**RFP 18-066  
ATTACHMENT B, Sample Contract**

**Contract for Wellness Campaign & Challenges/Population Health Management/Clinic Services**

**Between State of Indiana and XXXXXXX**

**WHEREAS,** the State Personnel Department (State) has secured permission from the Indiana Department of Administration to enter into a contract with \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (“Contractor”) for the State Employee Wellness Campaigns & Challenges/ Population Health Management/ Clinic Services pursuant to IC 5-10-8.

**NOW, THEREFORE,** this Contract (“Master Contract” or “Contract”) is executed in consideration of the following terms and conditions:

**1. Duties of Contractor** [Details tailored to services provided – see:

Attachment 1 Wellness Campaigns & Challenges

Attachment 2 Population Health Management

Attachment 3 ClinicServices

**2. Consideration and financial arrangements** [Details tailored to services provided – see:

Attachment 1 Wellness Campaigns & Challenges

Attachment 2 Population Health Management

Attachment 3 ClinicServices

**3. Term**

This Contract shall be effective for a period of four (4) years. It shall commence on January 1, 2019. There may be four (4) renewals for a total of eight (8) years at the State’s option.

**4. Access to Records**

The Contractor and its subcontractors, if any, shall maintain all books, documents, papers, accounting records, and other evidence pertaining to all costs incurred under this Contract. They shall make such materials available at their respective offices at all reasonable times during this Contract, and for ten (10) years from the date of final payment under this Contract, for inspection by the State or its authorized designees. Copies shall be furnished at no cost to the State if requested.

**5. Assignment; Successors**

The Contractor binds its successors and assignees to all the terms and conditions of this Contract. The Contractor shall not assign or subcontract the whole or any part of this Contract without the State’s prior written consent. The Contractor may assign its right to receive payments to such third parties as the Contractor may desire without the prior written consent of the State, provided that the Contractor gives written notice (including evidence of such assignment) to the State thirty (30) days in advance of any payment so assigned. The assignment shall cover all unpaid amounts under this Contract and shall not be made to more than one party.

**6. Assignment of Antitrust Claims**

As part of the consideration for the award of this Contract, the Contractor assigns to the State all right, title and interest in and to any claims the Contractor now has, or may acquire, under state or federal antitrust laws relating to the products or services which are the subject of this Contract.

**7. Audits**

The State, through any authorized representative, has the right at all times to audit or otherwise evaluate the work performed or being performed under this Contract and the premises in which it is being performed.  Any audit or evaluation shall be performed during the reasonable business hours of Contractor upon reasonable notice given in advance to Contractor.  Contractor shall direct and assist the authorized State representatives through the premises to specific work areas to which said audit or evaluation relates.  If any audit or evaluation is made on the premises of Contractor or a subcontractor, Contractor shall provide and shall require his subcontractor to provide all reasonable facilities and assistance for the safety and convenience of the authorized representatives in the performance of their duties.  All such audits and evaluations shall be performed in such a manner as not to unduly delay the work.  Contractor shall assure that all Contractor and subcontractor claim files, books, documents, papers, accounting records or other evidence pertaining to costs incurred under this Contract will be maintained by Contractor for a period of at least ten (10) years.  The State, State Board of Accounts, or any of their duly authorized representatives shall have access to any such claim files, books, documents, records, and papers retained by Contractor for the purpose of making audit, examination, excerpts and transcripts until the expiration of the ten (10) years.

**8. Authority to Bind Contractor**

The signatory for the Contractor represents that he/she has been duly authorized to execute this Contract on behalf of the Contractor and has obtained all necessary or applicable approvals to make this Contract fully binding upon the Contractor when his/her signature is affixed, and accepted by the State.

**9. Changes in Work**

The State and the Contractor agree that either party shall be able to suggest changes, any other provision of this contract notwithstanding. Any such changes shall be made in the following manner:

A. For any change in this contract which does not affect the period of performance, major benefit or administrative provision, risk level, price, or other financial consideration, by written change notice from the State countersigned by the Contractor.

B. Any other change shall be made by formal amendment of this contract signed by all parties required to affix their signature thereto by Indiana law.

C. No claim for additional compensation shall be made in the absence of a formal amendment executed by all signatories hereto.

**10. Compliance with Laws**

A. The Contractor shall comply with all applicable federal, state, and local laws, rules, regulations, and ordinances, and all provisions required thereby to be included herein are hereby incorporated by reference. The enactment or modification of any applicable state or federal statute or the promulgation of rules or regulations thereunder after execution of this Contract shall be reviewed by the State and the Contractor to determine whether the provisions of this Contract require formal modification.

B.  The Contractor and its agents shall abide by all ethical requirements that apply to persons who have a business relationship with the State as set forth in IC 4-2-6, *et seq*., IC 4-2-7, *et seq*. and the regulations promulgated thereunder.  If the Contractor has knowledge, or would have acquired knowledge with reasonable inquiry, that a state officer, employee, or special state appointee, as those terms are defined in IC 4-2-6-1, has a financial interest in the Contract, the Contractor shall ensure compliance with the disclosure requirements in IC 4-2-6-10.5 prior to the execution of this contract.If the Contractor is not familiar with these ethical requirements, the Contractor should refer any questions to the Indiana State Ethics Commission, or visit the Inspector General’s website at <http://www.in.gov/ig/>.  If the Contractor or its agents violate any applicable ethical standards, the State may, in its sole discretion, terminate this Contract immediately upon notice to the Contractor.  In addition, the Contractor may be subject to penalties under IC 4-2-6, IC 4-2-7, IC 35-44.1-1-4, and under any other applicable laws.

C. The Contractor certifies by entering into this Contract that neither it nor its principal(s) is presently in arrears in payment of taxes, permit fees or other statutory, regulatory or judicially required payments to the State of Indiana. The Contractor agrees that any payments currently due to the State of Indiana may be withheld from payments due to the Contractor. Additionally, further work or payments may be withheld, delayed, or denied and/or this Contract suspended until the Contractor is current in its payments and has submitted proof of such payment to the State.

D. The Contractor warrants that it has no current, pending or outstanding criminal, civil, or enforcement actions initiated by the State, and agrees that it will immediately notify the State of any such actions. During the term of such actions, the Contractor agrees that the State may delay, withhold, or deny work under any supplement, amendment, change order or other contractual device issued pursuant to this Contract.

E. If a valid dispute exists as to the Contractor’s liability or guilt in any action initiated by the State or its agencies, and the State decides to delay, withhold, or deny work to the Contractor, the Contractor may request that it be allowed to continue, or receive work, without delay. The Contractor must submit, in writing, a request for review to the Indiana Department of Administration (IDOA) following the procedures for disputes outlined herein. A determination by IDOA shall be binding on the parties. Any payments that the State may delay, withhold, deny, or apply under this section shall not be subject to penalty or interest, except as permitted by IC 5-17-5.

F. The Contractor warrants that the Contractor and its subcontractors, if any, shall obtain and maintain all required permits, licenses, registrations, and approvals, and shall comply with all health, safety, and environmental statutes, rules, or regulations in the performance of work activities for the State. Failure to do so may be deemed a material breach of this Contract and grounds for immediate termination and denial of further work with the State.

G. The Contractor affirms that, if it is an entity described in IC Title 23, it is properly registered and owes no outstanding reports to the Indiana Secretary of State.

H. As required by IC 5-22-3-7:

1. The Contractor and any principals of the Contractor certify that:

(A) The Contractor, except for de minimis and nonsystematic violations, has not violated the terms of:

* 1. IC 24-4.7 [Telephone Solicitation Of Consumers];
  2. IC 24-5-12 [Telephone Solicitations]; or
  3. IC 24-5-14 [Regulation of Automatic Dialing Machines];

in the previous three hundred sixty-five (365) days, even if IC 24-4.7 is preempted by federal law; and

(B) The Contractor will not violate the terms of IC 24-4.7 for the duration of the Contract, even if IC 24-4.7 is preempted by federal law.

1. The Contractor and any principals of the Contractor certify that an affiliate or principal of the Contractor and any agent acting on behalf of the Contractor or on behalf of an affiliate or principal of the Contractor, except for de minimis and nonsystematic violations,

(A) Has not violated the terms of IC 24-4.7 in the previous three hundred sixty-five (365) days, even if IC 24-4.7 is preempted by federal law; and

(B) Will not violate the terms of IC 24-4.7 for the duration of the Contract, even if IC 24-4.7 is preempted by federal law.

**11. Condition of Payment**

All services provided by the Contractor under this Contract must be performed to the State’s reasonable satisfaction, as determined at the discretion of the undersigned State representative and in accordance with all applicable federal, state, local laws, ordinances, rules and regulations. The State shall not be required to pay for work found to be unsatisfactory, inconsistent with this Contract or performed in violation of any federal, state or local statute, ordinance, rule or regulation.

**12. Confidentiality of State and Contractor Information**

Due to the sensitive nature of some of the data that will be provided to State and Contractor, the parties understand that during the term of this Contract each may be required to work with information, data, and concepts that are of a confidential or sensitive nature. The receiving party expressly agrees that it shall maintain all Confidential Information in confidence to the extent not otherwise prohibited by law and that it shall not use Confidential Information for any purpose other than the performance of this Contract. However, the State may disclose Contractor’s Proprietary Information and/or confidential information to the State’s contractors, consultants, or external auditors who need to know such information in order to provide services to the State. Contractor may require that such third party sign a reasonable confidentiality agreement. This section shall not be invoked to limit transparency for informed consumers.

HIPAA Compliance is further addressed in paragraph 23.

The parties further agree to have any of their employees who may be required to work with such Confidential Information in the performance of Contractor’s work under or in connection with the Contract to individually comply with the confidentiality standards contained herein, including any personal screening of its personnel by State for security purposes.

The parties acknowledge that the services to be performed by Contractor for the State under this Contract may require or allow access to data, materials, and information containing Social Security numbers or other personal information maintained by the State in its computer system or other records. In addition to the covenant made above in this section and pursuant to 10 IAC 5-3-1(4), Contractor and the State agree to comply with the provisions of IC 4-1-10 and IC 4-1-11. If any Social Security number(s) or personal information (as defined in IC 4-1-11-3) is/are disclosed by Contractor, Contractor agrees to pay the cost of the notice of disclosure of a breach of the security of the system in addition to any other claims and expenses for which it is liable under the terms of this Contract.

**13. Continuity of Services**

The Contractor recognizes that the service(s) to be performed under this Contract are vital to the State and must be continued without interruption and that, upon Contract expiration, a successor, either the State or another contractor, may continue them. The Contractor agrees to furnish phase-in training and exercise its best efforts and cooperation to effect an orderly and efficient transition to a successor.

**14. Debarment and Suspension**

A. The Contractor certifies by entering into this Contract that neither it nor its principals nor any of its subcontractors are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into this Contract by any federal agency or by any department, agency or political subdivision of the State of Indiana. The term “principal” for purposes of this Contract means an officer, director, owner, partner, key employee or other person with primary management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of the Contractor.

B. The Contractor certifies that it has verified the state and federal suspension and debarment status for all subcontractors receiving funds under this Contract and shall be solely responsible for any recoupment, penalties or costs that might arise from use of a suspended or debarred subcontractor. The Contractor shall immediately notify the State if any subcontractor becomes debarred or suspended, and shall, at the State’s request, take all steps required by the State to terminate its contractual relationship with the subcontractor for work to be performed under this Contract.

**15. Default by State**

If the State, sixty (60) days after receipt of written notice, fails to correct or cure any material breach of this Contract, the Contractor may cancel and terminate this Contract and institute measures to collect monies due up to and including the date of termination.

**16. Disputes**

A. Should any disputes arise with respect to this Contract, the Contractor and the State agree to act immediately to resolve such disputes. Time is of the essence in the resolution of disputes.

B. The Contractor agrees that, the existence of a dispute notwithstanding, it will continue without delay to carry out all of its responsibilities under this Contract that are not affected by the dispute. Should the Contractor fail to continue to perform its responsibilities regarding all non-disputed work, without delay, any additional costs incurred by the State or the Contractor as a result of such failure to proceed shall be borne by the Contractor, and the Contractor shall make no claim against the State for such costs.

C. If the parties are unable to resolve a contract dispute between them after good faith attempts to do so, a dissatisfied party shall submit the dispute to the Commissioner of the Indiana Department of Administration for resolution. The dissatisfied party shall give written notice to the Commissioner and the other party. The notice shall include (1) a description of the disputed issues, (2) the efforts made to resolve the dispute, and (3) a proposed resolution. The Commissioner shall promptly issue a Notice setting out documents and materials to be submitted to the Commissioner in order to resolve the dispute; the Notice may also afford the parties the opportunity to make presentations and enter into further negotiations. Within 30 business days of the conclusion of the final presentations, the Commissioner shall issue a written decision and furnish it to both parties. The Commissioner’s decision shall be the final and conclusive administrative decision unless either party serves on the Commissioner and the other party, within ten business days after receipt of the Commissioner’s decision, a written request for reconsideration and modification of the written decision. If the Commissioner does not modify the written decision within 30 business days, either party may take such other action helpful to resolving the dispute, including submitting the dispute to an Indiana court of competent jurisdiction. If the parties accept the Commissioner’s decision, it may be memorialized as a written Amendment to this Contract if appropriate.

D. The State may withhold payments on disputed items pending resolution of the dispute. The unintentional nonpayment by the State to the Contractor of one or more invoices not in dispute in accordance with the terms of this Contract will not be cause for the Contractor to terminate this Contract, and the Contractor may bring suit to collect these amounts without following the disputes procedure contained herein.

E. With the written approval of the Commissioner of the Indiana Department of Administration, the parties may agree to forego the process described in subdivision C. relating to submission of the dispute to the Commissioner.

F. This paragraph shall not be construed to abrogate provisions of Ind. Code 4-6-2-11 in situations where dispute resolution efforts lead to a compromise of claims in favor of the State as described in that statute.  In particular, releases or settlement agreements involving releases of legal claims or potential legal claims of the state should be processed consistent with Ind. Code 4-6-2-11, which requires approval of the Governor and Attorney General.

**17. Drug-Free Workplace Certification**

As required byExecutive Order No. 90-5 dated April 12, 1990, issued by the Governor of Indiana, the Contractor hereby covenants and agrees to make a good faith effort to provide and maintain a drug-free workplace. The Contractor will give written notice to the State within ten (10) days after receiving actual notice that the Contractor, or an employee of the Contractor in the State of Indiana, has been convicted of a criminal drug violation occurring in the workplace. False certification or violation of this certification may result in sanctions including, but not limited to, suspension of contract payments, termination of this Contract and/or debarment of contracting opportunities with the State for up to three (3) years.

In addition to the provisions of the above paragraph, if the total amount set forth in this Contract is in excess of $25,000.00, the Contractor certifies and agrees that it will provide a drug-free workplace by:

1. Publishing and providing to all of its employees a statement notifying them that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Contractor’s workplace, and specifying the actions that will be taken against employees for violations of such prohibition;
2. Establishing a drug-free awareness program to inform its employees of (1) the dangers of drug abuse in the workplace; (2) the Contractor’s policy of maintaining a drug-free workplace; (3) any available drug counseling, rehabilitation and employee assistance programs; and (4) the penalties that may be imposed upon an employee for drug abuse violations occurring in the workplace;
3. Notifying all employees in the statement required by subparagraph (A) above that as a condition of continued employment, the employee will (1) abide by the terms of the statement; and (2) notify the Contractor of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction;
4. Notifying the State in writing within ten (10) days after receiving notice from an employee under subdivision (C)(2) above, or otherwise receiving actual notice of such conviction;
5. Within thirty (30) days after receiving notice under subdivision (C)(2) above of a conviction, imposing the following sanctions or remedial measures on any employee who is convicted of drug abuse violations occurring in the workplace: (1) taking appropriate personnel action against the employee, up to and including termination; or (2) requiring such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement, or other appropriate agency; and
6. Making a good faith effort to maintain a drug-free workplace through the implementation of subparagraphs (A) through (E) above.

**18. Employment Eligibility Verification**

As required by IC §22-5-1.7, the Contractor swears or affirms under the penalties of perjury that the Contractor does not knowingly employ an unauthorized alien.  The Contractor further agrees that:

A.  The Contractor shall enroll in and verify the work eligibility status of all his/her/its newly hired employees through the E-Verify program as defined in IC §22-5-1.7-3. The Contractor is not required to participate should the E-Verify program cease to exist. Additionally, the Contractor is not required to participate if the Contractor is self-employed and does not employ any employees.

B.  The Contractor shall not knowingly employ or contract with an unauthorized alien. The Contractor shall not retain an employee or contract with a person that the Contractor subsequently learns is an unauthorized alien.

C.  The Contractor shall require his/her/its subcontractors, who perform work under this Contract, to certify to the Contractor that the subcontractor does not knowingly employ or contract with an unauthorized alien and that the subcontractor has enrolled and is participating in the E-Verify program. The Contractor agrees to maintain this certification throughout the duration of the term of a contract with a subcontractor.

The State may terminate for default if the Contractor fails to cure a breach of this provision no later than thirty (30) days after being notified by the State.

**19. Employment Option**

If the State determines that it would be in the State’s best interest to hire an employee of the Contractor, the Contractor will release the selected employee from any non-competition agreements that may be in effect. This release will be at no cost to the State or the employee.

**20. Force Majeure**

In the event that either party is unable to perform any of its obligations under this Contract or to enjoy any of its benefits because of natural disaster or decrees of governmental bodies not the fault of the affected party (hereinafter referred to as a “Force Majeure Event”), the party who has been so affected shall immediately or as soon as is reasonably possible under the circumstances give notice to the other party and shall do everything possible to resume performance. Upon receipt of such notice, all obligations under this Contract shall be immediately suspended. If the period of nonperformance exceeds thirty (30) days from the receipt of notice of the Force Majeure Event, the party whose ability to perform has not been so affected may, by giving written notice, terminate this Contract.

**21. Funding Cancellation**

When the Director of the State Budget Agency makes a written determination that funds are not appropriated or otherwise available to support continuation of performance of this Contract, this Contract shall be canceled. A determination by the Director of State Budget Agency that funds are not appropriated or otherwise available to support continuation of performance shall be final and conclusive.

**22. Governing Law**

This Contract shall be governed, construed, and enforced in accordance with the laws of the State of Indiana, without regard to its conflict of laws rules. Suit, if any, must be brought in the State of Indiana.

**23. HIPAA Compliance**

If this Contract involves services, activities or products subject to the Health Insurance Portability and Accountability Act of 1996 (HIPAA), the Contractor covenants that it will appropriately safeguard Protected Health Information (defined in 45 CFR 160.103), and agrees that it is subject to, and shall comply with, the provisions of 45 CFR 164 Subpart E regarding use and disclosure of Protected Health Information.

**24. Indemnification**

The Contractor agrees to indemnify, defend, and hold harmless the State, its agents, officials, and employees from all third party claims and suits including court costs, attorney’s fees, and other expenses caused by any act or omission of the Contractor and/or its subcontractors, if any, in the performance of this Contract. The State shall not provide such indemnification to the Contractor.

**25. Independent Contractor; Workers’ Compensation Insurance**

The Contractor is performing as an independent entity under this Contract. No part of this Contract shall be construed to represent the creation of an employment, agency, partnership or joint venture agreement between the parties. Neither party will assume liability for any injury (including death) to any persons, or damage to any property, arising out of the acts or omissions of the agents, employees or subcontractors of the other party. The Contractor shall provide all necessary unemployment and workers’ compensation insurance for the Contractor’s employees, and shall provide the State with a Certificate of Insurance evidencing such coverage prior to starting work under this Contract.

**26. Information Technology Enterprise Architecture Requirements**

If the Contractor provides any information technology related products or services to the State, the Contractor shall comply with all IOT standards, policies and guidelines, which are online at http://iot.in.gov/architecture/. The Contractor specifically agrees that all hardware, software and services provided to or purchased by the State shall be compatible with the principles and goals contained in the electronic and information technology accessibility standards adopted under Section 508 of the Federal Rehabilitation Act of 1973 (29 U.S.C. 794d) and IC 4-13.1-3. Any deviation from these architecture requirements must be approved in writing by IOT in advance. The State may terminate this Contract for default if the Contractor fails to cure a breach of this provision within a reasonable time.

**27. Insurance**

A. The Contractor and their subcontractors (if any) shall secure and keep in force during the term of this Contract the following insurance coverages (if applicable) covering the Contractor for any and all claims of any nature which may in any manner arise out of or result from Contractor’s performance under this Contract:

(1)Commercial general liability, including contractual coverage, and products or completed operations coverage (if applicable), with minimum liability limits not less than $700,000 per person and $5,000,000 per occurrence unless additional coverage is required by the State. The State is to be named as an additional insured on a primary, non-contributory basis for any liability arising directly or indirectly under or in connection with this Contract.

(2) Automobile liability for owned, non-owned and hired autos with minimum liability limits of $700,000 per person and $5,000,000 per occurrence. The State is to be named as an additional insured on a primary, non-contributory basis.

(3). Errors and Omissions liability with minimum liability limits of $1,000,000 per claim and in the aggregate. Coverage for the benefit of the State shall continue for a period of two (2) years after the date of service provided under this Contract.

(4)Fiduciary Liability is required if the Contractor is responsible for the management and oversight of various employee benefit plans and programs such as pensions, profit-sharing and savings, among others. These contractors face potential claims for mismanagement brought by plan members. Limits should be no less than $700,000 per cause of action and $5,000,000 per occurrence.

(5)Valuable Papers coverage, available under an Inland Marine policy, is required when any plans, drawings, media, data, records, reports, billings and other documents are produced or used under this agreement. Insurance must have limits sufficient to pay for the re-creation and reconstruction of such records.

(6)The Contractor shall secure the appropriate Surety or Fidelity Bond(s) as required by the state department served or by applicable statute.

(7)The Contractor shall provide proof of such insurance coverage by tendering to the undersigned State representative a certificate of insurance prior to the commencement of this Contract and proof of workers’ compensation coverage meeting all statutory requirements of IC 22-3-2. In addition, proof of an “all states endorsement” covering claims occurring outside the State is required if any of the services provided under this Contract involve work outside of Indiana.

B. The Contractor’s insurance coverage must meet the following additional requirements:

(1) The insurer must have a certificate of authority or other appropriate authorization to operate in the state in which the policy was issued.

(2) Any deductible or self-insured retention amount or other similar obligation under the insurance policies shall be the sole obligation of the Contractor.

(3) The State will be defended, indemnified and held harmless to the full extent of any coverage actually secured by the Contractor in excess of the minimum requirements set forth above. The duty to indemnify the State under this Contract shall not be limited by the insurance required in this Contract.

(4) The insurance required in this Contract, through a policy or endorsement(s), shall include a provision that the policy and endorsements may not be canceled or modified without thirty (30) days’ prior written notice to the undersigned State agency.

(5) The Contractor waives and agrees to require their insurer to waive their rights of subrogation against the State of Indiana.

C. Failure to provide insurance as required in this Contract may be deemed a material breach of contract entitling the State to immediately terminate this Contract. The Contractor shall furnish a certificate of insurance and all endorsements to the State before the commencement of this Contract.

**28. Key Person(s)** **– Not applicable**

**29. Licensing Standards**

The Contractor, its employees and subcontractors shall comply with all applicable licensing standards, certification standards, accrediting standards and any other laws, rules, or regulations governing services to be provided by the Contractor pursuant to this Contract. The State will not pay the Contractor for any services performed when the Contractor, its employees or subcontractors are not in compliance with such applicable standards, laws, rules, or regulations. If any license, certification or accreditation expires or is revoked, or any disciplinary action is taken against an applicable license, certification, or accreditation, the Contractor shall notify the State immediately and the State, at its option, may immediately terminate this Contract.

**30. Merger & Modification**

This Contract constitutes the entire agreement between the parties. No understandings, agreements, or representations, oral or written, not specified within this Contract will be valid provisions of this Contract. This Contract may not be modified, supplemented, or amended, except by written agreement signed by all necessary parties.

**31. Minority and Women’s Business Enterprises Compliance**

Award of this Contract was based, in part, on the MBE/WBE participation plan**.** The following certified MBE or WBE subcontractors will be participating in this Contract:

MBE/WBE PHONE COMPANY NAME SCOPE OF PRODUCTS and/or SERVICES UTILIZATION DATE PERCENT

*\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*

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A copy of each subcontractor agreement must be submitted to IDOA’s MBE/WBE Division within thirty (30) days of the effective date of this Contract. Failure to provide a copy of any subcontractor agreement will be deemed a violation of the rules governing MBE/WBE procurement, and may result in sanctions allowable under 25 IAC 5-7-8. Failure to provide any subcontractor agreement may also be considered a material breach of this Contract. The Contractor must obtain approval from IDOA’s MBE/WBE Division before changing the participation plan submitted in connection with this Contract.

The Contractor shall report payments made to MBE/WBE Division subcontractors under this Contract on a monthly basis. Monthly reports shall be made using the online audit tool, commonly referred to as “Pay Audit.” MBE/WBE Division subcontractor payments shall also be reported to the Division as reasonably requested and in a format to be determined by Division.

**32. Nondiscrimination**

Pursuant to the Indiana Civil Rights Law, specifically including IC §22-9-1-10, and in keeping with the purposes of the federal Civil Rights Act of 1964, the Age Discrimination in Employment Act, and the Americans with Disabilities Act, the Contractor covenants that it shall not discriminate against any employee or applicant for employment relating to this Contract with respect to the hire, tenure, terms, conditions or privileges of employment or any matter directly or indirectly related to employment, because of the employee’s or applicant’s race, color, national origin, religion, sex, age, disability, ancestry, status as a veteran, or any other characteristic protected by federal, state, or local law (“Protected Characteristics”). Contractor certifies compliance with applicable federal laws, regulations, and executive orders prohibiting discrimination based on the Protected Characteristics in the provision of services. Breach of this paragraph may be regarded as a material breach of this Contract, but nothing in this paragraph shall be construed to imply or establish an employment relationship between the State and any applicant or employee of the Contractor or any subcontractor.

The State is a recipient of federal funds, and therefore, where applicable,Contractor and any subcontractors shall comply with requisite affirmative action requirements, including reporting, pursuant to 41 CFR Chapter 60, as amended, and Section 202 of Executive Order 11246 as amended by Executive Order 13672.

**33. Notice to Parties**

Whenever any notice, statement or other communication is required under this Contract, it shall be sent by first class mail or via an established courier / delivery service to the following addresses, unless otherwise specifically advised.

A. Notices to the State shall be sent to:

Indiana State Personnel Department

Britni Saunders, Director

402 W. Washington St., Rm W161

Indianapolis, IN 46204

B. Notices to the Contractor shall be sent to: **(Include contact name and/or title, name of vendor & address)**

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As required by IC §4-13-2-14.8, payments to the Contractor shall be made via electronic funds transfer in accordance with instructions filed by the Contractor with the Indiana Auditor of State.

**34. Order of Precedence; Incorporation by Reference**

Any inconsistency or ambiguity in this Contract shall be resolved by giving precedence in the following order: (1) the provisions expressly set forth in this Contract, (2) attachments prepared by the State, (3) RFP#XX-XX, (4) Contractor’s response to RFP#XX-XX, and (5) attachments prepared by Contractor. All attachments, and all documents referred to in this paragraph, are hereby incorporated fully by reference.

**35. Ownership of Documents and Materials**

A. All documents, records, programs, applications, data, algorithms, film, tape, articles, memoranda, and other materials (the “Materials”) not developed or licensed by the Contractor prior to execution of this Contract, but specifically developed under this Contract shall be considered “work for hire” and the Contractor hereby transfers and assigns any ownership claims to the State so that all Materials will be the property of the State. If ownership interest in the Materials cannot be assigned to the State, the Contractor grants the State a non-exclusive, non-cancelable, perpetual, worldwide royalty-free license to use the Materials and to use, modify, copy and create derivative works of the Materials.

B. Use of the Materials, other than related to contract performance by the Contractor, without the prior written consent of the State, is prohibited. During the performance of this Contract, the Contractor shall be responsible for any loss of or damage to the Materials developed for or supplied by the State and used to develop or assist in the services provided while the Materials are in the possession of the Contractor. Any loss or damage thereto shall be restored at the Contractor’s expense. The Contractor shall provide the State full, immediate, and unrestricted access to the Materials and to Contractor’s work product during the term of this Contract.

**36. Payments**

All payments shall be made thirty five (35) days in arrears in conformance with State fiscal policies and procedures and, as required by IC 4-13-2-14.8, the direct deposit by electronic funds transfer to the financial institution designated by the Contractor in writing unless a specific waiver has been obtained from the Indiana Auditor of State.  No payments will be made in advance of receipt of the goods or services that are the subject of this Contract except as permitted by IC 4-13-2-20.

Contractor shall receive and accept the administrative charge and claims expense provided for in this Contract as full payment for furnishing all materials and/or performing all services specified in this Contract in a complete and acceptable manner. This shall also be payment in full for all damage or expense of whatever character arising out of the nature of the services. All payments will be subject to correction in subsequent payments.

The State of Indiana will not be required to provide an advance deposit for any claims or payments.

**37. Penalties/Interest/Attorney’s Fees**

The State will in good faith perform its required obligations hereunder and does not agree to pay any penalties, liquidated damages, interest or attorney’s fees, except as permitted by Indiana law, in part, IC 5-17-5, IC 34-54-8, IC 34-13-1 and IC 34-52-2-3.

Notwithstanding the provisions contained in IC 5-17-5, any liability resulting from the State’s failure to make prompt payment shall be based solely on the amount of funding originating from the State and shall not be based on funding from federal or other sources.

**38. Progress Reports**

The Contractor shall submit progress reports to the State upon request. The report shall be oral, unless the State, upon receipt of the oral report, should deem it necessary to have it in written form. The progress reports shall serve the purpose of assuring the State that work is progressing in line with the schedule, and that completion can be reasonably assured on the scheduled date.

**39. Public Record**

The Contractor acknowledges that the State will not treat this Contract as containing confidential information, and will post this Contract on its website as required by Executive Order 05-07. Use by the public of the information contained in this Contract shall not be considered an act of the State.

**40. Renewal Option**

This Contract may be renewed under the same terms and conditions, subject to the approval of the Commissioner of the Department of Administration and the State Budget Director in compliance with IC 5-22-17-4. The term of the renewed contract may not be longer than the term of the original contract.

**41. Severability**

The invalidity of any section, subsection, clause or provision of this Contract shall not affect the validity of the remaining sections, subsections, clauses or provisions of this Contract.

**42. Substantial Performance**

This Contract shall be deemed to be substantially performed only when fully performed according to its terms and conditions and any written amendments or supplements.

**43. Taxes**

The State is exempt from most state and local taxes and many federal taxes. The State will not be responsible for any taxes levied on the Contractor as a result of this Contract.

**44. Termination for Convenience**

This Contract may be terminated, in whole or in part, by the State, which shall include and is not limited to the Indiana Department of Administration and the State Budget Agency whenever, for any reason, the State determines that such termination is in its best interest. Termination of services shall be effected by delivery to the Contractor of a Termination Notice at least thirty (30) days prior to the termination effective date, specifying the extent to which performance of services under such termination becomes effective. The Contractor shall be compensated for services properly rendered prior to the effective date of termination. The State will not be liable for services performed after the effective date of termination. The Contractor shall be compensated for services herein provided but in no case shall total payment made to the Contractor exceed the original contract price or shall any price increase be allowed on individual line items if canceled only in part prior to the original termination date. For the purposes of this paragraph, the parties stipulate and agree that the Indiana Department of Administration shall be deemed to be a party to this agreement with authority to terminate the same for convenience when such termination is determined by the Commissioner of IDOA to be in the best interests of the State.

**45. Termination for Default**

A. With the provision of thirty (30) days notice to the Contractor, the State may terminate this Contract in whole or in part if the Contractor fails to:

(1) Correct or cure any breach of this Contract; the time to correct or cure the breach may be extended beyond thirty (30) days if the State determines progress is being made and the extension is agreed to by the parties;

(2) Deliver the supplies or perform the services within the time specified in this Contract or any extension;

(3) Make progress so as to endanger performance of this Contract; or

(4) Perform any of the other provisions of this Contract.

B. If the State terminates this Contract in whole or in part, it may acquire, under the terms and in the manner the State considers appropriate, supplies or services similar to those terminated, and the Contractor will be liable to the State for any excess costs for those supplies or services. However, the Contractor shall continue the work not terminated.

C. The State shall pay the contract price for completed supplies delivered and services accepted. The Contractor and the State shall agree on the amount of payment for manufacturing materials delivered and accepted and for the protection and preservation of the property. Failure to agree will be a dispute under the Disputes clause. The State may withhold from these amounts any sum the State determines to be necessary to protect the State against loss because of outstanding liens or claims of former lien holders.

D. The rights and remedies of the State in this clause are in addition to any other rights and remedies provided by law or equity or under this Contract.

**46. Travel**

All travel and transportations costs (shipping, handling, postage, etc.) are to be born by the Contractor.

**47.** **Indiana Veteran’s Business Enterprise Compliance**

Award of this Contract was based, in part, on the Indiana Veteran’s Business Enterprise (“IVBE”) participation plan. The following IVBE subcontractors will be participating in this Contract:

VBE PHONE COMPANY NAME SCOPE OF PRODUCTS and/or SERVICES UTILIZATION DATE PERCENT

*\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*

*\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*

A copy of each subcontractor agreement shall be submitted to IDOA within thirty (30) days of the request. Failure to provide any subcontractor agreement may also be considered a material breach of this Contract. The Contractor must obtain approval from IDOA before changing the IVBE participation plan submitted in connection with this Contract.

The Contractor shall report payments made to IVBE subcontractors under this Contract on a monthly basis. Monthly reports shall be made using the online audit tool, commonly referred to as “Pay Audit.” IVBE subcontractor payments shall also be reported to IDOA as reasonably requested and in a format to be determined by IDOA.

**48. Waiver of Rights**

No right conferred on either party under this Contract shall be deemed waived, and no breach of this Contract excused, unless such waiver is in writing and signed by the party claimed to have waived such right. Neither the State’s review, approval or acceptance of, nor payment for, the services required under this Contract shall be construed to operate as a waiver of any rights under this Contract or of any cause of action arising out of the performance of this Contract, and the Contractor shall be and remain liable to the State in accordance with applicable law for all damages to the State caused by the Contractor’s negligent performance of any of the services furnished under this Contract.

**49. Work Standards**

The Contractor shall execute its responsibilities by following and applying at all times the highest professional and technical guidelines and standards. If the State becomes dissatisfied with the work product of or the working relationship with those individuals assigned to work on this Contract, the State may request in writing the replacement of any or all such individuals, and the Contractor shall grant such request.

**50. State Boilerplate Affirmation Clause**

I swear or affirm under the penalties of perjury that I have not altered, modified, changed or deleted the State’s Boilerplate contract clauses (as contained in the *2015* OAG/ IDOA *Professional Services Contract Manual*) in any way except for the following clauses which are named below:

1. Duties of the Contractor
2. Consideration
3. Term
4. Access to Records
5. Assignment; Successors

7. Audits

9. Changes in Work

12. Confidentiality of State Information

13. Continuity of Services

27. Insurance

1. Key Person(s)

34. Order of Precedence; Incorporation by Reference

36. Payments

46. Travel

**Non-Collusion and Acceptance**

The undersigned attests, subject to the penalties for perjury, that the undersigned is the Contractor, or that the undersigned is the properly authorized representative, agent, member or officer of the Contractor.  Further, to the undersigned’s knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of the Contractor, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Contract other than that which appears upon the face hereof.    Furthermore, if the undersigned has knowledge that a state officer, employee, or special state appointee, as those terms are defined in IC 4-2-6-1, has a financial interest in the Contract, the Contractor attests to compliance with the disclosure requirements in IC 4-2-6-10.5.

**In Witness Whereof**, Contractor and the State have, through their duly authorized representatives, entered into this Contract.  The parties, having read and understood the foregoing terms of this Contract, do by their respective signatures dated below agree to the terms thereof.

[Contractor] State Personnel Department

By: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ By: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name and Title, Printed Britni Saunders, Director

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Approved by: Approved by:**

Indiana Department of Administration State Budget Agency

By: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(for) By: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (for)

Lesley A. Crane, Commissioner Jason D. Dudich, Director

Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**APPROVED as to Form and Legality:**

Office of the Attorney General

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_(for)

Curtis T. Hill Jr., Attorney General

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Sample Contract Attachment 1**

**Wellness Campaigns & Challenges**

**1. Duties of Contractor**

A. Incorporation by reference

(1) The Business Associate Agreement is incorporated by reference into this Contract and is attached as Exhibit A.

(2) Eligibility Criteria, Enrollment and Effective Dates are attached as Exhibit B.

(3) The Request for Proposals, post proposal inquiries and Contractor’s responses thereto are incorporated by reference into this Contract and attached as Exhibit C.

(4) Reference hereafter to certain of the subjects, topics, provisions, terms, obligations, rights, duties and other matters in the documents incorporated by reference are not meant to exclude the importance of other portions of said documents; rather, said references are intended to amplify upon or clarify the import, meaning and/or effect(s) thereof as same may relate to the rights, duties, and obligations of the parties to this Contract. The reference or non-reference to certain portions of the documents incorporated by reference shall not preclude the reasonable construction of the terms of said instruments which may be required from time to time during the tenure of this Contract; provided, that when the parties desire the clarifying construction of significant areas of dispute said construction shall be consistent with the terms expressly set forth in this Contract and shall be effectuated only by written mutual agreement of the parties hereto, or as otherwise provided in this Contract.

B. Administer an online platform that provides wellness campaigns, wellness challenges, social networking, educational content on health and wellness, fitness tracking, and rewards through a raffle based on completed activities.

C. All services must be in compliance with appropriate government regulations including, but not limited to, the Patient Protection and Affordable Care Act (PPACA), Americans with Disabilities Act (ADA), Health Insurance Portability and Accountability Act (HIPAA), Health and Human Services (HHS), and Equal Employment Opportunity Commission (EEOC).

D. Program Data and Reporting – The Contractor will develop and submit monthly data reports to include, at a minimum, the following:

(1) Participation in the Program;

(2) Utilization of itemized services by service type;

E. Receive and load a weekly eligibility file from the State. The eligibility data shall be loaded and the Contractor’s system updated with that information within two (2) business days of receipt of the file.

F. Ability for employees and dependents that have dual coverage, meaning eligibility to participate in the Contractor’s program by two separate employers, to participate in the wellness programs of both employers.

G. Provide member communication materials for the purposes of education and marketing.

H. Data Management

(1) Execute a monthly data feed to the State Health plan’s contracted data warehouse which includes program engagement by individual;

(2) Permit extraction of reportable data on an individualized basis;

I. Provide a toll-free number for members to call in for assistance with the Wellness program. Customer Service Representatives should be knowledgeable about the details of the State’s Wellness program and responsible for responding to all eligible participants’ program inquiries, requests for technical assistance and complaints concerning the program. The Contract shall monitor the quality of all calls and provide SPD with results of call monitoring no less than quarterly. On a monthly basis the Contract shall monitor and meet or exceed:

(1) an average speed of answer of less than 20 seconds

(2) a call abandonment rate of fewer than 2%

(3) after-hours live service or a messaging and email system with returned responses should respond within one (1) business day

J. [Include additional duties agreed upon.]

**2. Consideration and financial arrangements**

A. The all-inclusive administrative fee is $X.XX per employee per month. The all-inclusive administrative fee is compensation for all items listed or referenced in Seciton 1 as Contractor duties, including (but not limited to): reporting, materials, printing, mailing, data feeds, the cost of aggregating data and reporting, etc.

C. Rewards from the raffle will be reimbursed monthly. [only applicable if rewards are offered through the portal]

D. The charges contained in this Section 2 are the complete and exclusive list of all charges by type and amount to be made under this Contract. No additional charges shall by claimed by the Contractor for the delivery, installation, utilization, and usage of the services or products or rewards provided herein, or any element thereof.

E. Performance Guarantees

(1) Participation rates

(2) Website availability

(3) call center average speed of answer and/or response time on messages received

(4) [additional guarantees as agreed upon]

**Sample Contract Attachment 2**

**Population Health Management**

**1. Duties of Contractor**

A. Incorporation by reference

(1) The Business Associate Agreement is incorporated by reference into this Contract and is attached as Exhibit A.

(2) Eligibility Criteria, Enrollment and Effective Dates are attached as Exhibit B.

(3) The Request for Proposals, post proposal inquiries and Contractor’s responses thereto are incorporated by reference into this Contract and attached as Exhibit C.

(4) Reference hereafter to certain of the subjects, topics, provisions, terms, obligations, rights, duties and other matters in the documents incorporated by reference are not meant to exclude the importance of other portions of said documents; rather, said references are intended to amplify upon or clarify the import, meaning and/or effect(s) thereof as same may relate to the rights, duties, and obligations of the parties to this Contract. The reference or non-reference to certain portions of the documents incorporated by reference shall not preclude the reasonable construction of the terms of said instruments which may be required from time to time during the tenure of this Contract; provided, that when the parties desire the clarifying construction of significant areas of dispute said construction shall be consistent with the terms expressly set forth in this Contract and shall be effectuated only by written mutual agreement of the parties hereto, or as otherwise provided in this Contract.

B. Administer online health risk assessments.

C. Administer biometric screenings both on-site at workplaces throughout Indiana and through contracted partners.

D. Identify and engage individuals that would benefit from offered programs.

E. Provide targeted programs for behavioral health management, disease or condition management, and lifestyle risk reduction.

F. Provide personal and group health coaching onsite face-to-face, by phone and virtually .

G. Management of eligibility process for the State’s Wellness CDHP, if requested by the State.

H. All services must be in compliance with appropriate government regulations including, but not limited to, the Patient Protection and Affordable Care Act (PPACA), Americans with Disabilities Act (ADA), Health Insurance Portability and Accountability Act (HIPAA), Health and Human Services (HHS), and Equal Employment Opportunity Commission (EEOC).

I. Program Data and Reporting – The Contractor will develop and submit monthly data reports to include, at a minimum, the following:

(1) Participation in the Program;

(2) Utilization of itemized services by service type;

(3) Aggregate results from biometric screenings and HRAs by participants;

(4) Levels of engagement of participants in targeted programs and coaching;

(5) Outcomes for health indicators for participants relative to the utilization of services; and

(6) Return on investment calculations/predictions.

J. Receive and load a weekly eligibility file from the State’s medical plan third party administrator. The eligibility data shall be loaded and the Contractor’s system updated with that information within two (2) business days of receipt of the file.

K. Ability for employees and dependents that have dual coverage, meaning eligibility to participate in the Contractor’s program by two separate employers, to participate in the programs of both employers.

L. Transmit an electronic file identifying individuals that have qualified for the State’s Wellness CDHP including their name, social security number, and the date eligibility was earned.

M. Provide member communication materials for the purposes of education and marketing.

N. Integrated Care and Data Management

(1) Execute a monthly data feed to the State Health plan’s contracted data warehouse which includes health risk assessment data, biometric screening date and program engagement by individual;

(2) Permit extraction of reportable data on an individualized basis;

(3) Exchange and integrate data among the Medical TPA, Pharmacy Benefits Manager, Onsite Clinic, Wellness Campaign & Challenge Vendor, Dental TPA and Vision TPA.

O. Provide a toll-free number for members to call in for assistance with the Population Health Management program. Customer Service Representatives should be knowledgeable about the details of the State’s program and responsible for responding to all eligible participants’ program inquiries, requests for assistance and complaints concerning the program. The Contract shall monitor the quality of all calls and provide SPD with results of call monitoring no less than quarterly. On a monthly basis the Contract shall monitor and meet or exceed:

(1) an average speed of answer of less than 20 seconds

(2) a call abandonment rate of fewer than 2%

(3) after-hours live service or a messaging and email system with returned responses should respond within one (1) business day

**2. Consideration and financial arrangements**

A. The all-inclusive administrative fee is $X.XX per month for each eligible subscriber. The all-inclusive administrative fee is compensation for all items listed or referenced in Section 1 as Contractor duties, including (but not limited to): reporting, materials, printing, mailing, data feeds, the cost of process biometric screening reports that were performed by a member’s physician, the cost of aggregating data and reporting whether individual employees are eligible to participate in the State’s Wellness Health Plan, etc. The administrative fee is not charged for dependents.

B. The cost for preventive health biometric screening (finger-prick lipid profile/glucose, blood pressure, waist circumference, height, weight, and BMI), conducted by the Contractor, is in addition to the administrative fee and will be directly billed to the State. The fee is $X.XX per screen performed.

C. The cost for health coaching, conducted by the Contractor, is in addition to the administrative fee and will be directly billed to the State. The fee is $X.XX per coaching session.

D. The cost for Condition Management/Disease Management, conducted by the Contractor, is in addition to the administrative fee and will be directly billed to the State. The fee is $X.XX per engaged participant.

E. The charges contained in this Section 2 are the complete and exclusive list of all charges by type and amount to be made under this Contract. No additional charges shall by claimed by the Contractor for the delivery, installation, utilization, and usage of the services or products or rewards provided herein, or any element thereof.

F. Performance Guarantees

(1) Participation rates

(2) Risk reduction among program participants

(3) Improvement in health risk indicators among program participants

(4) A demonstrated positive return on investment (ROI)

(5) Programs completed by at risk individuals

(6) [additional guarantees as agreed upon]

**Sample Contract Attachment 3**

**Clinic Services**

**1. Duties of Contractor**

A. Incorporation by reference

(1) The Business Associate Agreement is incorporated by reference into this Contract and is attached as Exhibit A.

(2) Eligibility Criteria, Enrollment and Effective Dates are attached as Exhibit B.

(3) The Lease Agreement is incorporated by reference into this Contract and is attached as Exhibit

(4) The Request for Proposals, post proposal inquiries and Contractor’s responses thereto are incorporated by reference into this Contract and attached as Exhibit D.

(5) Reference hereafter to certain of the subjects, topics, provisions, terms, obligations, rights, duties and other matters in the documents incorporated by reference are not meant to exclude the importance of other portions of said documents; rather, said references are intended to amplify upon or clarify the import, meaning and/or effect(s) thereof as same may relate to the rights, duties, and obligations of the parties to this Contract. The reference or non-reference to certain portions of the documents incorporated by reference shall not preclude the reasonable construction of the terms of said instruments which may be required from time to time during the tenure of this Contract; provided, that when the parties desire the clarifying construction of significant areas of dispute said construction shall be consistent with the terms expressly set forth in this Contract and shall be effectuated only by written mutual agreement of the parties hereto, or as otherwise provided in this Contract.

B. Contractor will manage the daily operations of the onsite clinic, and if applicable, Contractor’s offsite clinics that are available to State employees under the terms of this contract.

C. Provide the following medical services to eligible health plan members:

(1) Comprehensive physical exams including routine annual health screenings;

(2) Ongoing health monitoring; regular follow-up care to monitor chronic conditions and to assess progress toward meeting an improved health objective;

(3) Diagnosis and treatment of acute and chronic health conditions;

(4) Face-to-face behavioral health counseling services provided by a licensed mental health provider;

(5) Personal and group health coaching available onsite face-to-face, by phone and virtually provided by certified health coaches and nutritionists;

(6) Diabetes Prevention and Weight Management Programs;

(7) Laboratory services including routine lab draws, testing services and point of care testing;

(8) Prescription medications [to be negotiated];

(9) Massage therapy[to be negotiated];

(10) Dental cleanings [to be negotiated];

(11) Vision exams [to be negotiated]; and

(12) Immunizations & injections;

(13) Prenatal care;

(14) Other services as agreed upon

D. Integrated and Collaborative Care

(1) Refer patients, as appropriate, to other services/providers within the clinic including, but not limited to: follow-up screenings; labs and appointments; immunizations; disease education; health coaching; educational classes; and behavioral health counseling.

(2) Educate and connect patients to resources outside of the clinic including, but not limited to: health plan provided disease management, pharmacy benefit Manager, EAP, SPD provided wellness programs, and community resources.

(3) Communication and collaboration with patient’s existing care team (e.g. PCP or specialist physician).

E. Data Integration

(1) Execute a monthly data feed to the State Health plan’s contracted data warehouse;

(2) Permit extraction of reportable data on an individualized basis;

(3) Exchange and integrate data among the Medical TPA, Pharmacy Benefits Manager, Wellness Contractor, Dental TPA and Vision TPA.

F. Referral Policy: When clinic personnel make referrals, such referrals shall be based upon:

1. The specific medical needs of the patient, when the unique skills and capabilities of referred physician/facility are required.
2. Consumerism – Consultation with the patient, including consideration of:
   1. Comparative information  from a cost comparison tool
   2. The patient’s health or pharmacy plan’s preferred provider network
   3. Patient preference (e.g., location, convenience, preference for a male or female doctor, etc.).

The choice of provider is the patient’s decision.

G. [Include additional duties agreed upon.]

**2. Consideration and financial arrangements**

**[If the economic model to be implemented is fee for service, the following subsections are contemplated.]**

A. Claims for service will be processed by the Contractor, under the terms of the applicable health plan, through the State of Indiana’s medical, dental, or vision Third Party Administrator (currently Anthem) or Pharmacy Benefit Manager. Claims will be billed by CPT codes in compliance with national standards.

B. The Contractor will be paid at the rates expressly set forth in Exhibit X or in accordance with fee schedule, including coding guidelines (e.g., bundling), established between the Contractor and the State of Indiana’s medical, dental, or vision Third Party Administrator or Pharmacy Benefit Manager. If a specific CPT/fee is not listed in Exhibit X, claims will be paid at the lesser of the Anthem Statewide Standard Fee Schedule maximum then in effect:

(1) minus X% for acute/chronic visits.

(2) minus X% for procedures.

(3) minus an average of X% for preventive clinic visits or associated

procedure or immunization.

(4) minus X% for wellness, health coaching or registered dietician.

(5) minus X for for labs.

C. Contractor will collect from the participant for covered Health Benefit Plan services until their deductible has been satisfied and once satisfied any copayments or coinsurance as applicable, the balance to be timely funded by the SOI and paid by its TPA. Health plan deductibles, co-insurance and co-payments may be collected at the time service is rendered or prescriptions are dispensed.

1. The State agrees to appropriately and timely fund the payment of claims through its’ Third Party Administrator for services that are fully covered by the State’s Health Benefit programs without application of coinsurance or copayments or deductibles (certain preventative and wellness services). Contractor agrees to accept the amounts set forth in this Section as payment in full and in no event will the State or covered individuals be obligated to pay any amount in excess of these rates.
2. Should the State of Indiana’s medical, dental, or vision Third Party Administrator or Pharmacy Benefit Manager negotiate with Contractor better terms (e.g., lower prices) than are contained in this Contract, the terms most advantageous to the State shall automatically be applied as terms of this Contract.

F. Billed charges (claims) include all costs, including but not limited to the following:

1. Clinic staff salaries and benefits
2. Supplies needed to perform medical services
3. Clinic staff recruitment and retention
4. Clinic staff education, insurance and oversight
5. Clinic support services for staff, including payroll, information technology, legal and human resources
6. Clinic management services, account and practice management, and routine HIPAA compliant aggregated reporting to SPD
7. Computer hardware required and other clinical software
8. Online scheduling system
9. Health coaching platform, and tracking software ( not patient facing)
10. Electronic medical recordkeeping system(s) as required
11. Clinic cleaning, waste removal, hazardous waste removal
12. Services and supplies of subcontracts
13. Travel
14. Rent
15. other expenses

**[If the economic model to be implemented is something other than fee for service, insert agreed upon economic terms.]**

G. Performance Guarantees

(1) Participation/Utilization/Engagement

(2) Management of Health Risk Factors

(3) [additional guarantees as agreed upon]