



# Secretary of State State of Indiana

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SECRETARY OF STATE

## **ENTITY NAME FILINGS: BANK HOLDING COMPANY EXCEPTION REMOVED**

Last Updated December 8, 2017

Effective January 1, 2018, bank holding companies will no longer be able to bypass the Department of Financial Institutions approval process regarding change of entity name filings made with the Secretary of State. IC 23-0.5-3-5.

If the Secretary of State receives a new filing or amendment changing the name of the filing entity that contains “bank” in the filing entity’s name, the filing must be forwarded to the Department of Financial Institutions for review of the use of the term “bank.” This also includes derivations of the word “bank”, including “banc”, “banco”, or “bankcor.”

The Department of Financial Institutions will review each filing forwarded to it and will provide notice of the results to the Secretary of State. Only after receiving approval from the Department of Financial Institutions will the filing or amendment be filed with the Secretary of State. Previously, bank holding companies were exempt from this review and approval process under Indiana Code § 23-1-23-1(e).