

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 22977-AD24-0312-019

IN THE MATTER OF:)
)
Joe P. Schaffner)
2024 S 110th Street)
Omaha, NE 68144)
)
Applicant.)
)
Type of Agency Action: Enforcement)
)
Application Number: 1111059)

FILED

JUN 26 2024

STATE OF INDIANA
DEPT. OF INSURANCE

PRELIMINARY ADMINISTRATIVE ORDER
AND NOTICE OF LICENSE DENIAL

The Indiana Department of Insurance (“Department”), pursuant to the Indiana Administrative Orders and Procedures Act, Indiana Code § 4-21.5-1 *et seq.*, and Indiana Code §27-1-15.6-12, hereby gives notice to Joe Schaffner (“Applicant”) of the following Administrative Order:


1. Applicant submitted an application for nonresident producer licensure with the Commissioner of the Indiana Department of Insurance (“Commissioner”) on December 7, 2023.
2. Before approving an application, the Commissioner must find that the Applicant has met specific requirements under Indiana Code § 27-1-15.6-8 and Indiana Code § 27-1-15.6-12.
3. Indiana Code § 27-1-15.6-12(b)(1) states, in part, the Commissioner may refuse to issue an insurance producer license for providing incorrect, misleading, incomplete, or materially untrue information in a license application.

4. Following a review of public records, the Commissioner being fully advised, now hereby notifies Applicant that Applicant has not fully met the requirements of licensure as stated by Indiana Code § 27-1-15.6-12 due to Applicant's failure to disclose the following eight (8) administrative actions in an application for licensure:
 - a. December 15, 2015, monetary penalty by the Louisiana Department of Insurance for failure to make required disclosures on a license application.
 - b. April 1, 2016, license revocation by the Indiana Department of Insurance for failure to make required disclosures on a license application.
 - c. April 20, 2016, monetary penalty by the North Dakota Insurance Department for failure to make required disclosures on a license application of other state actions and criminal history.
 - d. August 12, 2016, settlement with the Virginia Bureau of Insurance for failure to make required disclosures on a license application of other state actions and criminal history.
 - e. March 6, 2017, license denial by the Indiana Department of Insurance for failure to make required disclosures on a license application.
 - f. May 2, 2017, monetary penalty by the New York State Department of Financial Services for failure to make required disclosures on a license application.
 - g. September 9, 2019, monetary penalty by the Ohio Department of Insurance for failure to make required disclosures on a license application.
 - h. March 24, 2020, license surrender with the North Carolina Department of Insurance for failure to report other state action.

5. Indiana Code § 27-1-15.6-12(d) provides that the applicant may, not more than sixty-three (63) days after notice of denial of the applicant's application is mailed, make written demand to the Commissioner for a hearing before the Commissioner to determine the reasonableness of the Commissioner's action.
6. This is considered an administrative action by the Indiana Department of Insurance. If you choose to appeal this administrative action, please follow the aforementioned instructions. Subsequently, after the Department has received your written request for a hearing, the State of Indiana Office of Administrative Law Proceedings ("OALP") will assign an administrative law judge to preside over this matter, and you will receive more information from OALP to begin the administrative process.

IT IS THEREFORE ORDERED that Applicant's request for licensure is hereby DENIED pursuant to Indiana Code § 27-1-15.6-12(b)(1) due to Applicant's failure to disclose eight (8) administrative actions on an application for licensure. Applicant may reapply for licensure not less than one (1) year from the date of this order.

June 26, 2024
Date Signed



Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution:

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