



ADMINISTRATION FOR
CHILDREN & FAMILIES

Administration on Children, Youth & Families | 330 C Street, S.W., Washington, DC 20201
www.acf.hhs.gov/acyf

December 2, 2024

Eric Miller
Director
Department of Child Services
302 W. Washington
Room E306
Indianapolis, Indiana 46204

Dear Director Miller:

Thank you for submitting Indiana's Child and Family Services Plan (CFSP) for fiscal years (FYs) 2025 – 2029, Final Report for FYs 2020 - 2024, including the annual report on the use of funds under the Child Abuse Prevention and Treatment Act, and the CFS-101 forms requesting funding for fiscal year (FY) 2025 to address the following programs:

- Title IV-B, subpart 1 of the Social Security Act (the Act) – the Stephanie Tubbs Jones Child Welfare Services Program
- Title IV-B, subpart 2 of the Act - the MaryLee Allen Promoting Safe and Stable Families Program and Monthly Caseworker Visit Grant
- Child Abuse Prevention and Treatment Act (CAPTA) State Grant
- Chafee Foster Care Program for Successful Transition to Adulthood (Chafee Program)
- Education and Training Vouchers (ETV) Program

These programs provide important funding to help state child welfare agencies ensure safety, permanency, and well-being for children, youth and their families. The CFSP facilitates continued assessment, development, and implementation of a comprehensive continuum of services for children and families. It provides an opportunity to integrate each state's strategic planning around use of federal funds with its work relating to the Child and Family Services Reviews and continuous program improvement to ensure better, more equitable outcomes for all children, youth and families.

Approval

The Children's Bureau (CB) has reviewed your CFSP, Final Report, and the annual report on the use of CAPTA funds and finds they comply with applicable federal statutory and regulatory requirements. Therefore, we approve FY 2025 funding for the programs listed above. For the Chafee program, your state has elected to serve eligible youth up to age of 23.

Counter-signed copies of the CFS-101 forms are enclosed for your records.

The Administration for Children and Families' Office of Grants Management (OGM) will issue a grant notification award letter with pertinent grant information, which will be sent to the email address listed on the FY 2025 CFS-101 forms. Grant recipients are required to submit additional financial reports, using the form SF-425, at the close of the expenditure period according to the terms and conditions of the award.

Training Plan

The CFSP submitted for review and approval included the state's training plan for titles IV-B and IV-E. Please note that states must assure that training costs included in the training plan and charged to title IV-E of the Act comply with the requirements at 45 CFR 1356.60(b) and (c) and 45 CFR 235.63 through 235.66(a), including properly allocating costs to all benefiting programs in accordance with the state's approved cost allocation plan.

Additional Information Required

Pursuant to Section 424(f) of the Act, states are required to collect and report on caseworker visits with children in foster care. The FY 2024 caseworker visit data must be submitted to the Regional Office by December 16, 2024. States that wish to use a sampling methodology to obtain the required data must obtain prior approval from the Regional Office.

The CB looks forward to working with you and your staff. Should you have any questions or concerns, please contact Cindy Lowder, Child Welfare Regional Program Manager in Region 5, at (312) 886-4918 or by e-mail cindy.lowder@acf.hhs.gov. You also may Charlene Blackmore, Child and Family Program Specialist, at (312) 886-4938 or by e-mail at charlene.blackmore@acf.hhs.gov.

Sincerely,





Rebecca Jones Gaston, MSW
Commissioner
Administration on Children, Youth and Families

Enclosure(s)

cc: Gail Collins, Director; CB, Division of Program Implementation; Washington, DC
Cindy Lowder, Child Welfare Regional Program Manager; CB, Region 5; Chicago, IL
Charlene Blackmore, Children and Families Program Specialist; CB, Region 5; Chicago, IL

CFS-101, Part I: Annual Budget Request for Title IV-B, Subpart 1 & 2 Funds, CAPTA, CHAFEE, and ETV and Reallotment for Current Federal Fiscal Year Funding

For Federal Fiscal Year 2025: October 1, 2024 through September 30, 2025

1. Name of State or Indian Tribal Organization AND Department/Division:		3. EIN:	1356000158J7	
Indiana - Department of Child Services		4. UEI:	GEPJSHMBJ148	
2. Address: (insert mailing address for grant award notices in the two rows below)				
402 W. Washington St., Rm E306, MS 08		5. Submission Type: (mark X next to option)		
Indianapolis, IN 46204		- New	X	
a) Contact Name and Phone for Questions:	Kami Woods	317-233-2009	- Reallotment	
b) Email address for grant award notices (one only) federal.grants@dcs.in.gov				
REQUEST FOR FUNDING for FY 2025:				
The annual budget request demonstrates a grantee's application for funding under each program and provides estimates on the planned use of funds. Final allotments will be determined by formula.				
Hardcode all numbers; no formulas or linked cells.				
6. Requested title IV-B Subpart 1, Child Welfare Services (CWS) funds:			\$6,500,000	
a) Total administrative costs (not to exceed 10% of the CWS request)			\$0	
7. Requested title IV-B Subpart 2, Promoting Safe and Stable Families (PSSF) funds and estimated expenditures:		% of Total		
			\$5,500,000	
a) Family Preservation Services		20.0%	\$1,100,000	
b) Family Support Services		20.0%	\$1,100,000	
c) Family Reunification Services		20.0%	\$1,100,000	
d) Adoption Promotion and Support Services		20.0%	\$1,100,000	
e) Other Service Related Activities (e.g. planning)		20.0%	\$1,100,000	
f) Administrative Costs (STATES: not to exceed 10% of the PSSF request; TRIBES: no maximum %)		0.0%	\$0	
g) Total itemized request for title IV-B Subpart 2 funds: NO ENTRY: Displays the sum of lines 7a-f.		100.0%	\$5,500,000	
8. Requested Monthly Caseworker Visit (MCV) funds: (For STATES ONLY)			\$421,000	
a) Total administrative costs (not to exceed 10% of MCV request)			\$0	
9. Requested Child Abuse Prevention and Treatment Act (CAPTA) State Grant: (STATES ONLY)			\$2,200,000	
10. Requested John H. Chafee Foster Care Program for Successful Transition to Adulthood: (Chafee) funds:			\$6,000,000	
a) Indicate the amount to be spent on room and board for eligible youth (not to exceed 30% of Chafee request).			\$0	
11. Requested Education and Training Voucher (ETV) funds:			\$1,450,000	
REALLOTMENT REQUEST(S) for FY 2024:				
Complete this section for adjustments to current year awarded funding levels. This section should be blank for any "NEW" submission.				
12. Identification of Surplus for Reallotment:				
a) Indicate the amount of the State's/Tribe's FY 2023 allotment that will not be utilized for the following programs:				
CWS	PSSF	MCV (States only)	Chafee Program	ETV Program
\$0	\$0	\$0	\$0	\$0
13. Request for additional funds in the current fiscal year (should they become available for re-allotment):				
CWS	PSSF	MCV (States only)	Chafee Program	ETV Program
\$0	\$0	\$0	\$0	\$0
14. Certification by State Agency and/or Indian Tribal Organization:				
The State agency or Indian Tribal Organization submits the above estimates and request for funds under title IV-B, subpart 1 and/or 2, of the Social Security Act, CAPTA State Grant, Chafee and ETV programs, and agrees that expenditures will be made in accordance with the Child and Family Services Plan, which has been jointly developed with, and approved by, the Children's Bureau.				
Signature of State/Tribal Agency Official		Signature of Federal Children's Bureau Official		
				
Title Director, Indiana Dept. of Child Services		Title <u>ACYF Commissioner</u>		
Date 11/18/2024		Date <u>December 2, 2024</u>		

CFS-101 Part II: Annual Estimated Expenditure Summary of Child and Family Services Funds

Name of State or Indian Tribal Organization: Indiana - Department of Child Services

For FY 2025: OCTOBER 1, 2024 TO SEPTEMBER 30, 2025

No entry required in the black shaded cells



SERVICES/ACTIVITIES	(A) IV-B Subpart 1- CWS	(B) IV-B Subpart 2- PSSF	(C) IV-B Subpart 2- MCV	(D) CAPTA	(E) CHAFEE	(F) ETV	(G) TITLE IV-E	(H) STATE, LOCAL, TRIBAL, & DONATED FUNDS	(I) Number Individuals To Be Served	(J) Number Families To Be Served	(K) Population To Be Served (describe)	(L) Geographic Area To Be Served
1.) PROTECTIVE SERVICES	\$ 1,083,334			\$ 550,000				\$ 31,779,548	19,145	8,324	Report AB/NE	Statewide
2.) CRISIS INTERVENTION (FAMILY PRESERVATION)	\$ 1,083,333	\$ 1,100,000		\$ -				\$ 65,198,229	14,009	6,091	Children/Families at risk of AB/NE	Statewide
3.) PREVENTION & SUPPORT SERVICES (FAMILY SUPPORT)	\$ 1,083,334	\$ 1,100,000		\$1,628,000				\$ 81,243,956	19,145	8,324	Children/Families at risk of AB/NE	Statewide
4.) FAMILY REUNIFICATION SERVICES	\$ 1,083,333	\$ 1,100,000		\$ -				\$ 143,100,212	8,437	3,668	Children in foster Care	Statewide
5.) ADOPTION PROMOTION AND SUPPORT SERVICES	\$ 1,083,333	\$ 1,100,000						\$ 30,868,700	461	461	to Post Adopr Services	Statewide
6.) OTHER SERVICE RELATED ACTIVITIES (e.g. planning)	\$ 1,083,333	\$ 1,100,000						\$ 31,531,008	19,145	8,324	NA	Statewide
7.) FOSTER CARE MAINTENANCE: (a) FOSTER FAMILY & RELATIVE FOSTER CARE	\$ -						\$ 27,755,000	\$ -	-	-	N/A	Not applicable
(b) GROUP/INST CARE	\$ -						\$ 14,580,000	\$ -	-	-	N/A	Not applicable
8.) ADOPTION SUBSIDY PYMTS.	\$ -						\$ 76,140,000	\$ -	-	-	N/A	Not applicable
9.) GUARDIANSHIP ASSISTANCE PAYMENTS	\$ -						\$ 739,800	\$ -	-	-	N/A	Not applicable
10.) INDEPENDENT LIVING SERVICES	\$ -				\$ 6,000,000			\$ 5,856,137	3,925	0	All eligible Children	Statewide
11.) EDUCATION AND TRAINING VOUCHERS	\$ -					\$ 1,450,000		\$ -	586	0	Youth ages 18 - 20	Statewide
12.) ADMINISTRATIVE COSTS	\$ -	\$ -	\$ -				\$ 60,375,000	\$ -				
13.) FOSTER PARENT RECRUITMENT & TRAINING	\$ -	\$ -		\$ 22,000			\$ 31,300	\$ -				
14.) ADOPTIVE PARENT RECRUITMENT & TRAINING	\$ -	\$ -		\$ -			\$ 3,750,000	\$ -				
15.) CHILD CARE RELATED TO EMPLOYMENT/TRAINING	\$ -						\$ -	\$ -	-	-	N/A	Not applicable
16.) STAFF & EXTERNAL PARTNERS TRAINING	\$ -	\$ -		\$ -	\$ -	\$ -	\$ 3,058,425	\$ -				
17.) CASEWORKER RETENTION, RECRUITMENT & TRAINING	\$ -	\$ -	\$ 421,000				\$ 282,652	\$ -				
18.) TOTAL	\$ 6,500,000	\$ 5,500,000	\$ 421,000	\$2,200,000	\$ 6,000,000	\$ 1,450,000	\$ 186,712,177	\$ 389,577,790				
19.) TOTALS FROM PART I	\$6,500,000	\$5,500,000	\$421,000	#####	\$6,000,000	\$1,450,000					21.) Population data required in columns I - L can be found: (mark X below the option)	
20.) Difference (Part I - Part II)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00					<input type="checkbox"/> On this form	<input type="checkbox"/> In the APSR Narrative

(If there is an amount other than \$0.00 in Row 20, adjust amounts on either Part I or Part II. A red value in parentheses (\$) means Part II exceeds the amount on Part I.)

	X		

CFS-101, PART III: Annual Expenditures for Title IV-B, Subparts 1 and 2, Chafee Program, and Education And Training Voucher

Reporting on Expenditure Period For Federal Fiscal Year 2022 Grants: October 1, 2021 through September 30, 2023

No entry required in the black shaded cells					
1. Name of State or Indian Tribal Organization:		2. Address:			3. EIN: 1356000158J7
Indiana - Department of Child Services		402 W. Washington St., Rm E306, MS 08			4. UEI: GEPJSHMBJ148
5. Submission Type: (type New or Revision) NEW		Indianapolis, IN 46204			
Description of Funds	(A) Actual Expenditures for FY 22 Grants (whole numbers only)	(B) Number Individuals served	(C) Number Families served	(D) Population served (describe)	(E) Geographic area served
6. Total title IV-B, subpart 1 (CWS) funds:	\$ 6,456,153	19,145	8,324	Report AB/NE	Statewide
a) Administrative Costs (not to exceed 10% of CWS allotment)	\$ -				
7. Total title IV-B, subpart 2 (PSSF) funds: Tribes enter amounts for Estimated and Actuals, or complete 7a-f.	\$ 4,988,745	19,145	8,324	Children/Families at risk of AB/NE	Statewide
a) Family Preservation Services	\$ 906,730				
b) Family Support Services	\$ 1,359,532				
c) Family Reunification Services	\$ 927,262				
d) Adoption Promotion and Support Services	\$ 922,081				
e) Other Service Related Activities (e.g. planning)	\$ 873,140				
f) Administrative Costs (FOR STATES: not to exceed 10% of PSSF spending)	\$ -				
g) Total title IV-B, subpart 2 funds: NO ENTRY: This line displays the sum of lines a-f.	\$ 4,988,745				
8. Total Monthly Caseworker Visit funds: (STATES ONLY)	\$ 315,343				
a) Administrative Costs (not to exceed 10% of MCV allotment)	\$ -				
9. Total Chafee Program for Successful Transition to Adulthood Program (Chafee) funds: (optional)	\$ 5,035,599	3,925	0	All eligible Children	Statewide
a) Indicate the amount of allotment spent on room and board for eligible youth (not to exceed 30% of Chafee allotment)	\$ -				
10. Total Education and Training Voucher (ETV) funds: (Optional)	\$ 1,576,891	586	0	Youth ages 18 through 25	Statewide
11. Certification by State Agency or Indian Tribal Organization: The State agency or Indian Tribal Organization agrees that expenditures were made in accordance with the Child and Family Services Plan which was jointly developed with, and approved by, the Children's Bureau.					
Signature of State/Tribal Agency Official 			Signature of Federal Children's Bureau Official 		
Title	Date	Title	ACYF Commissioner December 2, 2024	Date	
Director, Indiana Department of Child Services	11/18/2024				

Child and Family Services Reviews Measurement Plan Indiana

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Section III: CFSR Round 4 PIP Measurement Plan

State/Territory: Indiana

Date: 7/25/24

Areas marked with an asterisk (*) are required by regulation and the Round 4 CFSR Procedures Manual and must be included in the state’s plan regardless of the format used.

Measurement requirements are determined by the Children’s Bureau (CB) based on findings in the CFSR Final Report and State Data Profiles issued after the Statewide Assessment and before approval of the Program Improvement Plan (PIP). Required measures include statewide data indicators with Risk-Standardized Performance (RSP) worse than national performance or that cannot be calculated due to data quality concerns, and case review items for Safety Outcomes 1 and 2 and Well-Being Outcome 1 rated as areas needing improvement.

Complete the sections below to identify the state’s PIP measures, amount of improvement required, methods used to establish baselines and goals, plan to evaluate state progress toward achieving measurement goals, and case review sampling methodology and procedures.

For information regarding PIP measurement, see [CFSR Technical Bulletin #13A](#) and Chapter 9 of the [CFSR 4 Procedures Manual](#).

* Statewide Data Indicators

Complete the table below to identify the statewide data indicators (SWDIs) requiring PIP measurement. The Children’s Bureau will consider the state’s RSP performance for data periods after the Statewide Assessment and before PIP approval to determine final PIP and PIP Measurement Plan requirements. Include baseline observed performance information for each statewide data indicator that requires measurement, the improvement goal and, as applicable, data quality information.

The baseline will be the most recent observed performance for each measure in the data profile scheduled to be released when the CFSR Final Report is issued (periods vary by indicator). Baselines for Final Reports transmitted between March 1 and August 31 will use the most recent 12-month reporting periods in the preceding February profile. Baselines for Final Reports transmitted between September 1 and February 28/29 will use the preceding August profile. Due to the transition to AFCARS 2020, data profiles may be delayed and/or states may be reissued a data profile if a state submits corrected data. In either instance, the designated 12-month reporting period used for baselines will remain the same. The CB calculates and provides to the state the observed performance goal for each SWDI.

States have two pathways to achieve the required amount of improvement for each SWDI included in the PIP Measurement Plan. Achievement of the required amount of improvement for SWDIs is determined by whichever pathway is achieved first.

1. The first pathway is for the state’s observed performance for a 12-month reporting period to meet or exceed the improvement goal.

- The second pathway is for the state's RSP for a 12-month reporting period to be better or no different than national performance.

Data quality (DQ) issues must be resolved to determine substantial conformity and to calculate baselines and goals. When state performance on an indicator(s) cannot be calculated due to DQ issue(s), the state will be required to include (a) the indicator in the PIP Measurement Plan, and (b) strategies in the PIP to correct the quality of the data.

Statewide Data Indicator Measure Information-Observed Performance Goals

Table 1A. Safety Outcome 1: SWDI Measure Information-Observed Performance Goals

Statewide Data Indicator ¹	PIP Status: PIP or No PIP ²	Baseline 12-Month Reporting Period ³	Baseline Observed Performance ⁴	Observed Performance Goal ⁵
Recurrence of Maltreatment	No PIP	<i>Not Applicable</i>		
Maltreatment in Foster Care	PIP	21AB, FY21	7.80	6.65

Table 1B. Permanency Outcome 1: SWDI Measure Information and Observed Performance Goals

Statewide Data Indicator ¹	PIP Status: PIP or No PIP ²	Baseline 12-Month Reporting Period ³	Baseline Observed Performance ⁴	Observed Performance Goal ⁵
Permanency in 12 Months (Entries)	No PIP	<i>Not Applicable</i>		
Permanency in 12 Months (12–23 Months)	No PIP			
Permanency in 12 Months (24+ Months)	No PIP			
Reentry to Foster Care in 12 Months	No PIP			
Placement Stability	No PIP			

Statewide Data Indicator Explanatory Data Notes:

¹ *Statewide Data Indicator:* See [CFSR Round 4 Statewide Data Dictionary](#) for measure description and information.

² *PIP Status:* Determination is made by the CB based on the state's RSP as specified in the CFSR Final Report and State Data Profiles issued after the Statewide Assessment and before CB approval of the PIP.

³ *Baseline 12-Month Reporting Period:* Baselines for Final Reports transmitted between March 1 and August 31 will use the most recent 12-month reporting periods in the preceding February profile. Baselines for Final Reports transmitted between September 1 and February 28/29 will use the preceding August profile. Due to the transition to AFCARS 2020, data profiles may be delayed and/or states may be reissued a data profile if a state submits

corrected data. In either instance, the designated 12-month reporting period used for baselines will remain the same.

⁴ *Baseline Observed Performance*: The baseline is the observed performance (percent or rate) for the baseline 12-month reporting period for each indicator.

⁵ *Observed Performance Goal*: Established by the CB using an improvement factor based on the state's past 3 years of observed performance. For a complete description of the methodology used to establish improvement goals, see [CFSR Technical Bulletin #13A](#).

* Case Review Items

Complete Tables 2A and 2B below to identify the baseline case review information for each case review item requiring PIP measurement. Measurement Plans must be updated after completion of Measurement Period 1 (baseline), likely after PIP approval, to identify the number of applicable cases, baseline performance information, and the amount of improvement required for each case review item. The CB calculates and provides this information to states based on case review data submitted by the state for Measurement Period 1.

States have two pathways to achieve the required amount of improvement for a case review item measure:

1. The first pathway is to meet or exceed the sustained improvement goal in any three measurement periods.
2. The second pathway is to meet or exceed the high-performance value in any single measurement period.

To determine that a PIP measurement goal is met using case review data, the following measurement criteria must be met: (1) CB confidence in the accuracy of results, (2) significant changes not made to the case review schedule, (3) the minimum number of applicable cases reviewed that were required for each item, and (4) the distribution/ratio of cases from Measurement Period 1 (baseline) maintained. A +/-5% tolerance is provided to the proportion of cases reviewed in the metro site or site with the largest case population and by case type when comparing Measurement Period 1 (baseline) with subsequent measurement periods.

Identify in Table 2A below whether the state will use a statewide aggregate or case review measure for Item 1, Timeliness of Initiating Investigations of Reports of Child Maltreatment, by removing the table and explanatory note for the aggregate measure if not used. As applicable, include a state aggregate measure description in the corresponding data note. For states using a state aggregate measure for Item 1, the CB will determine the required amount of improvement and confirm achievement of the goal when a state meets or exceeds the item measurement goal in any single 12-month measurement period following Measurement Period 1 (baseline).

For more information regarding the methodology used to establish the required amount of improvement, see [CFSR Technical Bulletin #13A](#).

Measurement Period 1 (Baseline) Case Review Period¹: January 1, 2025-February 28, 2025

Case Review Item Measure Information

Table 2A–1. Safety Outcome 1: Case Review Item Measure Information-Aggregate Measure

CFSR Items Requiring Measurement ²	PIP Status: PIP or No PIP ⁴	12-Month Baseline Reporting Period ³	Number of children requiring face-to-face contact ³	Number of children who received face-to-face contact within required timeframes ³	Measurement Period 1 (Baseline) Performance ⁷	Item 1 Aggregate Measure Goal ¹⁰
Item 1 (Aggregate Measure): Timeliness of Initiating Investigations of Reports of Child Maltreatment ³	PIP	05/01/2022 – 04/30/2023	158,507	122,521	77.3%	79.5%

Table 2B. Safety Outcome 2: Case Review Item Measure Information

CFSR Items Requiring Measurement ²	PIP Status: PIP or No PIP ⁴	Number of Applicable Cases ⁵	2% Tolerance Applied to Applicable Cases ⁶	Number of Cases Rated as a Strength	Measurement Period 1 (Baseline) Performance ⁷	Sustained Improvement Goal ⁸	High-Performance Value ⁹
Item 2: Services to Protect Child(ren) in the Home and Prevent Removal or Re-Entry Into Foster Care	PIP						
Item 3: Risk and Safety Assessment and Management	PIP						

Table 2C. Well-Being Outcome 1: Case Review Item Measure Information

CFSR Items Requiring Measurement ²	PIP Status: PIP or No PIP ⁴	Number of Applicable Cases ⁵	2% Tolerance Applied to Applicable Cases ⁶	Number of Cases Rated as a Strength	Measurement Period 1 (Baseline) Performance ⁷	Sustained Improvement Goal ⁸	High-Performance Value ⁹
Item 12: Needs and Services of Child, Parents, and Foster Parents	PIP						
Item 13: Child and Family Involvement in Case Planning	PIP						

Item 14: Caseworker Visits With Child	PIP						
Item 15: Caseworker Visits With Parents	PIP						

Case Review Explanatory Notes:

¹ *Measurement Period 1*: Identifies the dates on which case reviews were conducted to establish baselines and goals.

² *CFSR Items Requiring Measurement*: For a description of the case review item and rating criteria, see the [CFSR Onsite Review Instrument and Instructions](#).

³ *Item 1 State Aggregate Measure Description*: The number of children statewide identified in accepted/screened-in CPS reports that require face-to-face contact in a 12-month period that received timely face-to-face contact according to agency policy. The state aggregate measure does not include attempted contacts or delays outside of the agency’s control. Response times are measured from the time of local office notification of the intake report. This means for two (2) hour assessments, the FCM must make face-to-face contact with all alleged child victim(s) within two (2) hours of notification. The parent, guardian, or custodian will be notified in person or via phone, of the face-to-face contact with the alleged victim. A two (2) hour response time is assigned to a report when the allegations would cause a reasonable person to believe that the child is in imminent danger of serious bodily harm. A twenty-four (24) hour response time may be assigned if the allegations involve abuse, but the conditions for a 2 hour do not apply. A five (5) day response time may be assigned if the allegations involve neglect and none of the conditions for a 2 hour or 24 hour do not apply.

⁴ *PIP Status*: Determination is made by CB based on the state’s CFSR onsite case review performance as specified in the CFSR Final Report.

⁵ *Number of Applicable Cases*: Number of cases reviewed that were applicable to (rated for) each item. The number shown is for Measurement Period 1 (baseline). A minimum of 33 applicable cases per case review item are required for the baseline period. The number of applicable cases reviewed for each item must be equal to or greater than the baseline number of applicable cases in order to evaluate goal achievement for ongoing measurement.

⁶ *2% Tolerance Applied to Applicable Cases*: A 2 percent (-2%) tolerance is applied to the number of cases reviewed for the baseline period to establish the minimum number of cases required in each measurement period to evaluate goal achievement.

⁷ *Measurement Period 1 (Baseline) Performance*: Calculated by dividing the number of Strength ratings for the item by the total number of applicable cases reviewed for that item during Measurement Period 1; is expressed as a percentage.

⁸ *Sustained Improvement Goal*: Established by the CB using a scaling factor based on the state’s baseline performance and percentage of applicable cases that would equal a CFSR Strength rating adjusted by 25%. The value is expressed as a percentage, rounded up to the nearest whole number, and capped at the percentage of applicable cases that would equal a CFSR Strength rating. The amount of improvement required is achieved by meeting or exceeding the sustained improvement goal in any three measurement periods.

⁹ *High-Performance Value*: Established by the CB using a scaling factor based on the state’s baseline performance and percentage of applicable cases that would equal a CFSR Strength rating adjusted by 50%. The value is expressed as a percentage, rounded up to the nearest whole number, and capped at the percentage of applicable cases that would equal a CFSR Strength rating. The amount of improvement required is achieved by meeting or exceeding the high-performance value in any single measurement period.

¹⁰ *Item 1 State Aggregate Measure Goal*: Established by the CB using a scaling factor based on the state’s baseline performance and a maximum amount of improvement of 3%. The value is expressed as a percentage, rounded to the nearest tenth of a percent, and capped at the percentage of

applicable cases that would equal a CFSR Strength rating. The amount of improvement required is achieved when a state meets or exceeds the item measurement goal in any single 12-month measurement period following Measurement Period 1 (baseline).

For a complete description of measurement requirements, measures, and methodologies to establish and meet the required amount of improvement, see [CFSR Technical Bulletin #13A](#).

* Case Review Sampling Methodology

A. Case review data collection instrument:

- Federal Onsite Review Instrument and Instructions (OSRI) using the Online Monitoring System (OMS).

B. PIP Measurement sites and explanation of how these align with PIP implementation sites:

- Indiana will utilize a statewide measurement.
- Indiana's Continuous Quality Improvement efforts are implemented statewide. Similarly, multiple PIP strategies will be implemented statewide. To align with this practice and ensure equitable implementation across the state, Indiana proposes to utilize all counties as measurement sites.

C. Total number of cases to be reviewed in each measurement period (sample size):

- Indiana will review 33 cases in each measurement period. Indiana's approach to meeting minimum applicability in each measurement period is described in a later section.
- Random Sample Protocol: Indiana receives two simple, random sample pulls each measurement period for both the In Home and Foster Care populations. Indiana will pull a simple random sample of 20 foster care cases with an additional 100 randomized foster care cases to be used as back-ups each measurement period. Indiana will pull an additional simple random sample of 13 in-home cases with 100 randomized in-home back-up cases, each measurement period.

Indiana will maintain the ratio of 20 foster care to 13 in-home cases when pulling additional cases to replace eliminated cases or when conducting additional reviews to meet item applicability. Replacement cases are pulled from the respective randomized lists of 100 cases (in home or out of home back up cases) using the next available case from the same regional site requesting elimination (Indiana has 18 regions). Since Indiana's largest metro area is a standalone region, this method will ensure that Indiana is maintaining the proportion of metro to non-metro cases established in the baseline measurement period. This will also ensure that regions with more case eliminations continue to be represented at the rate they were in initial random sample.

Once the proportion of metro to non-metro cases is established in baseline, Indiana will review the initial 33 cases pulled in each subsequent measurement period to ensure that the proportion of metro cases remains within 5% of the baseline proportion. If the metro site is underrepresented in the initial pull, Indiana will start at the bottom of the pull and eliminate non-metro cases and replace them with metro cases until the minimum proportion of metro cases is reached (e.g., if the baseline proportion of metro cases is 33%, then a minimum of 10 metro cases will need to be reviewed to maintain the proportion, so if the random sample only has 8 metro cases, then the last two non-metro cases from the 33 cases would be replaced with the next two metro cases of the needed case type). If the metro site is overrepresented in the initial pull, Indiana will start at the bottom of the pull and eliminate metro cases, replacing them with non-metro cases until the minimum proportion of metro cases is reached (e.g., using the same baseline proportion of metro cases in the example above, the maximum number of metro cases to be reviewed would be 12, so if the initial pull of 33 cases has 14 metro cases, then the last two metro cases would be eliminated and replaced with the first two non-metro cases of the needed case type).

D. Length of each measurement period (e.g., month, quarter, 6 months):

- Indiana’s measurement periods will last for two calendar months.

E. Fill out Table 3 to identify the sites, case review dates, and number/percent of cases to be reviewed by case type and site (sample stratification) for Measurement Period 1 (baseline period). If the number of cases will increase in subsequent measurement periods, add more tables to identify this information for those periods:

Table 3A: Case Review Schedule for Measurement Period 1 (Baseline)

Sites	Dates*	Foster Care Cases	In-Home Services Cases	Total Cases
Statewide	1/13/25-1/14/25	7	7	14
Statewide	1/15/25-1/16/25	8	6	14
Statewide	2/10/25-2/11/25	5 (2 back-ups)	(7 back-ups)	5
Statewide	2/12/25-2/13/25	(12 back-ups)	(2 back-ups)	0
Total	59 days	20	13	33

Table 3B: Case Review Schedule for Measurement Period 2 Onward

Sites	Dates*	Foster Care Cases	In-Home Services Cases	Total Cases
Statewide	3/10/25-3/11/25	7	7	14
Statewide	3/12/25-3/13/25	8	6	14
Statewide	4/14/25-4/15/25	5 (2 back-ups)	(7 back-ups)	5 (9 back-ups)
Statewide	4/16/25-4/17/25	(12 back-ups)	(2 back-ups)	0 (14 back-ups)
Total	61 days	20	13	33

Note: The schedule will be replicated until all case review goals are achieved or the end of the Post-PIP Evaluation Period, whichever date occurs first.

F. Sampling Approach:

Rolling Monthly Rolling Quarterly Fixed Other:

- The sampling approach is fixed for a given measurement period. It advances bi-monthly as the case review period/measurement period advances.

G. Length of Period Under Review (PUR):

- Indiana will utilize an eight to nine-month PUR. The first month of the measurement period will have an eight-month PUR and the second month of the measurement period will have a nine-month PUR.

H. Sampling Periods and PUR Dates:

- The sampling period for both foster care and in-home cases will begin eight months prior to the start of the measurement period and last for six months. The PUR will begin on the same date as the sampling period and continue until day two of each review.

Table 4. Measurement Period 1 (Baseline) Sampling Periods and PURs

Case Review Period <i>When case reviews will be conducted</i>	Sampling Periods* <i>Time period cases are drawn from</i>	Period Under Review (PUR) <i>Identify dates using first day of sampling period to date of review</i>
1/13/25-1/14/25	Foster Care and In Home: 5/1/24-10/31/24	5/1/24-1/14/25 ¹
1/15/25-1/16/25	Foster Care and In Home: 5/1/24-10/31/24	5/1/24-1/16/25 ¹
2/10/25-2/11/25	Foster Care and In Home: 5/1/24-10/31/24	5/1/24-2/11/25 ¹
2/12/25-2/13/25	Foster Care and In Home: 5/1/24-10/31/24	5/1/24-2/13/25 ¹

Note: The dates in the table will be replicated until all case review goals are achieved or the end of the Post-PIP Evaluation Period, whichever date occurs first, by advancing sampling periods and PURs for each case review period at the interval shown in the table.

I. Description of foster care case population:

- During State Calendar Year (SCY) 2023, there were 15,467 unique children in out-of-home care. The foster care case pull is based off children reflected on the Adoption and Foster Care Analysis and Reporting System (AFCARS), but the sampling frames will not align with AFCARS periods.

J. Description of in-home services case population:

- Indiana has three types of in-home designations from which cases may be pulled.
 - In-home CHINS require coercive intervention of the court, and the agency maintains responsibility for placement and care of the child. Children and families participate in periodic reviews and permanency hearings. Services may be implemented as part of a dispositional decree, other order of the court, or by agreement.
 - Informal Adjustments (IAs) are voluntary agreements between the agency and a family that are approved by the court. IA plans are created with the family, outline needs and goals, implement interventions to enhance the family’s situation, and include requirements to ensure child safety is maintained. The court approves opening and closing IAs but does not oversee the IA on an ongoing basis.
 - Indiana typically recognizes Trial Home Visits (THVs) as part of an out-of-home case. For the Round 4 CFPSR, out of home cases where the child’s only placement during the PUR was a THV or out of home cases with a THV placement that lasted at least 45 consecutive days from the start of the sampling period will be included on the in-home case pull.

¹ The PUR starts at the beginning of the sampling period and ends when the cases are submitted for 1st level QA or the case is closed, whichever date is earlier. Indiana will have all case reviews completed and submitted for 1st level QA within two weeks of the start of the review.

- During SCY 2023, there were 13,130 children involved with the department through an in-home case-In-Home CHINS or IA during the PUR.

K. Case elimination criteria

Federal criteria:

- An in-home services case open for fewer than 45 consecutive days during the PUR
- An in-home services case in which any child/youth in the family was in foster care for more than 24 hours during the PUR
- An in-home services case in which a child was on a trial home visit (THV-placement at home) at the start of the sampling period and the THV was fewer than 45 consecutive days
- A foster care case in which the child/youth was in foster care for fewer than 24 hours during the sampling period
- A foster care case in which the target child/youth reached the age of 18 before the PUR
- A foster care case in which the selected child/youth is or was in the care and responsibility of another state, and the state being reviewed is providing supervision through an Interstate Compact on the Placement of Children (ICPC) agreement
- A foster care case in which the child's/youth's adoption or guardianship was finalized before the PUR and the child/youth is no longer under the care of the state child welfare agency
- A foster care case in which the child/youth was placed for the entire PUR in a locked juvenile facility or other placement that does not meet the federal definition of foster care
- A case open for subsidized adoption payment only and not open to other services
- A case that was discharged or closed according to agency policy before the sample period
- A case appearing multiple times in the sample, such as a case that involves siblings in foster care in separate cases or an in-home services case that was opened more than one time during the sampling period(s)
- A case reviewed in the past 12 months

The following cases are subject to review unless extenuating circumstances warrant exclusion as discussed and agreed to by the CB:

- Cases involving administrative, civil, or criminal litigation
- Cases involving current or former employees of the child welfare agency and contracted provider agencies

State-Specific Case Elimination:

- Cases missing key interviews that result in not enough information to accurately score the case

L. Approach to meet minimum applicable case criteria for each item:

- Indiana will review 33 cases in Measurement Period 1. Additional cases will be included for item-only reviews as needed to ensure each item has 33 applicable cases. The additional cases for item-only review will be pulled, in order by next available case type that meets item applicability, from the back-up list with case type ratio maintained as close as possible to 20 foster care to 13 in-home (e.g., if 3 more cases are needed to meet applicability on Item 2, the next two foster care cases on the back up list that are applicable for Item 2 will be reviewed and the next in-home case applicable for Item 2 will be reviewed). A simple random sample of 20 foster care cases, with 100 random back-up cases, and a simple random sample of 13 in-home cases, with 100 random back-up cases, will be pulled for each measurement period.
- For measurement period 2 and beyond, Indiana will review 33 cases (20 Foster Care, 13 In-

Home) for PIP measurement. An additional 23 back-up cases will be reviewed to the full OSRI each measurement period (as detailed in Table 3B). Full OSRI reviews of these back-up cases will be added as necessary, based on applicability of these cases to OSRI items short the minimum applicable number required. Eligible back-up cases will be selected in order from the random-ordered statewide sampling frame based on the next applicable case type and site type needed in order to maintain both in-home to foster care proportion and metro to non-metro proportion. To ensure the minimum number of cases are applicable for each item in the PIP, Indiana will utilize the following process in place for their ongoing reviews. Indiana will review 56 cases in each 2-month measurement period. 33 of these cases are the main pull for PIP measurement and the additional 23 cases are from the back-up lists. To align with the ratio of cases on the main pull, 14 foster care cases and 9 in-home cases from their respective back-up lists are prepared and reviewed. As cases need to be eliminated, multiple back-ups are already reviewed to be the replacement. Indiana then fills the open review slot with the next, unreviewed, case on the back-up list to maintain a consistent review of 56 cases. In the event that additional cases beyond the back-ups are required to meet item applicability, Indiana will conduct item-only reviews of additional cases in order by next available case type and case site that meets item applicability, from the back-up list with case type ratio maintained as close as possible to 20 foster care to 13 in-home (e.g., if 3 more cases are needed to meet applicability on Item 2, the next two foster care cases on the back up list that are applicable for Item 2 will be reviewed and the next in-home case applicable for Item 2 will be reviewed) and site type ratio maintained to + or – 5% of the metro proportion established in baseline until applicability is reached.

M. Identify all Measurement Periods through the end of the Post-PIP Evaluation Period

Table 5: PIP Measurement Period Information

Measurement Period Number	Measurement Period	Case Review Period	Sampling Periods
1	1/1/25-2/28/25	1/13/25-1/14/25, 1/15/25-1/16/25 2/10/25-2/11/25, 2/12/2-2/13/25	5/1/24 - 10/31/24
2	3/1/25-4/30/25	3/10/25-3/11/25, 3/12/25-3/13/25 4/14/25-4/15/25, 4/16/25-4/17/25	7/1/24 – 12/31/24
3	5/1/25-6/30/25	5/12/25-5/13/25, 5/14/25-5/15/25 6/9/25-6/10/25, 6/11/25-6/12/25	9/1/24 – 2/28/25
4	7/1/25-8/31/25	7/14/25-7/15/25, 7/16/25-7/17/25 8/11/25-8/12/25, 8/13/25-8/1/25	11/1/24 – 4/30/25
5	9/1/25-10/31/25	9/8/25-9/9/25, 9/10/25-9/11/25 10/20/25-10/21/25, 10/22/25- 10/23/25	1/1/25 – 6/30/25
6	1/1/26-2/28/26	1/12/26-1/13/26, 1/14/26-1/15/26 2/9/26-2/10/26, 2/11/26-2/12/26	5/1/25 – 10/31/25
7	3/1/26-4/30/26	3/9/26-3/10/26, 3/11/26-3/12/26 4/13/26-4/14/26, 4/15/26-4/16/26	7/1/25 – 12/31/25
8	5/1/26-6/30/26	5/11/26-5/12/26, 5/13/26-5/14/26 6/8/26-6/9/26, 6/10/26-6/11/26	9/1/25 – 2/28/26
9	7/1/26-8/31/26	7/13/26-7/14/26, 7/15/26-7/16/26 8/10/26-8/11/26, 8/12/26-8/13/26	11/1/25 – 4/30/26
10	9/1/26-10/31/26	9/14/26-9/15/26, 9/16/26-9/17/26 10/19/26-10/20/26, 10/21/26- 10/22/26	1/1/26 – 6/30/26

11	1/1/27-2/28/27	1/11/27-1/12/27, 1/13/27-1/14/27 2/8/27-2/9/27, 2/10/27-2/11/27	5/1/26 – 10/31/26
12	3/1/27-4/30/27	3/8/27-3/9/27, 3/10/27-3/11/27 4/12/27-4/13/27, 4/14/27-4/15/27	7/1/26 – 12/31/26
13	5/1/27-6/30/27	5/10/27-5/11/27, 5/12/27-5/13/27 6/14/26-6/15/27, 6/16/27-6/17/27	9/1/26 – 2/28/27
14	7/1/27-8/31/27	7/12/27-7/13/27, 7/14/27-7/15/27 8/9/27-8/10/27, 8/11/27-8/12/27	11/1/26 – 4/30/27
15	9/1/27-10/31/27	9/13/27-9/14/27, 9/15/27-9/16/27 10/18/27-10/19/27, 10/20/27- 10/21/27	1/1/27 – 6/30/27
16	1/1/28-2/29/28	1/10/28-1/11/28, 1/12/28-1/13/28, 2/7/28-2/8/28, 2/9/28-2/10/28	5/1/27 – 10/31/27
17	3/1/28-4/30/28	3/13/28-3/14/28, 3/15/28-3/16/28 4/10/28-4/11/28, 4/12/28-4/13/28	7/1/27 – 12/31/27
18	5/1/28-6/30/28	5/8/28-5/9/28, 5/10/28-5/11/28, 6/12/28-6/13/28, 6/14/28-6/15/28	9/1/27 – 2/28/28

Note: All case reviews must be completed by the end of the Post-PIP Evaluation Period, including cases reviewed to meet minimum applicable cases.

N. Case Review Procedures

Include as an Appendix A the state's case review procedures that describes the processes to:

- Ensure accurate and consistent application of the OSRI
- Address and document application of case elimination criteria and the CB consultation/review/approval process
- Avoid conflicts of interest among reviewers and quality assurance team members when assigning cases
- Conduct case-related interviews of key informants on every case to inform the ratings, including the following individuals: child (if school-age and developmentally appropriate), parents, caregiver/foster care provider, and caseworker or supervisor
- Follow a written protocol for acceptable case-specific exceptions to conducting case participant interviews
- Ensure accurate and consistent case review ratings, including training for case reviewers and those conducting quality assurance activities
- Ensure consistency of ratings across multiple sites and reviewers, and third-party (i.e., someone who has not reviewed the case) quality assurance of cases reviewed for accuracy of ratings in accordance with the federal OSRI
- Address safety concerns identified in a case under review