



Filed July 09, 2024

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NO.: 22958-AG24-0123-017

IN THE MATTER OF:)
)
Matthew Wiegman)
914 Pennwood Dr)
Memphis, IN 47150)
)
Respondent.)
)
Type of Agency Action: Enforcement)
)
License #: 953071)

FILED

JUL 09 2024

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER

The Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Samantha Aldridge, and Matthew Wiegman (“Respondent”), a resident producer, signed an Agreed Entry which purports to resolve all issues involved in the above-captioned cause number, and which has been submitted to the Commissioner of the Indiana Department of Insurance (“Commissioner”) for approval.

The Commissioner, after reviewing the Agreed Entry, which levies a civil penalty, places Respondent’s resident producer license on probation for a period of two (2) years, during which time Respondent shall submit a current list of appointments to the Department every six (6) months, complete three (3) additional hours of ethics continuing education, and participate and testify in any administrative proceedings that may arise from the facts that gave rise to Respondent’s above captioned administrative matter, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner as follows:

1. Respondent's resident producer license shall be reactivated and Respondent's license shall be placed on probation for a period of two (2) years beginning the date of this Final Order.
2. During the probationary period, any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Respondent's license.
3. During the probationary period, Respondent shall submit a current list of appointments to the Department every six (6) months from the date of the Final Order via email to: Enforcement@idoi.in.gov.
4. During the probationary period, Respondent shall complete three (3) credit hours of ethics continuing education, in addition to the continuing education requirements of Respondent's licensure.
5. Respondent shall pay a civil penalty in the amount of one thousand dollars (\$1,000) to the Department within one (1) year after the Commissioner signs this Final Order.
6. Failure to pay the civil penalty within one (1) year from the date the Commissioner signs this Final Order shall result in the Department seeking immediate revocation of Respondent's license.

7/19/21
Date

Amy L Beard
Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution:

Samantha Aldridge
ATTN: Sara Tolliver, Investigator
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204-2787

Christopher Zelli, R. Kenyon Meyer
Dinsmore & Shohl
RE: Matthew Wiegman
101 South Fifth Street
Louisville, Kentucky 40202

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
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CAUSE NO.: 22958-AG24-0123-017

IN THE MATTER OF:)
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Matthew Wiegman)
914 Pennwood Dr)
New Albany, IN 47150)
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Respondent.)
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Type of Agency Action: Enforcement)
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License #: 953071)

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STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by and between the Enforcement Division of the Indiana Department of Insurance (“Department”), by counsel, Samantha Aldridge, and Matthew Wiegman (“Respondent”), to resolve all issues in the above-captioned cause number. This Agreed Entry is subject to the review and approval of Amy L. Beard, Commissioner of the Indiana Department of Insurance (“Commissioner”).

WHEREAS, Respondent is a licensed resident insurance producer, holding license number 953071 since May 14, 2014;

WHEREAS, on December 15, 2023, Respondent was terminated for cause from Anthem Insurance Companies, Inc., Anthem Life Insurance Company, Inc., Healthkeepers Inc., and Community Insurance Company (hereafter “Anthem”) for allegedly receiving Anthem’s confidential, proprietary, and/or trade secret and customer protected information without authorization;

WHEREAS, on January 30, 2024, the Commissioner issued a Findings of Fact, Conclusions of Law, and Emergency Order suspending Respondent’s license for ninety (90) days, due to Respondent’s termination for cause;

WHEREAS, on April 18, 2024, the Commissioner issued an Order Granting Motion to renew Emergency Suspension for an additional ninety (90) days;

WHEREAS, on May 15, 2024, an administrative hearing was held to determine whether the Emergency Suspension should be lifted;

WHEREAS, Indiana Code § 27-1-15.6-12(b)(8), states, in part, that the Commissioner may levy a civil penalty and place an insurance producer on probation for a period of years for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere;

WHEREAS, the Department and Respondent (collectively, the “Parties”) acknowledge and agree that Respondent’s execution of this Agreed Entry is not an admission of any violation of any Indiana Statute or regulation but is entered into as a purpose to compromise a disputed claim.

WHEREAS, the Parties desire to avoid further litigation and resolve this matter without the necessity of a hearing.

IT IS, THEREFORE, NOW AGREED by and between the Parties as follows:

1. The Commissioner has jurisdiction over the subject matter and the Parties to this Agreed Entry.
2. In order to avoid formal litigation in this matter between Respondent and the Department, Respondent has determined that it is in Respondent’s best interests to enter into this Agreed Entry. As such, Respondent acknowledges that Respondent executes this Agreed Entry with full realization of its contents and effects. This Agreed Entry is executed knowingly, voluntarily, and freely by the Parties. The

Parties agree that the terms of this Agreed Entry constitute final resolution of this matter.

3. Respondent knowingly, voluntarily, and freely waives the right to a public hearing on this matter, including the right to appear in person before the Commissioner, present evidence, cross-examine witnesses, and present arguments.
4. Respondent knowingly, voluntarily, and freely waives the right to judicial review of this matter or otherwise appeal or challenge the validity of this Agreed Entry.
5. Respondent knowingly, voluntarily, and freely waives, releases, and forever discharges all claims or challenges, known or unknown, against the Department, its Commissioner, employees, agents, and representatives, in their individual and official capacities, that arise out of or are related to the Agreed Entry or Final Order, including but not limited to any act or omission as part of the underlying audit, investigation, negotiation, or approval process.
6. Respondent's Indiana resident producer license shall be reactivated and placed on probation for a period of two (2) years, along with all authority Respondent has to conduct insurance business in the State of Indiana, effective the date Commissioner signs the Final Order adopting this Agreed Entry. During the probationary period, any violations of Title 27 of the Indiana Code will result in the Department seeking immediate revocation of Respondent's license. During the probationary period, Respondent shall submit a current list of appointments to the Department every six (6) months from the date of the Final Order via email at: Enforcement@idoi.in.gov.
7. During the probation period, Respondent shall complete three (3) credit hours of ethics continuing education, in addition to the continuing education requirements

of Respondent's licensure, within two (2) years after the Commissioner signs the Final Order adopting this Agreed Entry.

8. Respondent shall pay a civil penalty in the amount of one thousand dollars (\$1,000) to the Department within one (1) year after the Commissioner signs the Final Order adopting this Agreed Entry. Failure to pay the civil penalty will result in the Department seeking immediate revocation of Respondent's license.
9. Respondent agrees to participate and testify in any administrative proceedings involving Jason Sword, Alan Sword, Senior Source Insurance LLC, and/or any others that may arise from the facts and/or issue that gave rise to Respondent's above captioned administrative matter.
10. Respondent has had the opportunity to have this Agreed Entry reviewed by legal counsel of Respondent's choosing, at Respondent's own expense, and is aware of the benefits gained and obligations incurred by the execution of this Agreed Entry. Respondent understands and agrees that the Department cannot give legal advice.
11. Respondent has entered into this Agreed Entry knowingly, voluntarily, and freely, and has not been subject to duress, coercion, threat, or undue influence.
12. This Agreed Entry constitutes the entire agreement between the Parties, and no other promises or agreements, express or implied, have been made by the Department or by any employee, director, agent, or other representative thereof to induce Respondent to enter this Agreed Entry.
13. The Department agrees to accept Respondent's compliance with the terms of this Agreed Entry as full satisfaction of this matter and warrants and represents that so long as Respondent complies with the terms of this Agreed Entry, the Department


will not bring any further action against Respondent based on the facts that gave rise to this Agreed Entry.

14. In the event the Department finds there has been a breach of any of the provisions of this Agreed Entry, the Department may reopen this matter and pursue alternative action pursuant to Indiana Code § 27-1-15.6-12.
15. Respondent waives any applicable statute of limitations for purposes of any enforcement of the terms and conditions of this Agreed Entry.
16. Respondent acknowledges that this Agreed Entry may be admitted into evidence in any judicial or administrative proceeding against Respondent to enforce the terms and conditions contained herein.
17. Respondent understands that this Agreed Entry resolves only the matter pending with the Department and does not affect any criminal prosecution or civil litigation that may be pending or hereinafter commence against or by Respondent.
18. This Agreed Entry does not in any way affect the Department's authority in future audits, investigations, examinations, negotiations, or other complaints involving Respondent, excluding the underlying facts in the above captioned matter, as known to the Department as of the date of this Agreed Entry.
19. It is expressly understood that this Agreed Entry is subject to the Commissioner's acceptance and has no force or effect until such acceptance is evidenced by the entry of a Final Order by the Commissioner.
20. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to, and consideration of this Agreed Entry by the Commissioner, shall not unfairly or illegally prejudice the Commissioner or Respondent from further participation in or resolution of these proceedings.

21. If this Agreed Entry is accepted by the Commissioner, it will become part of Respondent's permanent record and may be considered in future actions brought by the Department or any other regulator against Respondent. This Agreed Entry shall not be used as the sole basis in any future administrative action by the Department against the Respondent. It is further understood that, if accepted by the Commissioner, this Agreed Entry and resulting Final Order are public records pursuant to Indiana Code § 4-21.5-3-32 that may not be sealed or otherwise withheld from the public and may be reported to the National Association of Insurance Commissioners and published on the Department's website as required.

22. Respondent acknowledges that this is an Administrative Action Respondent may be required to report to other jurisdictions in which Respondent is licensed and on future licensing applications.

7/8/24
Date Signed


Samantha Aldridge, Attorney #35162-49
Indiana Department of Insurance

6/28/24
Date Signed


Matthew Wiegman, Respondent

Kentucky
STATE OF INDIANA

)
) SS:

COUNTY OF Jefferson)

Before me a Notary Public for Jefferson County, State of Kentucky Indiana personally appeared Matthew Wiegman and being first duly sworn by me upon Matthew Wiegman's oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 28th day of June, 2024.

Brenda G Goodhue
Signature

Brenda G. Goodhue
Printed

My Commission expires: 09/07/2025

County of Residence: Bullitt



Return executed originals to:
INDIANA DEPARTMENT OF INSURANCE
Enforcement Division
311 West Washington Street, Suite 103
Indianapolis, IN 46204 2787
(317) 234 5883 telephone

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
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CAUSE NO.: 22958-AG24-0123-017

IN THE MATTER OF:)
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Matthew Wiegman)
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Respondent.)
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Type of Agency Action: Enforcement)
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License Number: 953071)

FILED

APR 18 2024

STATE OF INDIANA
DEPT. OF INSURANCE

ORDER GRANTING MOTION TO RENEW EMERGENCY SUSPENSION

Indiana Department of Insurance Commissioner Amy L. Beard, now being so advised, hereby grants Department's Motion to Renew Emergency Suspension against Respondent for an additional ninety (90) days, pursuant to Indiana Code § 4-21.5-4-5(b), or until a Final Order is issued in this matter, whichever time period is shorter.

4/18/24
Dated



Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution to:

Matthew Wiegman
914 Pennwood Dr
New Albany, IN 47150

Joseph Bossinger, Attorney
ATTN: Sara Tolliver, Sr. Investigator
Indiana Department of Insurance
311 W. Washington St, Ste 103
Indianapolis, IN 46204

STATE OF INDIANA)
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COUNTY OF MARION)

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Respondent.)
Type of Agency Action: Enforcement)
License Number: 953071)

FILED
APR 18 2024
STATE OF INDIANA
DEPT. OF INSURANCE

MOTION FOR RENEWED EMERGENCY SUSPENSION

The Enforcement Division of the Indiana Department of Insurance (“Enforcement Division”), pursuant to Indiana Code § 4-21.5-4 *et seq.*, files its petition for a renewed emergency suspension against agent Matthew Wiegman (“Respondent”), and states:

1. Respondent is a resident insurance producer holding license number 953071 since May 14, 2014.
2. Respondent’s license expires on December 31, 2024.
3. On December 15, 2023, the Department received notification that Respondent was terminated for cause from Elevance Health.
4. On December 18, 2023, the Department received correspondence from Elevance Health that between October 22, 2019, and October 25, 2023, Respondent improperly received personal information of two hundred thirty-one (231) individuals’ personal information.
5. Between February 11, 2021, and October 25, 2023, Respondent improperly received confidential, proprietary, and trade secret data without authorization.

6. The Department has serious consumer protection concerns regarding Respondent's competence and trustworthiness.

7. An emergency exists in that Respondent's continued selling of insurance in the state of Indiana with a valid license places him in a position of trust with Indiana consumers and in control of Indiana consumers' financial circumstances.

8. Indiana Code § 27-1-15.6-12(b)(8) authorizes the Commissioner to suspend an insurance producer for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere.

9. Under Indiana Code § 4-21.5-4-2(a)(1), the Commissioner may, in an emergency, issue orders without notice or an evidentiary proceeding.

10. The Enforcement Division previously filed a Motion for Emergency Suspension against Respondent on or about January 30, 2024. The Commissioner granted the Enforcement Division's motion, and issued her Findings of Fact, Conclusions of Law, and Emergency Order on January 30, 2024, which was to expire on April 29, 2024.

11. Respondent requested a hearing on February 28, 2024. A hearing on the matter is currently set for May 15, 2024.

12. The Enforcement Division believed it necessary to renew the emergency suspension against Respondent. On or about April 18, 2024, the Enforcement Division filed a Motion to Renew Emergency Suspension for an additional ninety (90) days.

13. Indiana Code § 4-21.5-4-5(b) provides that during the pendency of any related proceedings under Indiana Code § 4-21.5-3, the agency responsible for the proceeding may renew the order for successive ninety (90) day periods.

14. It is in the best interest of the public that Respondent's emergency suspension continues until this matter can be resolved.

15. Respondent should be required to cease practice as an insurance agent until the Department can be sure that Respondent can practice competently and in an ethically responsible manner.

WHEREFORE, the Enforcement Division requests that the Emergency Order against Respondent be lengthened for an additional ninety (90) days, or until a Final Order is issued in this matter, whichever is shorter, and for all other necessary and proper relief.

/s/ Joseph Bossinger
Joseph Bossinger, Attorney # 35166-49
Indiana Department of Insurance
311 West Washington Street
Indianapolis, IN 46204

CERTIFICATE OF SERVICE

I certify that a copy of the foregoing has been served upon the Respondent by United States First Class mail, postage prepaid, the same day as filing.

Matthew Wiegman
914 Pennwood Dr
New Albany, IN 47150

/s/ Joseph Bossinger
Joseph Bossinger, Attorney #35166-49
Indiana Department of Insurance
311 W Washington St, Suite 103
Indianapolis, IN 46204

STATE OF INDIANA)
) SS:
 COUNTY OF MARION)
 IN THE MATTER OF:)
)
 Matthew Wiegman)
 914 Pennwood Dr)
 New Albany, IN 47150)
)
 Respondent.)
)
 Type of Agency Action: Enforcement)
)
 License Number: 953071)

BEFORE THE INDIANA
 COMMISSIONER OF INSURANCE
 CAUSE NO.: 22958-AG24-0123-017

FILED
JAN 30 2024
 STATE OF INDIANA
 DEPT. OF INSURANCE

**FINDINGS OF FACT, CONCLUSIONS OF LAW,
 AND EMERGENCY ORDER**

The Commissioner of the Indiana Department of Insurance (“Commissioner”), being duly advised, now issues the Findings of Fact, Conclusions of Law, and Emergency Order:

FINDINGS OF FACT

1. Matthew Wiegman (“Respondent”) is a licensed resident insurance producer holding license number 953071 since May 14, 2014.
2. On December 15, 2023, the Indiana Department of Insurance (“Department”) received notification that Respondent’s appointment as a producer/broker for Anthem Insurance Companies, Inc., Anthem Life Insurance Company, Inc., Healthkeepers Inc., and Community Insurance Company (hereafter, “Anthem”) to sell Medicare Advantage and Medicare Part D plans was terminated.
3. Respondent entered into various Producer and Broker Agreements with Anthem that would govern Respondent’s relationship with and obligations to Anthem, including but

not limited to, Respondent attending and completing Anthem's required compliance training courses for producers, along with other training courses; complying with all current policies, procedures, and guidelines of Anthem regarding electronic commerce, confidentiality, and account reporting; and agreeing that Anthem's proprietary information may not be used or disclosed without the written consent of the owner of the proprietary information.

4. During Respondent's appointment with Anthem, Respondent improperly received Anthem's confidential, proprietary, and/or trade secret information without authorization and failed to follow Anthem's policies and procedures regarding proprietary and confidential information.

CONCLUSIONS OF LAW

1. An emergency exists such that an emergency order is appropriate under Indiana Code § 4-21.5-4-1, in that Respondent's continued selling of insurance in the state of Indiana with a valid license places Respondent in a position of trust with Indiana consumers and in control of Indiana consumers' financial circumstances.
2. Indiana Code § 27-1-15.6-12(b)(8) authorizes the Commissioner to suspend an insurance producer for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere.
3. This Emergency Order is issued pursuant to Indiana Code § 4-21.5-4-2(a)(1).


ORDER

With the Findings of Fact and Conclusions of Law, as stated above, the Commissioner now orders:

1. Matthew Wiegman Indiana resident producer license # 953071 is hereby immediately suspended for ninety (90) days, renewable as necessary per Indiana Code §4-21.5-4-5.

Under Indiana Code § 4-21.5-4-3, this Emergency Order is effective when issued. **Respondent has the right to request a hearing on this Emergency Order by filing a request for a hearing with the Department**, and if requested, an evidentiary hearing will be set on the matter as soon as practicable.

01.30.2024
Dated



Amy L. Beard, Commissioner
Indiana Department of Insurance

Distribution to:

Joseph Bossinger, Attorney
Indiana Department of Insurance
311 W Washington St, Suite 103
Indianapolis, IN 46204

Matthew Wiegman
914 Pennwood Dr
New Albany, IN 47150

STATE OF INDIANA)
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BEFORE THE INDIANA
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MOTION FOR EMERGENCY SUSPENSION

The Enforcement Division of the Indiana Department of Insurance (“Enforcement Division”), pursuant to Indiana Code § 4-21.5-4 *et seq.*, files its petition for emergency suspension against agent Matthew Wiegman (“Respondent”), and states:

1. Respondent is a resident insurance producer holding license number 953071 since May 14, 2014.
2. Respondent’s license expires on December 31, 2024.
3. On December 15, 2023, the Indiana Department of Insurance (“Department”) received notification that Respondent’s appointment as a producer/broker to sell Medicare Advantage and Medicare Part D plans for Anthem Insurance Companies, Inc., Anthem Life Insurance Company, Inc., Healthkeepers Inc., and Community Insurance Company (hereafter, “Anthem”) was terminated.

4. To become an appointed producer with Anthem, Respondent entered into various Producer and Broker Agreements with Anthem that would govern Respondent's relationship with and obligations to Anthem.
5. Some of those provisions required Respondent to attend and complete Anthem's required compliance training courses for producers, and other training courses, comply with all current policies, procedures, and guidelines of Anthem regarding electronic commerce, confidentiality, and account reporting, and agree that Anthem's proprietary information may not be used or disclosed without the written consent of the owner of the proprietary information.
6. During Respondent's appointment with Anthem, Respondent improperly received Anthem's confidential, proprietary, and/or trade secret information without authorization and failed to follow Anthem's policies and procedures regarding proprietary and confidential information.
7. The Department has serious consumer protection concerns regarding Respondent's competence and trustworthiness.
8. An emergency exists in that Respondent's continued selling of insurance in the state of Indiana with a valid license places Respondent in a position of trust with Indiana consumers and in control of Indiana consumers' financial circumstances.
9. Indiana Code § 27-1-15.6-12(b)(8) authorizes the Commissioner to suspend an insurance producer for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in Indiana or elsewhere.

10. Under Indiana Code § 4-21.5-4-2(a)(1), the Commissioner may, in an emergency, issue orders without notice or an evidentiary proceeding. However, Indiana Code § 4-21.5-4-4 provides that upon issuance of an emergency order, the Respondent may request a hearing as quickly as practicable.
11. Respondent should be required to cease practice as an insurance agent until the Department can be sure that Respondent can practice competently and in an ethically responsible manner.

WHEREFORE, the Enforcement Division requests that the Department issue an order suspending the insurance license of Matthew Wiegman under Indiana Code § 4-21.5-4-2, and for all other necessary and proper relief.



Joseph Bossinger
Indiana Department of Insurance
311 West Washington St
Indianapolis, IN 46204

CERTIFICATE OF SERVICE

I certify that a copy of the foregoing has been served upon the Respondent by United States First Class mail, postage prepaid, the same day as filing.

Matthew Wiegman
914 Pennwood Dr
New Albany, IN 47150



Joseph Bossinger, Attorney
Indiana Department of Insurance
311 W Washington St, Suite 103
Indianapolis, IN 46204