## In the Matter Of:

## INDIANA HORSE RACING COMMISSION MEETING

## **Transcript of Proceedings**

July 17, 2024



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3	INDIANA HORSE RACING COMMISSION MEETING
4	HELD ON
5	JULY 17, 2024
6	11:09 A.M.
7	
8	AT
9	HORSESHOE INDIANAPOLIS
10	4300 N. MICHIGAN ROAD
11	SHELBYVILLE, INDIANA
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14	TAKEN BY:
15	ROBIN P. MARTZ, RPR
16	NOTARY PUBLIC
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1	APPEARANCES
2	Philip Borst, DVM, Chairman Gus Levengood
3	Becca McCuaig William McCarty (via phone)
4	William Estes (via phone)
5	Deena Pitman, Executive Director
6	Dale Pennycuff, Esq. Matthew Eggiman, Esq.
7	David Rothenberg, Esq. INDIANA HORSE RACING COMMISSION
8	1302 North Meridian Street, Suite 175 Indianapolis, IN 46202
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1 CHAIRMAN BORST: Okay. Thank you all for being here. I think we'll call this meeting of the 2 3 Indiana Horse Racing Commission to order. First we want to swear in our good court reporter. 4 (At this time the oath was administered to the 5 court reporter by Chairman Borst.) 6 CHAIRMAN BORST: 7 I want to thank Eric, Joe, and everybody for hosting us again here. 8 9 always nice. And I think all the horse people like 10 to be here too because it's good parking, and it's 11 a nice place. And Hoosier is too, Rick. We like 12 going there too. 13 RICK MOORE: Thank you, Chairman. 14 CHAIRMAN BORST: Didn't want you to feel bad. 15 COMMISSIONER LEVENGOOD: Didn't want you to be left out. 16 17 CHAIRMAN BORST: Okay. So we have three commission members present, and we have 18 19 Commissioner Estes and Commissioner McCarty on the 20 phone. So we have a quorum. We have everybody. 21 First on the agenda is the approval of 2.2 memorandum of March 26, 2024, meeting and executive 23 session memorandum. I think we do need a motion

MR. EGGIMAN: Yes.

and a vote, don't we?

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          COMMISSIONER LEVENGOOD: So moved.
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         COMMISIONER MCCARTY:
                                Second.
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          CHAIRMAN BORST: Been moved and seconded. Any
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    discussion? Seeing none, all those in favor say
     "aye."
 5
 6
          THE COMMISSION: Aye.
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          CHAIRMAN BORST: Whoops, got a roll car.
     Whoops, hold on. Roll call vote, I caught myself.
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 9
         MR. EGGIMAN: Chairman Borst.
10
          CHAIRMAN BORST:
                           Aye.
11
         MR. EGGIMAN: Commissioner McCarty.
12
         COMMISIONER MCCARTY: Aye.
13
         MR. EGGIMAN: Commissioner Estes.
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         COMMISSIONER ESTES: Aye.
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         MR. EGGIMAN: Commissioner Levengood.
16
         COMMISSIONER LEVENGOOD: Aye.
17
         MR. EGGIMAN: Commissioner McCuaig.
18
         COMMISSIONER MCCUAIG: Aye.
19
          CHAIRMAN BORST: We passed the minutes
20
    unanimously. We got one good thing done.
         Next on the agenda is the consideration of the
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2.2
     settlement agreement between the Indiana Horse
23
    Racing Commission Staff and Brad H. Cox.
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         MR. EGGIMAN: I can take this one, Chairman
25
    Borst.
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CHAIRMAN BORST: You got this.

MR. EGGIMAN: Commissioners, you have in your packet the settlement agreement that was fully executed in March of 2024 between Commission Staff and trainer Brad Cox in regard to an administrative complaint issued in 2023 for an alleged medication violation. Mr. Cox agreed to pay a fine of \$500 for the violation alleged in the administrative complaint. He also agreed to disqualification of the horse and to forfeit the purse for the race in question.

At this time, Commission Staff respectfully requests approval of the settlement agreement between Commission Staff and Mr. Cox.

CHAIRMAN BORST: Anybody have any questions after reading the briefs and all? Seeing none, I guess, we'll accept a motion.

COMMISIONER MCCARTY: I move for approval of the settlement agreement.

COMMISSIONER LEVENGOOD: Second.

CHAIRMAN BORST: It's been moved and seconded.

Any further questions? Seeing none, we will do the roll call.

MR. EGGIMAN: Chairman Borst.

CHAIRMAN BORST: Aye.

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1
          MR. EGGIMAN: Commissioner McCarty.
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          COMMISIONER MCCARTY:
                                Aye.
 3
          MR. EGGIMAN:
                        Commissioner Estes.
 4
          COMMISSIONER ESTES:
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          MR. EGGIMAN: Commissioner Levengood.
          COMMISSIONER LEVENGOOD: Aye.
 6
 7
          MR. EGGIMAN: Commissioner McCuaiq.
          COMMISSIONER MCCUAIG:
 8
                                 Ave.
 9
          CHAIRMAN BORST: It passes unanimously.
10
          Next on the agenda is consideration of
11
     Administrative Law Judge's Nonfinal Order on
12
     Parties' Cross-motions for Summary Judgment
13
     Dismissing Petitioner's Appeal on the matter of
14
     Bobby Brower verse the Indiana Horse Racing
     Commission Staff, Administrative Cause No. and all
15
16
            I think, Matt, would you like to introduce
     that.
17
     the subject and how we're going to handle it?
                        I can. Yes, thanks, Chairman
18
          MR. EGGIMAN:
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     Borst. For this agenda item, Deputy Attorney
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     General Clarence Leatherbury will act as the
     Commission's counsel. Commission Staff is and has
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2.2
     been represented by general counsel David
23
     Rothenberg. Mr. Brower is represented by Pete
24
     Sacopulos, who is present today.
25
          In January and February of this year, both
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parties filed motions for summary judgment in this matter. And both parties filed responses to each other's original motions. On April 17, 2024, ALJ Tracey Yeager issued her nonfinal order, which recommends entering summary judgment in favor of the IHRC Staff and against Petitioner, Mr. Brower.

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In accordance with Indiana Code
4-15-10.5-12(b) and pursuant to Indiana Code
4-21.5-13-29(d), Mr. Brower was given 15 calendar
days from receipt of the recommended order to file
written exceptions with the Commission. Mr. Brower
filed a written exception, and both parties filed
briefs on the subject.

The Commission has granted each side up to ten minutes for oral presentation followed by questions by the Commission. As the party filing written exceptions with the Commission, Mr. Sacopulos will present first followed by Mr. Rothenberg.

Following any questions from the Commission, the Commission will vote to accept, reject, or modify ALJ Yeager's nonfinal order.

Mr. Sacopulos, the mic is yours.

MR. SACOPULOS: Good morning. And thank you for this opportunity. I'm here on behalf of Bobby Brower. I think that in presenting this to you

today, I would like to take a moment to give a

little historic perspective because this is not the

normal matter that comes before this Commission.

This dates back to 2016 when Mr. Brower received a

complaint that was filed against him for

6 mistreating a horse. Mr. Brower then filed an answer to that complaint and filed it timely.

2.2

The staff at the time, which is not the current staff, was not the current staff, moved to default Mr. Brower. Mr. Brower had timely filed an answer and objected to the default, but the ALJ entered the default order against him. Mr. Brower then appealed to this Commission, which affirmed the ALJ's position in defaulting him. And so Mr. Brower was in the position of seeking judicial review.

He went to an Indiana trial court. The court heard the arguments and found that the administrative law judge, not the administrative law judge that has issued the order that's here before you today, had abused his discretion and reversed the decision and sent it back for a hearing on the merits.

When it got sent back, Mr. Brower was stuck with the same administrative law judge, the one

that had abused his discretion and ruled against him. He felt that he had been prejudiced and asked for a different administrative law judge. That was rejected. He then asked that the administrative law judge that he was assigned to be disqualified. That administrative law judge ruled on that very motion and denied it, not surprising.

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Mr. Brower was then in a very precarious situation. He ended up striking a deal with the Indiana Horse Racing Commission for a four-year suspension. He served that suspension without incident. And that agreement contained a provision that prohibited him from reapplying for seven When Mr. Brower's four years was up, he was going to move to Ohio. He never intended to come back to Indiana and race. But when he went there, they said you have to get a license in Indiana So when he attempted to do that, the problem was he needed to get an Indiana license first. So he went to other jurisdictions and tried, again, the same problem.

So finally at that point his only option was to apply here to try to get this license. So he filed an application. He had a hearing before the judges. The judges heard evidence, heard

testimony. Mr. Brower testified. IHRC licensed veterinarian Keith Hollendonner testified on his behalf as to the treatment to his horses. Hundreds of pages of exhibits were admitted. And the judges at the conclusion of that matter denied his application, and his application has been denied.

He then appealed that decision. And then an ALJ Judge Yeager was assigned to the matter. And as Mr. Eggiman said, summary judgments were filed for both sides, cross summary judgments. The judge ruled in favor of the race commission.

We believe that that ruling is an error for three main reasons. One is is that subsequent to the original agreement that was entered into, the Indiana Horse Racing Commission and its attorneys and I were trying to work out some type of an agreement to get Mr. Brower back in the race. He had served his four years.

So there was ultimately a subsequent ruling by the Indiana judges at Hoosier Park. And that ruling rescinded, the words were rescinded and superseded the prior agreement. And it contained language that he had served his four years, and that this seven-year provision was voluntary.

When the judge made her ruling, she did not

consider the April 12, 2022, subsequent ruling. So she was considering a ruling that had been superseded and rescinded. So we believe that to be an error.

2.2

We also believe to be an error the fact that the reason that Mr. Brower's license was denied was because of the seven-year period not to reapply. If you look at the statutory provision in Indiana that governs whether someone's license when they apply can be kept out of horseracing can be denied, it's found statutorily at 4-31-6-6.

If you look there, there's a whole list of reasons why the race commission can deny a license, 18, 19, 20 different reasons. But nowhere in that list is a reason that says a person voluntarily said I won't reapply. That's not a sanction. So it's not there.

There were depositions taken in this case.

Depositions of Executive Director Deena Pitman and the chief or the head judge at Hoosier Park, Kevin Gumm. Both of them testified that the sole reason that they denied this license was because of the seven-year provision. A provision that does not appear in that statutory list of reasons why a license can be denied. So we take exception with

the recommended order for that reason as well.

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The third reason is is that the Indiana Trial Rule 56 governs summary judgments. Both people in this case filed summary judgments, cross summary judgments. Trial Rule 56(e) talks about what can be used to support a summary judgment. Those are affidavits. We submitted on behalf of Mr. Brower an affidavit of his own and one of Howard Taylor, an attorney licensed in the state of Pennsylvania as an equine lawyer and has appeared before this Commission.

The administrative law judge found both of those affidavits despite the fact they comply to Trial Rule 56; sworn under oath, made of personal knowledge, set forth facts that are specific to this case, found both of them to be hearsay and did not consider those. For these reasons, we believe this rule to be, this ruling to be wrong, and we are opposing that.

I want to make this as short as I can, but I want to conclude with one thing. I have appeared before this Commission many times. One of the things that has constantly been said by this Commission is that they want to enforce these rules consistently and fairly. I think that is one of

- 1 | the driving forces of why we have HISA, the
- 2 | Horseracing Integrity and Safety Act; talked about
- 3 | uniformity, talked about fairness, talked about
- 4 | consistency; consistency in medication, consistency
- 5 | in testing in medication, consistency in labs,
- 6 | consistency in enforcement, consistency in
- 7 | penalties. It's that fairness and consistency that
- 8 | I want to talk to in concluding.
- 9 There has been somebody before this commission
- 10 | very recently that was accused of abusing a horse.
- 11 | That person got 30 days and a \$1500 fine.
- 12 Mr. Brower has been out of the game for seven
- 13 | years. No one ever on either side of this aisle
- 14 | thought that he was going to be suspended for more
- 15 | than seven years. No one thought -- there was no
- 16 | meeting of the minds he would be suspended for 11
- 17 | years. That was never considered.
- 18 So that individual that I spoke to is
- 19 different also from Mr. Brower. He currently is
- 20 | licensed by the Commission. He's also different
- 21 | because Mr. Brower when this happened was turned
- 22 | into the Indiana State Police and the local
- 23 authorities in Anderson. No charges were filed
- 24 | against him, not one. He was investigated and no
- 25 charges at all. Conversely, the other individual

that you have licensed and that appeared before you is facing a criminal trial within the next 30 days.

Mr. Brower has suffered a severe penalty, probably one of the most severe in the history of the Indiana Horse Racing Commission. We ask that this ruling by the administrative law judge be rejected. Mr. Brower's summary judgment be granted, and that Mr. Brower have an opportunity in the alternative to be able to present his case, which he has not been able to do at all since 2016 and be heard on the merits of this case. He has been out of work for seven years.

That's what we're asking for today. If you have any questions, I'm glad to try to answer those.

CHAIRMAN BORST: I think I've got some, but I think we'll go ahead and hear the other side, and then we'll give you a chance, five minutes for rebuttal and then we'll give our folks five minutes too. How's that?

MR. SACOPULOS: Thank you.

22 CHAIRMAN BORST: Okay. David, I think you're 23 next.

MR. ROTHENBERG: Good morning, Commissioners.
This case is pretty simple. The question is:

Should Brower be held to the terms of the agreement that he signed in 2018. Commission Staff feels that, yes, he should. OALP agrees with the Commission Staff that, yes, he should.

There was an administrative complaint filed in 2016, as Mr. Sacopulos said. That complaint asked for a 15-year suspension and a \$40,000 fine. Eventually it was entered in 2018 with no fine and a suspended time from March 7, 2018 to March 8, 2021. As part of that agreement, there was a clause that said that Mr. Brower would not seek licensure through March 7, 2028.

That was a voluntary. That was voluntary.

The whole agreement is voluntary because he is not forced to enter into the agreement to begin with, but that particular clause has to be looked at in light of being part of a contract, an agreement as part of a contract.

Now, sort of going back to the history -- and I'm not going to go through the whole history because I think Mr. Sacopulos outlined it pretty well -- Mr. Brower could have adjudicated this. He could have adjudicated it. And even if they felt we've got no chance to win, that could have been taken to judicial review in front of an independent

judge, but that's not what happened. He entered into this agreement. He entered into this voluntary contract.

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So the question is: Was this a valid contract, and, number two, should this contract be voided. He did agree to seek licensure, as we said. In 2023, he decided he would apply for a license. Was it a valid contract? Well, anybody who knows a little bit about contracts knows that there has to be an offer. There has to be capacity or legality. Mr. Brower has said that he was of the right mind. He knew what he was signing. He wasn't intoxicated, all those things.

There has to be a meeting of the minds. And typically meeting of the minds means that both parties sign it, and they both understand and agree to it. And then there has to be consideration given. In this case the consideration was the initial complaint was for a \$40,000 fine and a 15-year suspension, which was reduced to the terms that I spoke about earlier.

Let me address whether or not the following ruling was superseded by the subsequent ruling.

And it was. The ruling was, but the agreement was not. The agreement or the contract stayed in

place. So there are really two ways to be released from a contract. And that is a voluntary release by both parties or something that's written in the contract allowing you to do that and a breach of contract.

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The real issue here, as Mr. Sacopulos states, is the ancillary effects of the contract. He has stated that Ohio told him, told Mr. Brower or somebody -- I don't think it was Mr. Brower, but it might have been one of his agents -- that you have to get licensed in Indiana before we will license you. In all the evidence that was turned in in this particular hearing, it was only one application that was made, and that was to Kentucky. I believe the results of that were Kentucky asked Mr. Brower to withdraw his application. And there was no reason given for that.

So anything about any other jurisdiction turning down Mr. Brower because of our agreement, because of the agreement he signed, there's no proof of that other than people's words, in other words hearsay. Somebody told somebody. And somebody then wrote an affidavit saying I was told by somebody.

The agreement or the ruling later was revised to reiterate the fact that these seven years of non-application, I guess you'd call it, was not part of a sanction. It was a contract. It was an agreement.

Now, we can't predict what any other jurisdiction is going to do with any of our rulings. And there was no evidence of intent on the horse racing commission, as Mr. Sacopulos says, none of us were present at that time, that we were doing or revising a ruling or anything trying to help him get licensed in other jurisdictions.

That's really up to Mr. Brower. That's really up to his attorney.

There is a matter of the fact that there's a paragraph in there that says if you breach this, the remedy is that this get litigated. Mr. Brower has stated that, hey, by applying, I breached it. Therefore, the contract is invalid, and you have to litigate it. But what Judge Yeager said was that you can't self-breach a contract to benefit yourself. Now, anybody who's been involved in any type of litigation knows that a lot of times it's not easy to litigate a case to begin with, but it's even more difficult to litigate a case eight years

after the fact; witnesses' memories aren't as sharp, witnesses disappear, all of that. We have not looked into that because we believe that this contract can't be breached to begin with to his own benefit.

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Mr. Sacopulos went into the nuances of Trial Rule 56. And, honestly, it's my opinion that, you know, that should be taken up on judicial review. That's more of a, that's more of a legal argument.

Very simply concluding here, number one, we believe that when you sign a contract, you should honor what you signed. Any research that should have been done, should have been done at that time prior to the signature. And number two, if you have an issue with another jurisdiction licensing you, it should be taken up with that jurisdiction and not an attempt to revise the contract that you entered in prior.

At this time, I would be happy to answer any questions.

CHAIRMAN BORST: Why don't we do our five-minutes rebuttal. I don't know if you have anything you would like to say, Mr. Sacopulos, any rebuttal on anything.

MR. SACOPULOS: Yes, I do briefly.

CHAIRMAN BORST: You were both very good, gosh, both under ten minutes.

2.2

MR. SACOPULOS: I have three points I would like to make in response to David's argument. One is is that the judges' ruling of April 12th of 2022 does rescind and does supercede the prior agreement. The point of that that is important here today is is that you have the administrative law judge did not consider that decision at all in this opinion. If you review the opinion, there is no reference to the April 12, 2022 ruling. So she is arguably ruling and basing this on a decision that has been superseded and rescinded. That's point number one.

Point number two is that counsel says there was really no proof that he was denied elsewhere. He had to withdraw the application in Kentucky, but there were affidavits. And you'll remember that was a point that I brought up as one of our three points that we took issue with with the administrative law judge. That she did not consider these affidavits, but the affidavits that were presented and offered in support and designated as evidence in support of Mr. Brower's motion for summary judgment clearly set forth his

efforts in other jurisdictions to obtain a license.

But they were told -- he was told that we would not

consider an application until you have been

2.2

licensed in Indiana.

So there is, I don't want to get too far into the weeds legally, but there's what's called the Doctrine of Futility. You're not required to do something that is going to be futile if the effort is expended.

And then third, the remedy. This provision in the agreement if we look back to the 2018 agreement. That was not something that was negotiated by Mr. Brower. That was a term required, demanded, and included we were told in every agreement by the Indiana Horse Racing Commission. That if you do anything, step one inch out of line on this agreement, then you breach, and we litigate this. Everything comes back into play.

So Mr. Brower was at a point where he had no choice left but to apply here because he needed the license to get back into the game. No one ever thought that this was going to be an 11-year suspension, not anybody at the time this was entered into. And so he did. And the remedy is if he applies, it is a breach arguably. And if it's a

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     breach, then you have a hearing.
          And so I understand Mr. Rothenberg's position,
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     but the fact is that's a provision that his
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     predecessor requested and a remedy that they
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     selected, and that Mr. Brower had to agree to.
                                                      So
     those are the points I have in rebuttal.
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          COMMISSIONER LEVENGOOD: Can I ask a question
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     now?
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          CHAIRMAN BORST: Do you have anything you
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     would like to?
          MR. ROTHENBERG: Go ahead.
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          COMMISSIONER LEVENGOOD: In the agreement
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     under A12, it says, has the right to consult with
     counsel. Were you his counsel at the time of this
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15
     signature that was placed on here?
16
          MR. SACOPULOS:
                          2018?
          COMMISSIONER LEVENGOOD: This has a date of --
17
18
          MR. SACOPULOS: The original agreement you're
19
     talking about?
20
          COMMISSIONER LEVENGOOD:
                                   Yes.
21
          MR. SACOPULOS: Yes, sir, I was.
2.2
          COMMISSIONER LEVENGOOD: Okay. You were.
23
          MR. SACOPULOS: Yes, sir, I was.
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          COMMISSIONER LEVENGOOD: Did he consult with
25
     you before he signed it?
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          MR. SACOPULOS: Yes, sir, he did.
 2.
          COMMISSIONER LEVENGOOD: Okay. That's all.
 3
     So he had the right. You were the counsel. And he
     did consult with you before he signed it?
 4
 5
          MR. SACOPULOS: Yes, to all those questions.
                           I quess I will follow-up on
 6
          CHAIRMAN BORST:
     that as one of the questions that I had, why
 7
     wouldn't you have told him or why wouldn't he know
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 9
     that there would be other consequences by signing a
10
     seven-year addition? Somebody should have told
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     him, well, this could affect Ohio, Kentucky even
12
     though, you know, that wasn't.
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          MR. SACOPULOS: Yes, and not that it's
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     specific to this, but what Mr. Brower did was he
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     consulted independent counsel in foreign
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     jurisdictions. This proposal was submitted to that
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     foreign counsel for review to see at the conclusion
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     of four years if he would be able to get licensed
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     in Ohio and was told yes.
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          CHAIRMAN BORST: What's a foreign counsel?
                                                       Is
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     that another state?
2.2
          MR. SACOPULOS: A lawyer in Ohio.
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          COMMISSIONER LEVENGOOD: Does that counsel
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     have DNO insurance?
25
          MR. SACOPULOS:
                          That, I don't know. I don't
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know.

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CHAIRMAN BORST: Back to your Trial Rule 56, why wouldn't there be evidence, a copy of something or an email or something that says, no, we're not going to -- an affidavit is okay. You can do an affidavit until you're blue in the face, and it doesn't mean much. But if you have something from another jurisdiction, huh-uh, we're not going to do you.

MR. SACOPULOS: If you review the affidavit, what it states is is that Mr. Brower secured counsel in other states, the states there. And he had that person, those lawyers look into getting him a license there, and they were all told that.

CHAIRMAN BORST: But they didn't have a letter to him saying, no, you can't? There's no proof. I mean, this is the easiest part of the whole agreement.

MR. SACOPULOS: Well, there's proof from Howard Taylor who attempted to help him in Pennsylvania.

CHAIRMAN BORST: Why wouldn't he have -- there should have been a letter. There should have been something saying, no, you can't do it. That's the easiest thing of this whole process, I would think.

MR. SACOPULOS: Well, there's his statement under oath, which is consistent with Trial 56(e).

2.2

CHAIRMAN BORST: I know, but you know how that goes sometimes.

MR. SACOPULOS: I would also for the record say is there any evidence that he didn't do it. The only evidence before you is that he did.

CHAIRMAN BORST: It's so easy to have the absolute thing. The other question that I had, you keep mixing agreement and ruling and sanctions. They're not the same. The agreement contains some sanctions and rulings and his voluntary agreement not to have the seven. So the voluntary part has nothing to do with the April 22 let him off the hook, I guess, for the other, for the four years. He'd served the four years and all that. So you can't keep mixing those same words. The agreement contains a lot of stuff.

MR. SACOPULOS: I understand. But that is the sole -- if you review the deposition testimony of Executive Director Pitman and Presiding Judge Gumm, that is the sole reason that this application in Indiana was denied.

CHAIRMAN BORST: Well, yeah, because he voluntarily signed that he would do an extra seven

years. Why he did that, who knows. You know but nobody else knows.

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MR. SACOPULOS: Yeah, but statutorily that's not a reason to deny an application.

CHAIRMAN BORST: But this is a legal document. It supersedes it. He signed it. He did it on his own. It's like me saying I'm not going to get a driver's license for seven years. And I tell them that and sign it, well, I'm not going to get one in seven years. Okay. That was it. You answered the big questions. Thank you.

COMMISSIONER MCCUAIG: I just have one additional.

MR. SACOPULOS: Certainly.

COMMISSIONER MCCUAIG: So there was a component where the hearing happened. There was added clarification regarding other jurisdictions. So it seems like there was an opportunity there. And that was the language that was added and nothing else.

So you have this kind of additional time when you're considering these facts. And instead of saying, no, this contract was a breach and everything else, we're, oh, we're just going to add this little piece and keep going. And so it seems

like there was an opportunity for objection to the initial kind of contract at that point. Those facts weren't added into any other order.

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So I think if there was a recognition and a desire to preserve those as objections, that would have been an opportunity to start documenting it.

And that wasn't clarified. The only thing that was clarified was that component about the jurisdiction.

MR. SACOPULOS: And to be clear, we did ask that the seven-year provision be removed from the April 12, 2022, ruling by the judges at Hoosier Park, but they did not do that.

CHAIRMAN BORST: They didn't have jurisdiction. It wasn't a sanction or a fine. It was a voluntary agreement. They had nothing to do with it. They couldn't rescind it. Only, only the Commission itself could rescind it, I guess.

COMMISSIONER MCCUAIG: And so as far as that document, it's kind of clear at that point that where the jurisdiction lies.

MR. SACOPULOS: I respectfully disagree with you, but I understand your question.

CHAIRMAN BORST: That's what lawyers do though. Any other questions from Commissioners

McCarty or Estes at all?

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COMMISSIONER ESTES: No, sir.

COMMISIONER MCCARTY: No questions.

MR. SACOPULOS: Thank you for your time.

CHAIRMAN BORST: Anybody, any our Commission members with questions? Anything else to add?

MR. ROTHENBERG: I guess I would only reiterate what's already been said. This is kind of an unusual case because it's a contract. You're dealing with a contract unlike an approval of an agreement. It's a contract that you entered into. And you should be held in good faith dealing with the terms that you signed on the contract.

The contract never had to be signed. Nobody forced anybody to sign the contract. It could have been litigated, as I said before. I mean, not only that, but I would also point out that paragraph number ten of the original agreement states that Mr. Brower concedes that the horse racing commission has sufficient evidence to provide by a preponderance of the evidence that he has committed one or more of said violations. So he signed an admission that he violated one or more of these and that the Commission can prove it. To void this agreement would be voiding that admission as well,

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     which I don't think is fair.
          CHAIRMAN BORST: Okay. Does our -- anything
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 3
     else from Mr. Leatherbury?
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          MR. LEATHERBURY: I think we can close the
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     hearing.
          CHAIRMAN BORST: So we have three
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 7
     possibilities; one, we can accept the nonfinal
     order, or we can affirm it, or we can deny it, or
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 9
     we can modify it. Those are the three. So if
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     somebody would have a motion ready to go, we can
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     have a motion and vote on it.
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          COMMISSIONER MCCUAIG: I make a motion to
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     affirm the nonfinal order of the administrative law
14
     judge in its entirety.
15
          COMMISSIONER LEVENGOOD: Second.
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          CHAIRMAN BORST: Been moved and seconded. Any
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     further comments? Anybody from the public who's
18
     not involved with the case have any comments,
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     testify? Seeing none, we'll do the roll call vote.
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          MR. EGGIMAN: Chairman Borst.
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          CHAIRMAN BORST:
                           Aye.
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          MR. EGGIMAN: Commissioners McCarty.
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          COMMISIONER MCCARTY: Aye.
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          MR. EGGIMAN: Commissioner Estes.
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          COMMISSIONER ESTES: Aye.
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1 MR. EGGIMAN: Commissioner Levengood. 2 COMMISSIONER LEVENGOOD: Aye. 3 MR. EGGIMAN: Commissioner McCuaig. 4 COMMISSIONER MCCUAIG: 5 CHAIRMAN BORST: The ayes have it unanimously. The ruling has been affirmed. 6 7 Okay. Moving on to the next couple, we've got the review of the 2024 year-to-date Commission 8 9 rulings as of June 15th, 2024 and review of the 10 2024 year-to-date HISA rulings as of June 15th and 11 the HIWU rulings as of June 30th. Matt, do you 12 want to handle those? 13 MR. EGGIMAN: I can, yes. 14 CHAIRMAN BORST: I mean, there's nothing to 15 vote on. We're just reviewing them. 16 MR. EGGIMAN: No vote required on these two 17 agenda items, information provided for review. 18 can certainly be happy to answer any questions you 19 might have. 20 The HISA rulings are sort of new for these 21 meetings. They involve the racetrack safety rules. 2.2 And it just happened to be for this particular 23 meeting, they happen to be all jockey riding crop

The HIWU rulings involve the anti-doping and

rule violations.

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medication rules. There are two such rulings that have been adjudicated by HIWU so far in 2024 from positive drug tests at Horseshoe Indianapolis. One was for a controlled therapeutic medication, and one was for the banned substance, hence the different in penalties. All of these rulings are published on the HISA and HIWU websites for public, for the public. 

CHAIRMAN BORST: Any Commission members have any questions about either sets of rulings?

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Seeing none, then we will move to item five, ratification of Executive Director's probationary approval of Churchill Downs Technology Initiatives Company, request to suspend the majority of its live operator assisted wagering capacity. Dale, you got that one?

MR. PENNYCUFF: Yes, Chairman. Commissioners, on May 14, 2024, Churchill Downs Technology
Initiatives Company, herein referred to as
Churchill, emailed Commission Staff of their intent to suspend the majority of its live operator assisted wagering capacity. On May 16th,
Commission Staff sent a letter to Churchill requesting further information about the proposed change and its effect on Churchill's Indiana

account holders.

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On May 20th, Churchill's response noted that they had a small subset of players who heavily utilized live operator wagering for whom Churchill would continue to offer live operator wagering.

Other than this small subset, live operator wagering would no longer be available.

This change only effects wagering by using a live operator. It does not affect customer service or making withdrawal or deposit by using a live operator. Churchill also noted the implementation date would be moved sometime past their original date of May 21st. And they stated that since January 1st of 2023, only a fraction of a percent of Indiana account holders have used a live operator. And more than half of those account holders have used other methods.

Based on the information provided by
Churchill, Executive Director Pitman issued
Churchill probationary license approval on
May 24th, 2024. At this time Commission Staff
respectfully requests the Commission ratify the
Executive Director's probationary approval of
Churchill's requested license amendment to suspend
the majority of its live operator assisted wagering

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     capacity.
 2.
          CHAIRMAN BORST: Any questions by Commission
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    Members? Seeing none, we'll accept a motion.
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          COMMISSIONER LEVENGOOD: So moved.
          COMMISSIONER MCCUAIG: Second.
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          CHAIRMAN BORST: Somebody. Been moved and
 6
     seconded. No further questions, I guess we'll do
 7
     our roll call vote.
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 9
         MR. EGGIMAN: Chairman Borst.
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          CHAIRMAN BORST:
                           Aye.
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         MR. EGGIMAN: Commissioner McCarty.
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         COMMISIONER MCCARTY: Aye.
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         MR. EGGIMAN: Commissioner Estes.
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         COMMISSIONER ESTES: Aye.
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         MR. EGGIMAN: Commissioner Levengood.
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         COMMISSIONER LEVENGOOD: Aye.
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         MR. EGGIMAN: Commissioner McCuaig.
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          COMMISSIONER MCCUAIG: Aye.
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          CHAIRMAN BORST: The motion passes
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    unanimously. Next on our agenda is the 2024
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     community giving report presentation from Harrah's
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    Hoosier Park and Horseshoe Indianapolis. Do we
23
    have a fight who goes first?
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          COMMISSIONER LEVENGOOD: Come on, let's go.
          CHAIRMAN BORST: You both get an opportunity.
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          BRIAN LEWIS: Yeah, right. He always goes
     first. So I get to go first this time. Just a
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 3
     quick update, we are on pace to meet our
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     $525,000 --
 5
          CHAIRMAN BORST: You might want identify
    yourself.
 6
          BRIAN LEWIS: Apologies. Brian Lewis,
 7
    Director of Marketing, Horseshoe Indianapolis.
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 9
    pace to meet our spend goal of 525,000 for the
10
    year. We're currently at 250,800 in spend, and
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     82 percent of that spend is in Shelby County. I've
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    got more notes if you need more details, but that's
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     just kind of the high level what I had prepared
14
     today. Any questions for Horseshoe?
          COMMISSIONER LEVENGOOD: What's the newest
15
16
     thing this year?
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          BRIAN LEWIS: We have a few things. Most
18
    recently in racing --
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          COMMISSIONER LEVENGOOD: Just one.
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         BRIAN LEWIS: PDJF was a recent one.
21
          EXECUTIVE DIRECTOR PITMAN: You'll have to let
22
     them know what those acronyms stand for.
23
          BRIAN LEWIS:
                        Sure.
                               I will need my notes as
24
    well.
           I know what it means. The Permanently
25
    Disabled Jockey Fund, and that was one was 2500 in
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1 June. 2. COMMISSIONER LEVENGOOD: Was it requested or 3 you did it on your own? 4 BRIAN LEWIS: That one, this piece I believe 5 was requested, but we also have another portion that we do on our own. Yeah. Any other questions? 6 7 CHAIRMAN BORST: I'm glad the way you distribute them so we know where they went, whether 8 9 they were the county or outside the county or city. 10 BRIAN LEWIS: Yeah, we keep a spreadsheet for equine spend, for total property spend. Anything 11 12 else? 13 CHAIRMAN BORST: They're on target, halfway 14 through. Any other questions? Anything, 15 Commissioner McCarty or Commissioner Estes? COMMISSIONER ESTES: No, sir. 16 17 BRIAN LEWIS: Thank you. 18 CHAIRMAN BORST: Nobody calls me sir, but 19 thanks. 20 COMMISSIONER ESTES: Top that. 21 JEREMY MEDLEY: Jeremy Medley, Director of 2.2 Marketing for Harrah's Hoosier Park. To date for 23 our \$430,000 required community spend, we have 24 spent \$214,000, \$214,850, of which 183,000 has been 25 spent in Madison County with \$124,000 of those

dollars spent in Anderson, Indiana specifically.

Obviously, Anderson, Indiana is a big focus for us

taking care of our local community.

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Just kind of a high level view of what our focuses this year have been, health and medical needs, resources, awareness in the community.

We've spent \$42,000 in that area; education, \$59,500; social equity, \$41,000. We have a number of equine spends coming up as we move into sort of the meat of our meet.

The stakes races that we have coming up, I feel very excited. We have very, very big things to come for the Standardbred Transition Alliance, very big things to come for that. That is our next really big event that we will be holding on July 27th. Empire of Hope is an absolutely massive effort that I am very proud of. That's kind of where we are at right now. I can answer any questions that you all may have.

COMMISSIONER LEVENGOOD: How did you do with the eclipse?

JEREMY MEDLEY: The eclipse, we spent \$20,000 on our eclipse. I was very, very happy with it.

We were not as central as some locations were, but it was a very, very fun day. We had great

attendance. We had a lot of -- there's some pictures that I would like to, I wish I had on me right now of our attractions that we had out that day. We had a light up slide, a light up teacup ride, a bunch of things like that.

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COMMISSIONER LEVENGOOD: If you had to do it again, what would you do differently.

JEREMY MEDLEY: If we did it again --

COMMISSIONER LEVENGOOD: Because it's not coming back for a long time.

CHAIRMAN BORST: Chances are good he's not going to be there when it comes back.

COMMISSIONER LEVENGOOD: But marketing guys like are always like da, da, da, and then a guy like me goes, all right, we spent a bunch of money. Did we make any money? Oh, by the way, what would we do differently. Briefly, quickly, what would you do differently?

JEREMY MEDLEY: I guess it would have been nice to maybe have captured some more and engage -- something that we've done since then is engaging on social media with that and really getting stuff out there in front of people. That is something we've been pushing really hard since then. We have a new marketing team member who one of her biggest

responsibilities is capturing those moments.

EXECUTIVE DIRECTOR PITMAN: Do you want to let the Commission know -- I don't want to steal anybody else's thunder, but I do know that you had a really big turnout on July 4th.

JEREMY MEDLEY: Fourth of July was tremendous. We had fireworks, of course. And we had, I would say, a good 3,000 to 4,000 people out in our yard at one point. Some of that was circumstantial because we were, I think, one of the only places doing fireworks that particular day. It was on the 4th.

We did an American flag giveaway where you had to show proof that you wagered to get an American flag with our property. We had a temporary tattoo artist and a number of attractions out there for the kids. And it was shoulder to shoulder. I really have not seen anything like it in many, many years. Very proud of that.

COMMISSIONER LEVENGOOD: Cool.

Congratulations.

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JEREMY MEDLEY: Thank you.

23 CHAIRMAN BORST: Thanks.

JEREMY MEDLEY: Thank you all.

CHAIRMAN BORST: Eric, I don't know if there's

anything left of the eclipse, but come on up.

Jeremy may have stolen all of your thunder there.

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ERIC HALSTROM: Yeah, thanks, Jeremy. Eric Halstrom, GM of racing here at Horseshoe. I actually do have some other stuff to talk about because we had racing that day. Kind of going back to what the plan was, we had a short day, only eight races, six Thoroughbreds, two Quarter Horses. We had a heck of a day. It was a lot of fun like Jeremy mentioned.

Where you're sitting right now is, like, almost on the exact path of where this thing went. We were about exactly on the line. Fairland is where it hit so we were about as close as you were going to get.

You know, we had a really interesting day. We had a really good crowd on track. Tammy and Brian did a super job on entertainment. We had bands throughout the early part of the day under the awning, and then we had a really good band, local band that played during the break. We took an hour-long break.

And so I'm going to preempt something,

Commissioner Levengood, one thing I found out, and

I made notes for 134 years from now when the guy

with my job has it, don't take an hour break, take 40 minutes.

COMMISSIONER LEVENGOOD: Too long.

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ERIC HALSTROM: Too long. It was a little bit too long. However, I wasn't prepared for the fact that two minutes before the total thing, it was still light out. Anyway but we had fun. Joe and I stood on the apron with a bunch of customers wearing funny glasses. Joe was smoking cigars. We were all wishing we could drink beer. We were still working so we couldn't.

It was one of those days, but, you know, I think when it comes around, one of the things we did really stumble into was that opening a day when it was we were kind of celebrating Indiana horses and horsemen was really cool. We had a lot of fun that day. All the races were either Indiana bred or sired. We had two stakes races for the Thoroughbreds on that side; the Dark Side of the Moon Handicap and the Total Eclipse of the Heart Handicap. And it was a fun day.

I think as it comes around, there's something to be learned that's not eclipse related, but maybe we're going to start kicking something off with of something Indiana-ish going forward because it was

a really fun day to have people around.

CHAIRMAN BORST: Good. Well, you're not going to be here for the next one. There will be some partials coming. Maybe you can do something with those.

ERIC HALSTROM: The time capsule that we planted right out by the Winner's Circle will be full of information for 134 years from now for the next eclipse.

CHAIRMAN BORST: Tell them do not open for 134 years. Thank you.

Next is our seasonal race meet updates from Rick Moore and Eric Halstrom. So, Eric, you're there so you get to go first.

ERIC HALSTROM: Yes. Fifty-four days into the meet as of yesterday. We're sitting in really good shape. We have not gotten any breaks from the weather. I'll tell you that right now. We've lost two major days to rain, just copious amounts of rain. Our Caesars Stakes Day, which was in May, one of our biggest days last year, our handle was \$5 million on that day, wiped out. We had four inches of rain that day, just not a safe place to be. And then we lost July 4th. Jeremy mentioned we had a lot of plans. We had some really good

things. The weather came in through early, and we raced early. And that kind of got us there too.

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We've lost almost over a third of our turf racing so far, but that will come back. In a 120-day meet, weather kind of evens itself out. So there have been some headwinds. However, right now we're eerily close to the exact numbers of last year. We're down about 15 races at this point. So on a per-race basis, our handle is up a few percent. That was over last year's record, you know, our smashing record. So I feel very good about that. We'll be getting those races back as we get through the end of the meet and whatnot. We've over half of that to go.

Some of that, I mentioned we're down about 15 races. You know, over the winter we also talked --we're significantly impacted by HISA in the sense that we lost about a million dollars out of our purse funds from HISA, which is only central to the Thoroughbred account. It's not Quarter Horse or Standardbred related.

But over the winter, and there's folks in this room we were with too; Joe Davis, Brian Elmore, Tim Glyshaw, and then Chris Duke on the Quarter Horse side. We were very careful in how we approached

our purse fund this year. And it's paid off. One of the goals was to use races that we're going to stick together, not use stuff that we were worried about falling apart on us. I think for that reason, we're sitting in really good shape in both purse funds, the Thoroughbred and Quarter Horse side to go.

And we have some cushion, especially on the Thoroughbred side, to make up some races as we go along. But I feel good about that because that was the breed where we were having the difficulty with with the HISA impact with just about a million dollars coming out of that purse fund this year.

We had a great Indiana Derby week, other than the July 4th washout. These numbers are just for comparative to show you how strong our business has been when we've got a good product out there. 2023 for the whole week, we averaged about \$330,000 per race wagered. This year it was 370. That tells us a lot that when we can get something out there, get some good weather, we've got a lot to offer.

Indiana Derby Day itself, I'm going to use Brian Elmore's words, the biggest crowd he's ever seen for an Indiana Derby. He's seen 30 of them. I've seen five now. And obviously since the

pandemic, this was the best one of all time.

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Set a handle record just shy of \$8.2 million in handle. That doesn't include the international handle, which we think would probably inch that number closer to about 9 million the way that business is growing. Smooth day. A really good horse won. Excited the more often you can get really good horses coming to your races and winning, it just keeps, seems to pile on itself. We'll root for that horse down the road, Dragoon Guard, because he seems to be a really, might have a future with some of the really good horses at the end of the year.

So, you know, I think as you come along
Indiana Derby, you learn stuff every year, but
we've really found a great location in the
calendar. It's a perfect time for TVG and Fox
Sports was back out again. We had nine races
broadcast on Fox Sports nationally that day. They
brought their own crew out there to host it. It
seems to be that it was a lot of respect now for
our racing and especially on that week, which has
turned out to be a really good time. And the
weather, we did catch a break that day. It was
about the nicest day we've had in five months here.

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          I'll wait for any questions but good start to
     the meet. We're cruising right along.
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          CHAIRMAN BORST: Derby Day was great. It was
     fun. It was fun to see all those people out there,
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    had a lot of kids. I was amazed at the kids, which
     is what you want. Keep the families coming.
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 7
          EXECUTIVE DIRECTOR PITMAN: That playground
    gets used, doesn't it?
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 9
          ERIC HALSTROM: I'm not sure whose idea that
10
    was before that.
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         EXECUTIVE DIRECTOR PITMAN: I don't know.
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          ERIC HALSTROM: It's come in handy over the
13
    years.
          EXECUTIVE DIRECTOR PITMAN: I can't imagine.
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15
         ERIC HALSTROM: Love that thing.
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          EXECUTIVE DIRECTOR PITMAN: I did want you to
    mention though, I don't have any of the numbers,
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    but isn't this, like, the third Derby, at least the
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     third Derby in a row where you have set a record
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     over a record over a record?
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          ERIC HALSTROM: Actually, this is the fifth,
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    but thanks for bringing that up.
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         CHAIRMAN BORST: Even better.
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          ERIC HALSTROM: Just for what it's worth, in
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     2019, a record was set. Handle was $4.1 million
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- that day. And we've incrementally got it up to the point now where included all, it's pushing 9 million. It definitely changed the level of horses coming too. It's been a really transformation of a really great event into
  - CHAIRMAN BORST: Any questions? Thank you.

something nationally prominent. So it's great.

8 ERIC HALSTROM: Thank you.

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- CHAIRMAN BORST: Okay. Rick, tell us about Hoosier Park.
- RICK MOORE: Mr. Chairman, members of the Commission, staff, Rick Moore, vice president of general manager of racing Harrah's Hoosier Park.

  As we enter our 70th day of racing of our 160-day meet, I'm pleased to report that our race meet has performed very, very well.

As you know over the last four years, we've establish a handle record each and every year for the last four years. We are just over 1 percent off of last year same time period. So we're performing almost at record pace as last year. So we're very pleased with that.

We're averaging 13.3 races per day compared to 13 races last year. So the racing opportunities have been aplenty for the horsemen. We're

averaging 8.5 runners per race compared to 8.38 last year, another indicator of a robust race meet.

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As I believe you had seen, I submitted a history of Harrah's Hoosier Park purses since 2019. I'm pleased to say that we have raised purses three times since the COVID year of 2020. We did just recently reduce purses by 5 percent on June 1st to ensure that our purse account would be in a financially healthy position at the end of our race meet.

This was a decision that was made collectively by Harrah's Hoosier Park and the Indiana Standardbred Association. We decided to be proactive in our approach to our purse account as we operate in a challenging economic environment.

Our biggest nights of the season are still in front of us. Jeremy mentioned our Empire of Hope, our fifth annual Empire of Hope that will take place on Saturday, July 27th. We have lofty goals. I probably shouldn't paint myself into a corner, but we're hoping to raise over \$50,000 for the aftercare of Standardbred racehorses, something that's near and dear to our hearts.

We've got our Dan Patch Stakes coming up on August 9th, Friday, August 9th, and the Caesars

Trotting Classic on September 20th. And I'm pleased to say that both those nights will be broadcast on Fox Sports 2 as part of a three-hour broadcast span duration. Then right after that, we'll have our Hoosier Champions Night coming up that features the very, very best of our Indiana sired and bred horses. We've already talked about the Fourth of July.

I wanted to let you know that our new Hoosier Outdoor Patio, still rather new, Hoosier Outdoor Patio, commonly known as The Hop, has become a big hit particularly on Saturday nights where folks can enjoy racing, live entertainment, and food trucks, and a very relaxed environment. I go down there for a couple races every Saturday just to kind of hang out and listen to some music. We've been -- and speaking of The Hop, Joe Morris has some exciting news that he will be sharing with you in a few minutes regarding The Hop.

We've been busy with capital investments.

We've just completed an almost \$200,000 WiFi

project that now features complimentary WiFi

service in all of our backside buildings in our two

barns that make up our paddock. So we're pleased

with that.

1 We've added \$175,000 LED lighting project throughout our barn, all our barns giving more 2 3 light to every stall in every barn in our barn 4 area. We've added another section of radiant 5 heating to both of our paddocks. So, you know, we've been busy. We now have a new equine 6 ambulance and new starting gate truck. And I'll go 7 on record as saying that our barn area, our 8 9 backside is the very best in harness racing. 10 feel confident when I tell you that. 11 I also want to mention last, but certainly not

I also want to mention last, but certainly not least, I want to thank the ISA Board of Directors and particularly the ISA leadership team of John DeLong and Tony Renz. You know, we've worked collectively and, you know, as partners in making Harrah's Hoosier Park the best place you can possibly race as far as harness racing is concerned.

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So I thank you for your time today. I would be glad to answer any questions you may have.

COMMISSIONER LEVENGOOD: Anecdotally, we have this inflation issue.

EXECUTIVE DIRECTOR PITMAN: Gus, I think you need to get closer to the mic.

COMMISSIONER LEVENGOOD: Hi, there. My sense

is that you're, both parks because of much that you provide is complimentary. And you don't have to answer this today, but I would like you to send me a note privately or whatever.

RICK MOORE: Okay.

2.2

COMMISSIONER LEVENGOOD: But my guess is that you're not promoting that you have done well by not raising the cost to your patrons. Anecdotally, I think that's true, such as parking concessions and the cost to show up. I mean, obviously a two-dollar bet is a two-dollar bet. But what are your thoughts as it relates to that? Have you all noticed that or not?

RICK MOORE: Commissioner Levengood, you know what I've noticed -- and our marketing team is here. And they can tell you. I mean, these guys and gals, they kill themselves on programs to get people to come to the racetrack, but it's become more and more challenging ever since, particularly COVID I think exacerbated the fact that now people are comfortable wagering on their phones, wagering from home. So it makes it -- it's a challenge.

Our big days, like Eric's Indiana Derby, are days that we need to take advantage of and our Dan Patch and our Caesars Trotting Classic night.

1 Those are nights and days that we have to take advantage of to get people out here to expose them 2 3 to, you know, just a great atmosphere. And that's incumbent upon us to do that. You know, we have 4 5 Jeremy and Jacob Rheinheimer here. And that's their charge to get people to our big nights. I'm 6 a firm believer if you get people to your big 7 nights, they'll come back because they'll see it's 8 9 a fun place to come. 10

COMMISSIONER LEVENGOOD: Thank you.

CHAIRMAN BORST: Any other questions? Thanks.

Thank you, Chairman. RICK MOORE:

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CHAIRMAN BORST: Okay. Next is the request from the Standardbred Breed Development Advisory Committee to amend the 2024 approved program. would be Jessica Barnes. Here you get it all done and then you have to go amend it.

JESSICA BARNES: Amend it, yeah. We're always adjusting. So I like to say that this entire industry is always just, it's a delicate puzzle. If one thing changes, it changes everything else. So that's what we're always doing is looking at these numbers and looking at how we can have a healthy racing industry.

So the Standardbred Breed Development

Committee works closely with the racetrack management and the horsemen. And we've always had the belief that it's not only important to have a healthy breed development program in Indiana, you also have to have a healthy open program to have both of those. You have to have both of those things be healthy to have the quality racing program that we have here in Indiana.

So recently when looking at the racing program and talking with racetrack management and the ISA, we saw an area that the breed development program could come in and provide some assistance just to ensure that healthiness stays for the rest of this meet. So what we were looking at is when you look at our racing programs, you have fixed components. You have the stake races. Those purses are fixed. You have the late closers. Those purses are fixed. The fair purses and what we spend at the fairs is fixed. What the track spends for their open stake races, that is a fixed component.

The component that has the moving part has always been our overnight program. And these are the races that horsemen enter three to four days before. You don't know whether they're going to go or not, but we have always allocated a lump sum

1 amount of money from the breed development program

2 | for the overnights. That money goes to

2.2

3 | Indiana-sired races. So our breed development

4 dollars can never be used for the open program. It

5 has to be earmarked for Indiana-sired horses.

So as Indiana-sired races fill, they bill us for that until they reach the cap of what we've allocated, which has been \$3.5 million in the past. Typically that cap is met September, October, depending on when that is. And so then the purse account picks up those races. So we stop paying when we reach that \$3.5 million from the breed development program. And the open purse account picks up those races. With the revenues being where they're at, it has been put a little bit of strain on the racing program.

So when we sat down and talked with the ISA and racetrack management, we felt like breed development is setting here with some money in reserves, and reserves can be a curse and a blessing depending on how you use them because we're always very cautious to put reserves. When you're putting reserves into the program, you don't, you want to put it into an element of the program that is not going to have an impact if it's

declined the next year. So, you know, if we were going to boost up our sire stakes purses, which are set purses, and then all of a sudden we had to pull that money back out, and we didn't have that money from reserves, you would certainly see a decline in those purses.

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So one area where we can put those reserves in and not have an impact because the overnight races is such a variable section of the program, we believe we could add in some money to keep the program healthy for the remainder of the year. So we're asking to utilize an additional 500,000 from our reserves to move the overall budget for Indiana-sired overnights from \$3.5 million to \$4 million for the remainder of the program.

COMMISSIONER LEVENGOOD: What's the total reserves that you're taking that from?

JESSICA BARNES: Right now we have about 5.6, depending. We're in the middle of the year. So it's hard to say that. My estimation at the end of the year, and this is also taken into account the decline from the revenue. We've seen a little bit of a dip in revenue. We should have about 4.1 left in reserves.

COMMISSIONER LEVENGOOD: So you're taking

10 percent out?

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JESSICA BARNES: Yeah. So the Commission years ago, so this has been about 12, 10, 12 years ago, kind of gave the breed development program guidelines of what they would like us to keep in reserves. And Standardbred has always been between 2.1 and 2.3 so it leaves us with 4.1, which is a real comfortable number that we still have the ability to utilize some reserves to the program next year.

COMMISSIONER LEVENGOOD: So I think I hear you are recommending that we do this.

JESSICA BARNES: Yes. Yes. I think it would benefit the overall racing program, not only the Standardbred Breed Development program.

EXECUTIVE DIRECTOR PITMAN: And, Jessica, you've already had a meeting with your breed development committee?

JESSICA BARNES: Yes, we had a meeting with the Standardbred Breed Development Advisory

Committee, and they actually approved this recommendation to forward onto the Commission.

COMMISSIONER LEVENGOOD: So you need a motion for this?

MR. ROTHENBERG: Yes.

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          COMMISSIONER LEVENGOOD: So moved.
 2
          COMMISSIONER MCCUAIG: Second.
 3
          COMMISIONER MCCARTY: Second.
 4
         COMMISSIONER LEVENGOOD:
                                   Third.
 5
          CHAIRMAN BORST: So that would make the total
 6
    budget then 15,111,000.
 7
          JESSICA BARNES: You are correct.
 8
          CHAIRMAN BORST: Okay. Okay. Any -- no
 9
     further questions? Motion's been made and
10
     seconded. Roll call.
11
         MR. EGGIMAN: Chairman Borst.
12
         CHAIRMAN BORST:
                           Aye.
13
         MR. EGGIMAN: Commissioner McCarty.
14
         COMMISIONER MCCARTY: Aye.
15
         MR. EGGIMAN: Commissioner Estes.
16
         COMMISSIONER ESTES: Aye.
         MR. EGGIMAN: Commissioner Levengood.
17
18
         COMMISSIONER LEVENGOOD:
                                  Aye.
19
         MR. EGGIMAN: Commissioner McCuaig.
20
          COMMISSIONER MCCUAIG: Aye.
21
         CHAIRMAN BORST: It passes unanimously.
2.2
         JESSICA BARNES: Thank you.
23
         CHAIRMAN BORST: Thanks, Jessica. Okay.
24
         Next is consideration from the Quarter Horse
25
    to transfer ADW funds to supplement Quarter Horse
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1
    purse account. Chris. Chris, he's there.
                                                 Не
 2
    wants his money.
 3
          CHRIS DUKE: Thanks, Chairman Borst,
 4
    Commissioners. Yeah, OHRAI is asking, requesting
 5
     to transfer the ADW funds for the purse account.
          CHAIRMAN BORST: You might identify yourself.
 6
          CHRIS DUKE: President of Ouarter Horse
 7
    Racing, Chris Duke. As we continue to grow our
 8
 9
    program, we feel like this will give us the
10
     opportunity to add races and at least maintain our
11
    race count. With the growth of Quarter Horse
12
    racing in Indiana, our audience has grown as well
13
     as our handle. And we will feel like these funds
14
     should help us continue to promote our industry.
          CHAIRMAN BORST: Do we know about how much
15
16
     that is, or is that too early to ask that?
                      About 250,000, I think.
17
          CHRIS DUKE:
                           I didn't know if it was too
18
          CHAIRMAN BORST:
19
     early to ask that. Any questions, Commissioners?
20
    Any motions?
21
          COMMISSIONER LEVENGOOD: I move to approve.
2.2
                               I second.
         COMMISSIONER ESTES:
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          CHAIRMAN BORST: Thank you. Been moved and
24
     seconded. Roll call vote.
25
          MR. EGGIMAN: Chairman Borst.
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1 CHAIRMAN BORST: Aye. 2 MR. EGGIMAN: Commissioner McCarty. 3 COMMISIONER MCCARTY: Aye. MR. EGGIMAN: Commissioner Estes. 4 5 COMMISSIONER ESTES: Aye. MR. EGGIMAN: Commissioner Levengood. 6 7 COMMISSIONER LEVENGOOD: Aye. MR. EGGIMAN: Commissioner McCuaiq. 8 9 COMMISSIONER MCCUAIG: 10 CHAIRMAN BORST: Passes unanimously. Thanks. 11 Okay. Next we have a rulemaking update. 12 have to keep talking about this every meeting, I 13 quess, don't we? 14 MR. ROTHENBERG: Yeah. So people are probably 15 wondering, hey, whatever happened with those rules 16 you circulated back in September of 2023? Well, 17 good news. They're being posted to the Register on 18 July 31, 2024. So there's two sets of rules out 19 there that have been circulated. One is our fines 20 and fees, which basically put in the rule the fines 21 and fees that we're now using anyway so there's 2.2 really no change. 23 The other rule out there updates the external 24 reference to ARCI rule, version, and latest date. 25 So that really has very little effect, other than a

technical revision of the date.

So basically after July 31st, there will be 30 days of public comment. And then we will have a September 3rd public comment hearing. And people can attend that via Teams or via live. That will be downtown. All of this will be on the website so everybody knows how to do it. And if there is a second public comment period necessary, that will push that after that 30 days. But, hopefully, there won't be, and we can adjust anything based on public comments.

After that, it will be go to the AG's office where it can spend up to 45 days. Then it will go to the Governor's office where it will spend up to 30 days. So hopefully these rules that we started back in September of last year will get passed in the middle of December. I already told you that there would be a little bit of delay and change from our emergency rule making, which was basically one day.

I did want to outline a statutory change that's important, House Enrolled Act 1003, Administrative Law Act. Today you heard Mr. Sacopulos and I arguing on a nonfinal order issued by OALP for your approval. That will no

longer be occurring. Part of this enrolled act makes OALP the actual final authority in any adjudicative decisions that they may make. So it will not require your approval. Once the ALJ makes that decision, that's the decision. It won't go before the Commission to give the thumbs up or the thumbs down.

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CHAIRMAN BORST: But the only other remedy would be the courts?

MR. ROTHENBERG: Right. After that, it could get appealed to the courts, which is interesting because that also takes the deferens, part of this enrolled act takes the deferens out of the court. So basically the court does not have to rely or give deferens to OALP's decision. They can try it all on their own.

You still will need, looking at, looking at the new law, to approve any agreements though because that's not adjudicated by OALP. So that's where we are sort of on rules and statutes. Pretty soon we will start working with the legislature on statutory changes that you'd like to make, some technical stuff in the 2025 session.

CHAIRMAN BORST: Any Commissioners have questions? Keeps on going. Like you said maybe --

1 COMMISSIONER MCCARTY: I do. 2. CHAIRMAN BORST: Yes. 3 COMMISSIONER MCCARTY: I have some questions. 4 The decision of the administrative judge, is it 5 goes to the courts? Right. So... 6 MR. ROTHENBERG: CHAIRMAN BORST: Could go. 7 MR. ROTHENBERG: If Judge Yeager decides and 8 makes a decision, it is the final decision. 9 10 the final decision that will get appealed if 11 necessary on judicial review. So that's what goes 12 to the courts. No longer does the Commission There is no nonfinal decision in other 13 approve. 14 words from OALP. It is a final decision, and 15 that's what would get appealed. 16 COMMISIONER MCCARTY: The appeal to the 17 courts, it goes to the trial court presumably in 18 Marion County? 19 MR. ROTHENBERG: It can go to the trial court 20 either in Marion County or it can go to the trial 21 court in the county in which, actually probably the 2.2 county in which one of the tracks is or the county 23 in which the person this actually affects lives or

And, again, the only difference in that

24

25

resides.

judicial review is in the past you gave deferens to the agency decisions. That was removed so the trial court can rule, whichever. They no longer have to rely on OALP's or the decision of the final authority's decision.

2.2

COMMISIONER MCCARTY: Is this going to increase the level of activity at the trial court level?

MR. ROTHENBERG: I don't know. That's a question for those who are appealing. There is something that is also in this rule or in this new statute. Basically it states that if the state appeals an OALP decision, and it goes to court and would lose at court in the judicial review, the state has to cover the attorney fees for the petitioner, I guess you would call it in most cases.

So that's probably a better question for Mr. Sacopulos than it is for me whether this will increase activity or not. I mean, from our point of view, it's going to be business as usual, I guess.

CHAIRMAN BORST: Any comments or too early to tell?

MR. SACOPULOS: I think this is a case-by-case

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     basis on, I think, each case.
 2.
          CHAIRMAN BORST: Come up to the mic.
 3
          MR. SACOPULOS:
                          Thank you.
          COMMISSIONER LEVENGOOD: Reintroduce yourself.
 4
 5
          MR. SACOPULOS: Yes, sir. I'm Pete Sacopulos.
     I'm now commenting on what the rule updates that
 6
     Mr. Rothenberg was discussing. In terms of whether
 7
     that will increase appeals, I think that that, at
 8
 9
     least from my standpoint and my experience, would
10
     be done on a case-by-case basis. Each situation
11
     would be determined based on the ALJ's finding and
12
     strength or weakness and thought be available on
13
     appeal.
14
          CHAIRMAN BORST: You don't have to mess with
15
     us anymore, I guess. You're lucky there, I guess.
16
     We don't enjoy doing that, believe me.
17
          MR. SACOPULOS: Can I -- I would prefer not to
18
     answer that or not respond to that comment.
19
          CHAIRMAN BORST:
                           You don't have to say a word.
20
          COMMISSIONER LEVENGOOD:
                                  Wise man.
21
          CHAIRMAN BORST: Okay. Anything else, Bill?
2.2
     Did that get them all answered? Guess so.
23
          Okay. Why don't we move on to the HISA
24
     update, which this is quite a report here. Did you
25
     do this, or did they do this?
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          MR. EGGIMAN: I did the agenda item, yeah.
     But not the -- that's all HISA and HIWU.
 2
 3
          CHAIRMAN BORST: With all the charts?
          MR. EGGIMAN: All the charts are there from
 4
 5
     HISA.
 6
          CHAIRMAN BORST:
                           Those are their numbers.
          MR. EGGIMAN:
                        Those are their numbers.
 7
 8
          EXECUTIVE DIRECTOR PITMAN: Chairman, I would
 9
     like to comment.
10
          CHAIRMAN BORST:
                           Yes.
11
          EXECUTIVE DIRECTOR PITMAN: Both Matt and
     Dr. Peterson are going to weigh in on this item,
12
13
     but as you have seen in your book, they have made
     great progress on the IT side of things with HISA.
14
15
          CHAIRMAN BORST:
                           Oh, yeah.
16
          EXECUTIVE DIRECTOR PITMAN: So we now have a
17
     database that we can go to and pull rulings, okay,
18
     for this racetrack. It looks like they're still
19
     doing screenshots on the positives, but I feel like
20
     they're getting there with all the other data that
21
     you're seeing in your books. I'm really, I'm happy
2.2
     that they're able to move forward with their IT
23
     process.
24
          CHAIRMAN BORST: I am too. I thought Matt did
25
     this. I didn't think they had the capability to do
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it. I mean, you would.

2.

2.2

EXECUTIVE DIRECTOR PITMAN: I guess we see where the millions of dollars are going.

CHAIRMAN BORST: Yeah, right.

MR. EGGIMAN: Okay. So just a few items here for HISA. And I'll start with rulemaking, like David was speaking about, and the length of time it's taking for rules to get through.

At the last Commission meeting, I had discussed that HISA had submitted several pages of rule changes to the Federal Trade Commission regarding their racetrack safety rules. And they had also submitted nearly 400 pages of rule changes regarding their medication and anti-doping rules.

Well, last month, the FTC did approve the racetrack safety rule changes. And those went into effect on July 8th. Our equine medical director, Dr. Kerry Peterson, she'll speak more here in a bit on how those changes have affected the IHRC staff at the tracks. It's also affected the tracks themselves. The proposed medication rule changes, they're still awaiting decision by the FTC.

Dr. Peterson will also highlight HIWU's 2023 annual report. It was fairly lengthy. That's why it wasn't included in your booklet. She'll

highlight obviously those portions that affect Indiana. The HISA and HIWU 2024 Q1 reports are provided in your booklets for informational purposes.

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Because the Thoroughbred race meet didn't start until April, there's very little information in there regarding Indiana and Horseshoe Indianapolis. So we'll await the Q2 reports, which have not come out yet. We'll get those in the next, the booklets for the next meeting and have a little bit more to talk about with those.

But before I turn it over to Dr. Peterson, I do want to make a quick update on the federal litigation that's challenging the constitutionality of HISA. I feel like I make a little bit of an update at each one of our meetings. We've had basically two cases, one in the Fifth Circuit, well, combined cases, but there's cases in the Fifth Circuit and Sixth Circuit Court of Appeals. In June of this year, the Supreme Court declined to review the Sixth Circuit's decision that declared the HISA Act constitutional. So that decision remains in place.

Then less than two weeks ago, the Fifth Circuit Court of Appeals found HISA

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     unconstitutional. I won't go into the reasons why
     at this point just to save time. But what it means
 2.
 3
     is that we now have two conflicting opinions out
 4
     there from two different Circuit Courts of Appeals.
     So as far as the IHRC is concerned, at this time
 5
     HISA still has jurisdiction over racetrack safety
 6
     and anti-doping and medication rules for
 7
     Thoroughbreds. The Supreme Court can resolve it,
 8
 9
     but they don't have to. So and it likely -- and
10
     nothing would happen for at least another year or
11
     two anyway. So we're kind of again in a holding
12
     pattern regarding the constitutionality of the HISA
13
     Act.
14
          I'd be happy to take any questions before I
     turn things over to Dr. Peterson, who will speak
15
16
     about the annual report.
17
          CHAIRMAN BORST:
                           Questions? Not yet, I quess.
18
          MR. EGGIMAN: And there will be no vote
19
     required for this one.
20
                              Thank you, Mr. Chairman,
          DR. PETERSON:
                         Hi.
     Commissioners. I think it was --
21
2.2
          EXECUTIVE DIRECTOR PITMAN: Identify yourself.
23
          DR. PETERSON: Oh, I'm sorry. Dr. Kerry
24
     Peterson, Equine Medical Director for the Indiana
25
     Horse Racing Commission. So it's been a little
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over a year, I think, since I came up here and talked to you guys about the early implementation of HIWU. I think we had raced 16 days at my last update for you guys. So it was really new. So I just want to kind of follow that same update that I gave you there at that time and, you know, kind of tweak where things have improved and how things have changed along the way.

So, again, all testing under the ADMC program is conducted by HIWU trained and certified sample collection personnel. We had repeat blood collection officers that worked last year and were certified. We all had to get recertified for the 2024 meet. We've added several additional certified blood collection officers in total. We have ten veterinarians that are certified by HIWU as blood collection officers; seven of those veterinarians are Horseshoe regulatory veterinarians, and three of them are IHRC veterinarians, including myself.

We also have four trained RVTs that are able to collect blood as well under HIWU, namely Stephanie Adams. She's our test barn administrator and our HIWU testing liaison. And she does a fantastic job. She's all over the place collecting

blood and running that test barn and making sure that the collection personnel, the test barn attendants are following HIWU guidelines. She keeps a straight ship. She does a great job.

2.

And then we have about ten sample collection personnel, the pee catchers, in the test barn, a lot of repeat folks, but they did repeat the training again for 2024. They are doing really well. We've got a couple new people.

COMMISSIONER LEVENGOOD: Let me interrupt you. Do they have to do that recertification, even yourself, on an annual basis?

DR. PETERSON: Yeah, so if you've had time off -- like, the regulatory veterinarians that didn't take time off over the winter that went to other jurisdictions and kind of kept up with their certification, they don't have to do the refresher. But it's online now so we did kind of a Teams call. The team didn't come out here like they did initially with us. So it's pretty easy.

And the new veterinarians that we've hired for the test barn, they get sent a link and are able to do the training online. So it's very efficient for them and expeditious so we can get these vets in our test barn. COMMISSIONER LEVENGOOD: Thank you.

DR. PETERSON: The first day of racing this year was on eclipse day. And that was the day that two HIWU members came. They were here to help us with the first day of racing. We said are you sure. It's eclipse day. It's going to kind of crazy traveling here. They were, like, no, that's why we want to come. They had a blast too. They were a huge help with us and were really happy with how things are here in Indiana.

As I previously stated last year to you guys, in 2023, the hiccups that we had initially, I think I talked about the software and some glitches with the software, the tablets, that has been improved markedly. They took our suggestions, other racing jurisdictions suggestions to improve the modalities of the tablets. And it has been awesome.

And it's increased efficiencies in the sample collection process. You know, we can do things much more efficient and get the horses sampled and move on to the next.

They've also provided more support documents and handouts. You guys see the beautiful handouts that they provided for the Q1 report. The annual report that they did is fantastic. They provided

similar documents for support materials for our test barn attendants, our test barn administrator, as well as trainers and veterinarians as well. So it's been nice. They've got great resources.

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As it was last year, there continues to be an open line of communication with HIWU. We have a really great working relationship with them. And we can call them if we have any questions or concerns.

And I know I complimented them last year. I'm going to compliment them again. The test barn staff, they work so hard and Stephanie Adams as well. And the horsemen too. I mean, it's a collaborative effort. We kind of have to do this. And if we all work together, it can be successful.

As Matt mentioned, the HIWU released their annual report. We just got it, I think,
April 11th of this year. Specifically, I think they said that they did over 50,000 sample submissions or sessions for the country, the tracks that were under HISA and HIWU in '23. For Indiana specifically, May 22nd through November 16th, which was about 105 race dates, we had 2,057 sample collection sessions. Breaking that down because we saw that, we were, like, what does that mean

because we tested so many different. We did post race. We did blood gas. We did out of competition so I wanted them to break that down, which they did.

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So post race we collected 1,470 blood samples, 1,276 urine samples. We collected 375 blood gas or TCO2 samples. And we did 110 out of competition tests. Dr. Hershey's team here at Horseshoe performed 134 vets list biologic sample collection. And then there was a separate category that HIWU said that they included in those numbers I just provided you of any fatalities or injuries that we had, which was 33. Eleven of those were race related or training fatalities. And hair was also collected on those fatalities as well. So that was from May 22nd to November 16th under HIWU.

Under IHRC in early 2023, we sampled 187 horses post race. Again, that was 16 race days.

I know I talked last year about results management. And we were frustrated because it felt like it was taking longer for us to receive the results. That has been improved markedly. We're receiving results on average in 10 to 14 days. And then the vets list samples we sometimes get back in as soon as five days. So that has been improved

and consistent.

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In 2023, Matt included one in your booklet that was adjudicated and finalized in 2024, but that was actually our first HIWU positive here in Indiana in 2023. In total, we had six medication violations in Thoroughbreds under HIWU. Four of those were banned substances. I know two have been adjudicated. Two of the other ones I think were medications that they're still working on that may be a human substance of abuse. And those cases have been put on hold. We had two controlled therapeutics and one atypical finding, which the atypical findings was that category that those are substances that may be caused from exposure or, you know, something inherent with the animal that they just look into further. And it just triggers an investigation from the HIWU team.

That atypical finding we had actually was a testosterone positive. And it turned out that horse was a ridgling. So he was producing his own testosterone. So that case was resolved.

And just to compare from when we did our medication oversight, in 2022, we had nine medication violations in Thoroughbreds. And we used the ARCI drug classification and penalty

guidelines. So we had five Class 2 or 3, penalty B positives. And two of those were testosterones in ridglings so those cases were resolved. And then we also had four Class 4 penalty Cs.

2.2

So it's about the same as far as what we found a little bit more than what HIWU did in the first year. But, again, it was a shorter year than it was for us in 2022. And then Matt shared with you the 2024 violations so far. And we've had no post-race violations under HIWU so far.

I'm going to change course here a little bit and talk about those new safety rules that HISA had approved by the FTC in June and then implemented on July 8. There's a lot. So I'm just going to go over some highlights, some critical changes that were approved.

I think one of the biggest ones is for fetlock intra-articular injections. Intra-articular injections in any joint prior to this rule change was 14 days for racing, seven days for training. For fetlocks only is now a 30-day standdown time for racing and 14 days for works. So just specifically for the fetlock and specifically for corticosteroids. Any other joint injections has the 14-day standdown time for racing and seven days

for timed works.

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The other big change is that all non-racetrack veterinarians or veterinarians practicing on the racetrack on a covered Thoroughbred racehorse now has to be registered with HISA, and they have to report treatments into the HISA portal. So that kind of gray area gap in treatment records and medical records that was missing, hopefully, those veterinarians will comply and put that data in moving forward.

There's also several new rules regarding claims. Standdown times were changed for shock wave therapy. Before it was 30 days to return to racing or training. Now it's 30 days return to racing and 14 days to return to work. And then HISA safety committee, the racetrack safety committee, which I serve on -- it was called that in 2023. Now it is called the Racetrack Risk Management Committee. It's essentially the same thing. They just felt like that it needed a different name when the rules were changed.

We continue to meet monthly. We discuss safety concerns, fatalities, human injuries, anything that we need to improve as far as safety for our racetrack and for the athletes, human and

equine. That committee is headed by Dr. Andy

Hershey, the chief association veterinarian here.

And he's also the safety director in our

voluntarily agreement.

2.2

And I have to give him amazing credit. He's doing an amazing job. It's a big task to take on being the chief lead veterinarian here at Horseshoe, have the responsibility that he has in addition to doing the safety director role. He's been great to work with. We collaborate. It's been a great relationship. And I think the world of him. He's doing a fantastic job.

And along those lines, I have to say that currently we're 50-plus race dates in, Horseshoe Indianapolis has had zero race-related fatalities so far. So this is by far one of the safest seasons here. Q1 for HISA, the race-related fatality rate is .84. That has drapped from their 2023 rate of 1.23 per 1,000 starters.

In 2023, we had a fabulous year for safety. Horseshoe Indianapolis race-related fatality rate was .89 per 1,000. So we were below the national average. And then if you take out some of the sudden deaths and focus on musculoskeletal catastrophic, we were at .77 last year. So really,

really great numbers. I mean, obviously we want to continue to keep them lower and lower.

And it's just a testament to all the hard work with the racetrack, employees that work on the track surface, the veterinarians, the trainers, the cooperation with these now protocols, our safety steward Michelle. I think it's kind of a collaborative effort. And I think it's paid off, and we're seeing the results of that hard work. Anybody have any questions?

COMMISSIONER LEVENGOOD: Wow.

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CHAIRMAN BORST: Can you go over all that again?

DR. PETERSON: This was pared down.

CHAIRMAN BORST: Our Indiana fatality is how much, .89?

DR. PETERSON: So in '23, it was .89 per thousand starters. And the national average under HISA on HISA-regulated racetracks for 2023, again, that wasn't the full year. It was from -- well, that was for the full year for HISA, it was, 1.23. So when they announced Q1's data, which we really weren't much of a factor because I think we just raced a handful of days in Q1, they had dropped quite a bit from that.

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          CHAIRMAN BORST: That's where I was going.
                                                       Ι
     didn't see us in there, but I guess we didn't.
 2.
 3
          COMMISSIONER LEVENGOOD: What's the best you
 4
     ever dream for?
 5
          CHAIRMAN BORST:
                           Zero.
          DR. PETERSON: The best would be zero.
 6
                                                  Τ
     think, I think in kind of .7, .8. I think
 7
     anything, you know, as low as possible is what we
 8
 9
     strive for.
10
          COMMISSIONER LEVENGOOD:
                                   Sure.
                                          Sure.
11
          CHAIRMAN BORST:
                           Okay. Any clarifications
12
     needed or anything from Commissioner Estes and
     Commissioner McCarty? Do you know what a fetlock
13
14
     is?
         Okay. I guess we have no other. Thank you.
15
          DR. PETERSON:
                        Thanks.
16
          CHAIRMAN BORST: Anything else, Matt, on your?
17
          MR. EGGIMAN:
                        No.
18
          CHAIRMAN BORST: Okay. That's good to keep
19
     apprised because it sounds like things are getting
20
     a little better anyway.
21
          Okay. Next on our agenda is review and
2.2
     consideration of racing capital fund committee
23
     expenditures approved and submitted by fund
24
     committee members as well as Community Center
     update. Joe Morris has had to sit here and
25
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couldn't say anything, but now it's your turn.

JOE MORRIS: I needed to stand up.

2.2

CHAIRMAN BORST: We would have called on you earlier.

JOE MORRIS: Joe Morris with Caesar's Entertainment Racing. Good afternoon everybody.

I'll start with Hoosier. We met the RCFAC committee, I think it was the beginning of June. We reviewed 2024, which is year four of that committee. We would like to ask -- we voted for approval, and we would like to ask for approval of an addition to The Hop, that's the area on the apron that we built last year. We put 372,000 into it. It's been extremely popular. We have bands out there pretty much every Saturday, food trucks. It's turned into an exciting area.

And in meeting with the RCFAC committee, which is John DeLong from the ISA, Rick, and myself, we would like to do phase two of that, which is \$150,000. So we would like to have consideration for approval on that.

That would bring this year's expenditures -we have eight other projects. I think they're all
complete at this time, but that would put us at
710,000 for year four. And then that's been voted

by the ISA board also.

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For year five, the RCFAC always tries to keep a two-year rolling schedule going forward. put some potential projects there, but I'd actually like to have approval on one of them. The ISA board voted in favor, the RCFAC and the board voted in favor of the tote board video wings. So you notice the board we have here with the high definition screen in the middle and the two video wings to either side. That tote board at Hoosier -- the track's 30 years old. The board might not be that old, but it's probably 25 anyhow. We replaced the middle part of it a year ago. would like to replace the two wings so it resembles this one here. And we've got a price of 650,000 for that. So that would be a 2025 project.

Then as you see, we put two other projects that are in discussion with the ISA on that.

Number of, the first one, backside paving actually happens if the sanitary sewer lines happens because we would have to rip up the sewers. Currently it's the only system I've ever seen like this in my many years in the industry. But every horse that's washed on the back stretch, that water goes down into a drain and is pumped into a plastic tube,

tank. And then we have to every day go empty those tanks in the sewer on the other side of the facility. And we'd like to hard plumb all that in so we didn't need to do that anymore.

If we do that, it will be a significant amount of trenching, and we would need to repave the back stretch. Just have those as potentials. We're still discussing those with the horsemen.

But I would like, if we could, to get consideration for that tote board for next year. We would probably do that late February, beginning of March so we have the board ready for the beginning of our '25 meet and for The Hop improvement, which we would complete for later on this year.

CHAIRMAN BORST: So for now, you're looking for approval for the 150,000 for The Hop.

JOE MORRIS: For this year. And then if you could vote the 650 for next year, the RCFS approved it. And we'll just get that done, and we can start planning it and putting the dates to it. With that, if we do the 650, the five years after 2025, we would have 814,000 a year still available within the fund.

CHAIRMAN BORST: How much? That seems awful

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1
     high.
 2.
          MR. ROTHENBERG: Is that including the
 3
     sanitary sewer lines though?
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          JOE MORRIS: No, that would be without the
 5
     sanitary sewer lines and without the backside
 6
     paving. If those all go in, it would be around
     300,000.
 7
          MR. ROTHENBERG: I think that's what's in the
 8
 9
     book.
10
          CHAIRMAN BORST:
                          Okay. If '25 gets done,
11
     you're down to 300,000.
12
          JOE MORRIS: If all three of the projects go.
13
          CHAIRMAN BORST:
                          Okay. That's what I thought.
14
     It sounds high.
15
          JOE MORRIS: No, that would be just the 650.
16
     We would have 314 if it all goes, but the RCFAC
17
     board has not approved the other two projects yet.
18
          CHAIRMAN BORST: Okay. Any questions on that?
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     I guess we need a motion to approve the 150,000 for
20
     this year.
21
          JOE MORRIS:
                       Right.
2.2
          CHAIRMAN BORST: And then the 650,000 for 2025
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     so they can get on it at the beginning of the year.
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          JOE MORRIS: Correct.
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          CHAIRMAN BORST: Such a motion out there?
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          COMMISSIONER MCCUAIG: So moved.
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          COMMISSIONER MCCARTY: I move.
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          CHAIRMAN BORST: How about a second? I got
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     two.
          COMMISSIONER ESTES: Second.
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          CHAIRMAN BORST: Okay. We've got two
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     movements and a second. Any other questions?
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                                                    Ι
     quess we'll do the roll call vote.
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 9
          MR. EGGIMAN: Chairman Borst.
10
          CHAIRMAN BORST:
                           Aye.
11
          MR. EGGIMAN: Commissioner McCarty.
12
          COMMISIONER MCCARTY: Aye.
13
          MR. EGGIMAN: Commissioner Estes.
14
          COMMISSIONER ESTES: Aye.
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          MR. EGGIMAN: Commissioner Levengood.
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          COMMISSIONER LEVENGOOD: Aye.
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          MR. EGGIMAN: Commissioner McCuaig.
18
          COMMISSIONER MCCUAIG:
                                Ave.
19
          CHAIRMAN BORST: Passes unanimously. So do
20
     you have an update on the Community?
21
                       I do. Let me do Horseshoe.
          JOE MORRIS:
                                                    Ι
2.2
     don't need a vote on Horseshoe, but we have a
23
     couple of items on that update, and then we'll do
24
     the Community Center. So also we met that
25
     committee in June to look at 2024 and then to look
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out at 2025.

2.

For 2024, there's two adds we would like to put in. They are both under 50,000 so we don't need Commission approval, but with transparency everything we've ever done, we've put before this board. We winterized a barn last year, the Quarter Horse barn. And we did a very good job with it. It's so airtight we need to put some exhaust fans in it. It's getting too hot. I would say I missed that on the original design because when you do that. We would like to add exhaust fans on each end. That is, I want to say that's \$36,000 so the committee did approve that to put those in.

And then the other thing, there's a viewing stand that the trainers come up to where they can watch their morning workouts. It's old. It's probably 20-plus years old. We have winter training now. It's just not a very good structure.

So we'd like to put what I would call a proper track viewing stand in with the benches. It's got sliding windows. There's no heat in there, but it's got places to stand out of the wind, out of the rain, out of the elements. And that would be just under \$40,000. So the committee voted to do that also so the trainers can view their horses.

Remember earlier this year we built one of those for the horse, the outriders there so he can get out of it. We need to do the same for the trainers. So those two we want to add to this year. And we will get those done. And those don't need to be voted because they are both under 50,000 but wanted you to know the board was unanimous on both of those as we were on the Hoosier vote. So that's that.

Then we go to the Community Center. If you remember at the last meeting we were approved for 200,000 to start the architectural drawings.

Nothing moves very fast in this world anymore. We did put it out to bid. We got two bids. We're in the process of accepting one of them. We've had agreements going back and forth even this week. It should be signed by the end of the week. It will be just short, shy of 50,000 so that we were happy with that bid. So that will go under. So then the documents will be drawn.

The other piece of that is we did run it through the DNC at Caesars. We have a building department that does that. And their budget number was a million-four so that's pretty close to the million-three that we had talked about the last

time. So I was pleased with that on the gut check so to speak. We won't know until we get the drawings out to bid. So we're in the process of getting the agreement done.

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And it will be Chris Duke will be overseeing that project as far as getting the right architect, and the right civil. He's been to the town a couple times on the annexation already. So we're going forward with that part of it.

COMMISSIONER LEVENGOOD: Will you act as a CM or will you hire a CM?

JOE MORRIS: Well, his company will be the CM, but he'll be working directly with us on it, yeah. So we'll get that out and go forward. The town part on the annexation, his first few visits have been good and positive there. Hopefully those agreements will be signed this week. And we'll get those drawings rolling.

COMMISSIONER LEVENGOOD: Will the architect have the engineers under their contract, or will you separately contract with them?

JOE MORRIS: It's all going to go under the umbrella that Chris Duke's company, he's a builder. So it will go under his building company, and then the architect and engineers will fall under that

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1
     umbrella. And on the first part of it, the
     agreement can extend to the whole project, but the
 2.
 3
     first part is the narrow scope just for the
 4
     architectural design, civil, and the annexation
 5
     process.
          COMMISSIONER LEVENGOOD: So you can get a, so
 6
 7
     you can get a price.
          JOE MORRIS:
                       That's right. And send it to bid
 8
 9
     actually where we can get the price. Once we get
10
     that, we will be back in front of you knowing what
11
     the numbers are.
12
          COMMISSIONER LEVENGOOD: Can I suggest that
13
     you build in some add and deduct alternates to be
14
     able to land this thing within your budget.
15
          JOE MORRIS:
                       Yeah.
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COMMISSIONER LEVENGOOD: Okay. Because what will happen is it will come in over budget. You'll come to us, and I'm going to say what about the deducts that you built in to get it back to budget.

JOE MORRIS: Back to budget.

COMMISSIONER LEVENGOOD:

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JOE MORRIS: I do, yeah. We're building a track in Nebraska right now. So I've seen how that kind of works also.

COMMISSIONER LEVENGOOD: A two by four is over

Do you follow that?

seven bucks for eight-footers.

2.

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JOE MORRIS: Even just getting trades to show up is a challenge.

COMMISSIONER LEVENGOOD: Can't get people to bid right now. It's an interesting time.

CHAIRMAN BORST: Okay. Any other questions for Mr. Morris? Seeing none, no more votes needed. Thank you.

JOE MORRIS: Thank you.

CHAIRMAN BORST: Next and last on the agenda, well, not last, I guess, delegation of authority to conduct racing business to the executive director, which is a cleanup thing we always do. Dale, you look like you're ready to say something.

MR. PENNYCUFF: Thank you. Commissioners, each year there are matters that arise during the race meeting that require quick turnaround and quick decision making. Because the Commission may not meet often enough for those immediate matters to be handled, Commission staff routinely requests that the Commission delegate authority to Executive Director Pitman to handle matters that arise between Commission meetings. Pursuant to 71 IAC 2-2-1, the Commission may delegate authority to the executive director to implement and execute the

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1
     duty of regulating each race meeting and persons
 2
     participating in each meeting.
 3
          At this time Commission staff respectfully
     requests delegation of authority to Director Pitman
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 5
     to make race meet decisions as required, including
     but not limited to equipment, race calendars, ADWs,
 6
      SPMOs that cannot be delayed until the next
 7
     Commission meeting.
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 9
          COMMISSIONER LEVENGOOD: So moved.
10
          COMMISIONER MCCARTY:
                                Second.
11
          CHAIRMAN BORST: Moved and seconded.
                                                That's
12
     good. Roll call vote.
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          MR. EGGIMAN: Chairman Borst.
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          CHAIRMAN BORST:
                           Aye.
15
          MR. EGGIMAN: Commissioner McCarty.
16
          COMMISIONER MCCARTY: Aye.
17
          MR. EGGIMAN: Commissioner Estes.
18
          COMMISSIONER ESTES:
                              Aye.
19
          MR. EGGIMAN: Commissioner Levengood.
20
          COMMISSIONER LEVENGOOD: Aye.
21
          MR. EGGIMAN: Commissioner McCuaig.
2.2
          COMMISSIONER MCCUAIG:
                                Ave.
23
          CHAIRMAN BORST: It passes unanimously.
24
     guess we have one more thing to do some dates real
25
     quick.
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MR. ROTHENBERG: Yes, Commissioners. So I just wanted to give a real quick update on public comments deadlines and dates as it pertains to the option of the REIT to purchase the real assets of the track. I've pretty much expressed this, I think, to all of the associations, but we're looking at an August 2nd deadline at the close of business to submit to the Commission any written material you might have.

2.2

Now that includes studies, maybe letters, things like that. That doesn't mean that if a horseman, for instance, wants to write a letter that he is unable to after that date. We want to take in everything. We just would like to get most of these, at least more formal reports, that might be out there into the Commission by then.

We're looking at September 6th at one o'clock to have a public hearing where the Commission will receive any public comments.

Commissioner Borst and the rest of the Commissioners will set a time deadline, whether that's five minutes, ten minutes, fifteen, I don't know. That's going to be up to them, but everybody will be able to speak to the Commission. And the Commission will be able to ask people questions.

Any materials that you may want to also turn 1 2. in at that time can be turned in at that time as A vote will not be taken at that meeting. 3 4 That meeting is only to solicit for public comments. And a vote will be taken then at one of 5 the Commission meetings following that, which will б either be the fall or the winter at the end of the 7 year. We don't have a place yet for it, right, 8 9 maybe one of the tracks. We don't know yet. So 10 that's pretty much that. 11 CHAIRMAN BORST: Okay. Any old business, new 12 business? Then I'll declare that we are adjourned. 13 Thank you all again for coming. Thank you, Eric, 14 for hosting us again. 15 (The Indiana Horse Racing Commission meeting 16 was adjourned at 12:57 p.m.) 17 18 19 20 21

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1 STATE OF INDIANA 2 COUNTY OF JOHNSON 3 I, Robin P. Martz, a Notary Public in and for 4 said county and state, do hereby certify that the 5 foregoing matter was taken down in stenograph notes 6 7 and afterwards reduced to typewriting under my direction; and that the typewritten transcript is a 8 true record of the Indiana Horse Racing Commission 9 10 meeting; 11 I do further certify that I am a disinterested person in this; that I am not a relative of the 12 13 attorneys for any of the parties. 14 IN WITNESS WHEREOF, I have hereunto set my 15 hand and affixed my notarial seal this 1st day of 16 August, 2024. 17 18 NOTARY PUBLIC SEAL 19 STATE OF INDIANA Commission No. NP0677410 My Commission Expires March 2, 2032 2.0 My Commission expires: March 2, 2032 21 Job No. 192434 22 23 2.4 25

Index: \$124,000..31st

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