAME:  
NEW HARMONY WORKINGMENS INSTITUTE

COUNTY:  
POSEY COUNTY

ID: 65-5-187  
(State Board of Accounts USE ONLY)

ANNUAL LIBRARY FINANCIAL REPORT  
PRESCRIBED BY  
THE STATE BOARD OF ACCOUNTS

LAR-1 (REVISED 2009)

FOR THE FISCAL YEAR THAT ENDED 2010

CONTENTS:

- PART 1 - STATEMENT OF RECEIPTS, DISBURSEMENTS, CASH BALANCES AND INVESTMENT BALANCES
- PART 2 - RECEIPT REPORT - ACTUAL RECEIPTS BY FUND
- PART 3 - DISBURSEMENTS REPORT BY FUND
- PART 4 - CASH AND INVESTMENTS
- PART 5 - LONG-TERM INDEBTEDNESS (NOT USED FOR 2009)
- PART 6 - INTERGOVERNMENTAL EXPENDITURES
- PART 7 - STATEMENT OF FEDERAL GRANT RECEIPTS AND DISBURSEMENTS (NOT USED FOR 2009)
- PART 8 - STATEMENT OF STATE GRANT RECEIPTS AND DISBURSEMENTS (NOT USED FOR 2009)
- PART 9 - REPORT ON GENERAL FIXED ASSETS (NOT USED FOR 2009)
- PART 10 - REPORT OF FINANCIAL ASSISTANCE TO NONGOVERNMENTAL ENTITIES

CERTIFICATION: This is to certify that the data contained in this report is accurate and agrees with the financial records, to the best of my knowledge and belief.

SIGNATURE OF OFFICIAL: \_\_\_\_\_ TITLE: DIRECTOR  
PRINTED NAME OF OFFICIAL: SHERRY GRAVES DATE SIGNED: \_\_\_\_\_  
ADDRESS: 407 W. TAVERN ST. CITY: NEW HARMONY  
P.O. BOX 368 EMAIL ADDRESS: SGRAVES@WORKINGMENSINSTITUTE.ORG  
TELEPHONE (BETWEEN THE HOURS OF 8:30 AM AND 4:00 PM): (812) 682-4806 ZIP: 47631-0368

\*If the unit of government, or departments thereof, has more than one Federal Identification Number, please list on a separate sheet and attach to this report (1) the assigned number(s), (2) the name or department identified by this number(s), and (3) corresponding address(es).

(LAR-1) ANNUAL FINANCIAL REPORT

UNIT NAME: NEW HARMONY WORKINGMENS INSTITUTE ID: 65-5-187

**CASH UNITS ONLY**

COUNTY: POSEY COUNTY

PART 1 - STATEMENT OF RECEIPTS, DISBURSEMENTS, CASH BALANCES, AND INVESTMENT BALANCES  
FOR THE FISCAL YEAR ENDING 2010

PAGE: 1

<u>Funds</u>	<u>Beginning Cash Fund Balances 01/01/2010</u>	<u>2010 Receipts</u>	<u>2010 Disbursements</u>	<u>Ending Cash Fund Balances 12/31/2010</u>	<u>Investments at 12/31/2010</u>	<u>Total Cash and Investments at 12/31/2010</u>
<b>Section I</b>						
<b>FUND TYPE: GENERAL</b>						
100 GENERAL	\$-24,268.02	\$161,119.72	\$135,229.37	\$1,622.33	\$0.00	\$1,622.33
<b>Total by Fund Type:</b>	<b>\$-24,268.02</b>	<b>\$161,119.72</b>	<b>\$135,229.37</b>	<b>\$1,622.33</b>	<b>\$0.00</b>	<b>\$1,622.33</b>
<b>FUND TYPE: SPECIAL REVENUE</b>						
301 ENDOWMENT #1	\$1,953,842.54	\$42,735.15	\$196,242.53	\$1,800,335.16	\$1,469,838.39	\$3,270,173.55
300 GIFT	\$7,041.86	\$27,059.29	\$14,216.46	\$19,884.69	\$0.00	\$19,884.69
700 LEVY EXCESS	\$0.00	\$184.78	\$0.00	\$184.78	\$0.00	\$184.78
800 STATE GRANT	\$7,525.48	\$4,529.00	\$6,950.00	\$5,104.48	\$0.00	\$5,104.48
824 STATE GRANT # 2	\$0.00	\$50,000.00	\$0.00	\$50,000.00	\$0.00	\$50,000.00
<b>Total by Fund Type:</b>	<b>\$1,968,409.88</b>	<b>\$124,508.22</b>	<b>\$217,408.99</b>	<b>\$1,875,509.11</b>	<b>\$1,469,838.39</b>	<b>\$3,345,347.50</b>
<b>FUND TYPE: CAPITAL PROJECTS</b>						
950 CONSTRUCTION	\$188,100.00	\$414,504.16	\$558,396.14	\$44,208.02	\$0.00	\$44,208.02
400 LIBRARY CAPITAL PROJECTS	\$828.69	\$0.00	\$0.00	\$828.69	\$0.00	\$828.69
500 LIBRARY IMPROVEMENT RESERVE	\$8,192.37	\$42,000.00	\$68,216.33	\$-18,023.96	\$0.00	\$-18,023.96
<b>Total by Fund Type:</b>	<b>\$197,121.06</b>	<b>\$456,504.16</b>	<b>\$626,612.47</b>	<b>\$27,012.75</b>	<b>\$0.00</b>	<b>\$27,012.75</b>
<b>Subtotal All Funds:</b>	<b>\$2,141,262.92</b>	<b>\$742,132.10</b>	<b>\$979,250.83</b>	<b>\$1,904,144.19</b>	<b>\$1,469,838.39</b>	<b>\$3,373,982.58</b>

**Section II**

Less:

Investment Sales	\$0.00					
Investment Purchases			\$0.00			
Transfers In		\$419,396.18				
Transfers Out			\$419,396.18			
<b>Net Receipts and Disbursements</b>		<b>\$322,735.92</b>	<b>\$559,854.65</b>			

(LAR-1) ANNUAL FINANCIAL REPORT  
 PART 2 - RECEIPTS  
 FOR THE FISCAL YEAR ENDING 2010

ID: 65-5-187 PAGE: 1

UNIT NAME: NEW HARMONY WORKINGMENS INSTITUTE  
 COUNTY: POSEY COUNTY

<u>Title</u>	<u>Amount</u>
Fund: <u>100 GENERAL</u>	
GENERAL PROPERTY TAXES	\$89,790.41
GENERAL PROPERTY TAXES	\$0.00
<b>Total for: TAXES</b>	<b>\$89,790.41</b>
FINANCIAL INSTITUTION TAX INTERGOVERNMENTAL	\$1,132.00
AUTO AND AIRCRAFT EXCISE TAX INTERGOVERNMENTAL	\$9,084.47
COMMERCIAL VEHICLE EXCISE TAX INTERGOVERNMENTAL	\$208.00
COUNTY OPTION INCOME TAX INTERGOVERNMENTAL	\$11,514.31
TOWNSHIP CONTRACTS (LIBRARIES)	\$8,000.00
<b>Total for: INTERGOVERNMENTAL</b>	<b>\$29,938.78</b>
FINES AND FEES-OTHER	\$410.45
<b>Total for: FINES, FORFEITURES, AND FEES</b>	<b>\$410.45</b>
INTEREST EARNED	\$72.44
INTEREST EARNED	\$2,907.64
<b>Total for: MISCELLANEOUS</b>	<b>\$2,980.08</b>
TRANSFER OF FUNDS-OTHER	\$23,000.00
TRANSFER OF FUNDS-OTHER	\$15,000.00
TRANSFER OF FUNDS-OTHER	\$0.00
<b>Total for: OTHER FINANCING SOURCES</b>	<b>\$38,000.00</b>
<b>TOTAL RECEIPTS FOR 100 GENERAL</b>	<b>\$161,119.72</b>
Fund: <u>800 STATE GRANT</u>	
STATE GRANTS-OTHER	\$4,529.00
<b>Total for: INTERGOVERNMENTAL</b>	<b>\$4,529.00</b>
<b>TOTAL RECEIPTS FOR 800 STATE GRANT</b>	<b>\$4,529.00</b>
Fund: <u>301 ENDOWMENT #1</u>	
INTEREST EARNED	\$42,735.15
<b>Total for: MISCELLANEOUS</b>	<b>\$42,735.15</b>
<b>TOTAL RECEIPTS FOR 301 ENDOWMENT #1</b>	<b>\$42,735.15</b>
Fund: <u>300 GIFT</u>	
CONTRIBUTIONS AND DONATIONS	\$6,465.92
CONTRIBUTIONS AND DONATIONS	\$0.00
<b>Total for: MISCELLANEOUS</b>	<b>\$6,465.92</b>
TRANSFER OF FUNDS-OTHER	\$19,544.37
REFUNDS-OTHER	\$1,049.00
<b>Total for: OTHER FINANCING SOURCES</b>	<b>\$20,593.37</b>
<b>TOTAL RECEIPTS FOR 300 GIFT</b>	<b>\$27,059.29</b>

(LAR-1) ANNUAL FINANCIAL REPORT  
 PART 2 - RECEIPTS  
 FOR THE FISCAL YEAR ENDING 2010

ID: 65-5-187 PAGE: 2

UNIT NAME: NEW HARMONY WORKINGMENS INSTITUTE  
 COUNTY: POSEY COUNTY

<u>Title</u>	<u>Amount</u>
Fund: <u>824 STATE GRANT # 2</u>	
STATE GRANTS-CULTURE AND RECREATION	\$50,000.00
STATE GRANTS-CULTURE AND RECREATION	\$0.00
<b>Total for: INTERGOVERNMENTAL</b>	<b>\$50,000.00</b>
<b>TOTAL RECEIPTS FOR 824 STATE GRANT # 2</b>	<b>\$50,000.00</b>
Fund: <u>700 LEVY EXCESS</u>	
GENERAL PROPERTY TAXES	\$184.78
<b>Total for: TAXES</b>	<b>\$184.78</b>
<b>TOTAL RECEIPTS FOR 700 LEVY EXCESS</b>	<b>\$184.78</b>
Fund: <u>950 CONSTRUCTION</u>	
INTEREST EARNED	\$0.00
INTEREST EARNED	\$584.68
<b>Total for: MISCELLANEOUS</b>	<b>\$584.68</b>
TRANSFER OF FUNDS-OTHER	\$319,851.81
REIMBURSEMENTS-OTHER	\$94,067.67
<b>Total for: OTHER FINANCING SOURCES</b>	<b>\$413,919.48</b>
<b>TOTAL RECEIPTS FOR 950 CONSTRUCTION</b>	<b>\$414,504.16</b>
Fund: <u>500 LIBRARY IMPROVEMENT RESERVE</u>	
TRANSFER OF FUNDS-OTHER	\$42,000.00
<b>Total for: OTHER FINANCING SOURCES</b>	<b>\$42,000.00</b>
<b>TOTAL RECEIPTS FOR 500 LIBRARY IMPROVEMENT RESERVE</b>	<b>\$42,000.00</b>
<b>Total Receipts:</b>	<b>\$742,132.10</b>

(LAR-1) ANNUAL FINANCIAL REPORT  
PART 3 - DISBURSEMENTS  
FOR THE FISCAL YEAR ENDING 2010

ID: 65-5-187 PAGE: 1  
COUNTY: POSEY COUNTY  
UNIT NAME: NEW HARMONY WORKINGMENS INSTITUTE

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Fund:	100 GENERAL		
	PERSONAL SERVICES		\$50,046.38
	SUPPLIES		\$3,986.49
	OTHER SERVICES AND CHARGES		\$39,061.45
	CAPITAL OUTLAY		\$40,515.05
	OTHER DISBURSEMENTS		\$1,620.00
	TRANSFER OF FUNDS		\$0.00
	<b>TOTAL</b>		<b>\$135,229.37</b>

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Fund:	800 STATE GRANT		
	OTHER SERVICES AND CHARGES		\$6,950.00
	<b>TOTAL</b>		<b>\$6,950.00</b>

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Fund:	301 ENDOWMENT #1		
	TRANSFER OF FUNDS		\$196,242.53
	<b>TOTAL</b>		<b>\$196,242.53</b>

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Fund:	300 GIFT		
	OTHER SERVICES AND CHARGES		\$14,216.46
	<b>TOTAL</b>		<b>\$14,216.46</b>

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Fund:	950 CONSTRUCTION		
	CAPITAL OUTLAY		\$335,242.49
	TRANSFER OF FUNDS		\$223,153.65
	<b>TOTAL</b>		<b>\$558,396.14</b>

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Fund:	500 LIBRARY IMPROVEMENT RESERVE		
	OTHER SERVICES AND CHARGES		\$14,616.33
	CAPITAL OUTLAY		\$53,600.00
	<b>TOTAL</b>		<b>\$68,216.33</b>

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<b>TOTAL DISBURSEMENTS:</b>			<b>\$979,250.83</b>
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CASH

<u>Purchase Date</u>	<u>Fund Name</u>	<u>Description</u>	<u>Serial No./Acct. No.</u>	<u>Investment Balance, Jan 1</u>	<u>Maturity Date</u>	<u>Interest Rate</u>	<u>Purchase Cost</u>
		CHECKING	3200001547				\$13,942.48
		CASH ACCOUNT SMITH BARNEY	657-01810-13R				\$165,248.39
		MONEY MARKET SAVINGS	7692192755				\$69,947.13
		FIFTH THIRD ESCROW	9691713607				\$19,338.79
<b>Total CASH:</b>							<b>\$268,476.79</b>

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INVESTMENTS

<u>Purchase Date</u>	<u>Fund Name</u>	<u>Description</u>	<u>Serial No./Acct. No.</u>	<u>Investment Balance, Jan 1</u>	<u>Maturity Date</u>	<u>Interest Rate</u>	<u>Purchase Cost</u>
01/01/2010	301 ENDOWMENT #1	SMITH BARNEY STOCK	657-01810-13	\$1,196,580.80	12/31/2011	1.00	\$1,279,341.15
01/01/2010	301 ENDOWMENT #1	HILLIARD LYONS STOCK	63-0064-01-2	\$167,612.23	12/31/2011	1.00	\$190,497.24
<b>Total by Fund:</b>							<b>\$1,469,838.39</b>
<b>Total INVESTMENTS:</b>							<b>\$1,469,838.39</b>

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**Total Cash and Investments:** **\$1,738,315.18**

(LAR-1) ANNUAL FINANCIAL REPORT

UNIT NAME: NEW HARMONY WORKINGMENS INSTITUTE ID: 65-5-187  
COUNTY: POSEY COUNTY

PAGE: 1

FOR THE FISCAL YEAR ENDING 2010

PART 5 - INDEBTEDNESS

OUTSTANDING 1-1- (BEGINNING YEAR)

PRINCIPAL ISSUED DURING YEAR

PRINCIPAL RETIRED DURING YEAR

OUTSTANDING 12-31- (ENDING YEAR)

INTEREST PAID DURING (FULL YEAR)

PART 6 - INTERGOVERNMENTAL EXPENDITURES

OF THE EXPENDITURES REPORTED IN PART 3, HOW MUCH WAS PAID TO  
OTHER GOVERNMENTAL UNITS FOR:

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	\$0.00
TOTAL PAID TO LOCAL AND STATE GOVERNMENTS	\$0.00

(LAR-1) ANNUAL FINANCIAL REPORT

UNIT NAME: NEW HARMONY WORKINGMENS INSTITUTE ID: 65-5-187

COUNTY: POSEY COUNTY

PART 7 - STATEMENT OF FEDERAL GRANT RECEIPTS, DISBURSEMENTS AND BALANCES  
FOR THE FISCAL YEAR ENDING 2010

PAGE: 1

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<u>CFDA Number</u>	<u>Federal Title</u>	<u>Agency</u>	<u>Award Amount</u>	<u>Fund Title</u>	<u>Receipts</u>	<u>Disbursements</u>
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(LAR-1) ANNUAL FINANCIAL REPORT

UNIT NAME: NEW HARMONY WORKINGMENS INSTITUTE ID: 65-5-187

COUNTY: POSEY COUNTY

PART 8 - STATEMENT OF STATE GRANT RECEIPTS, DISBURSEMENTS AND BALANCES  
FOR THE FISCAL YEAR ENDING 2010

PAGE: 1

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<u>Grant Number</u>	<u>Project Name</u>	<u>State Agency</u>	<u>Award Amount</u>	<u>Fund Title</u>	<u>Receipts</u>	<u>Disbursements</u>
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(LAR-1) ANNUAL FINANCIAL REPORT  
PART 9 - REPORT ON CAPITAL ASSETS  
FOR THE FISCAL YEAR ENDING 2010

COUNTY: POSEY COUNTY ID: 65-5-187  
UNIT NAME: NEW HARMONY WORKINGMENS INSTITUTE

PAGE: 1

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BALANCE, JANUARY 1

ADDITIONS:

LAND

INFRASTRUCTURE

BUILDINGS

IMPROVEMENTS OTHER THAN BUILDINGS

MACHINERY AND EQUIPMENT

CONSTRUCTION IN PROGRESS

TOTAL ADDITIONS

REDUCTIONS:

LAND

INFRASTRUCTURE

BUILDINGS

IMPROVEMENTS OTHER THAN BUILDINGS

MACHINERY AND EQUIPMENT

CONSTRUCTION IN PROGRESS

TOTAL REDUCTIONS

BALANCE, DECEMBER 31

(LAR-1) ANNUAL FINANCIAL REPORT

UNIT NAME: NEW HARMONY WORKINGMENS INSTITUTE ID: 65-5-187

COUNTY: POSEY COUNTY

PART 10 - FINANCIAL ASSISTANCE TO NONGOVERNMENTAL ENTITIES  
FOR THE FISCAL YEAR ENDING 2010

PAGE: 1

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<u>Federal ID</u>	<u>Name</u>	<u>Address</u>	<u>County of Operation</u>	<u>Name of Operating Officer</u>	<u>Phone Number</u>	<u>Description of Funding</u>	<u>Amount</u>
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