

ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST – DECISIONS AND VOTING

State Form 55860 (R / 10-15) OFFICE OF THE INSPECTOR GENERAL IC 4-2-6-9

JUL 1 1 2024

INDIANA STATE ETHICS COMMISSION

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

General's website.				
Name (last)	Name (first)		Name (middle)	
Balla	Adrianne	Mary		
Name of office or agency		Job title		
IDEM		Air Compliance Inspector		
Address of office <i>(number and street)</i> 300 N Dr. Martin Luther King Jr., Blvd., Ste. 211		City ZIP code South Bend 46601		
Office telephone number Office telephone number Office e-mail address (required				
(574) 229-4701	aballa@idem.IN.gov			
Describe the conflict of interest:				
I am an Environmental Manager within the Office of Program Support's (OPS) Northern Regional Office				
at the Indiana Department of Environmental Management (IDEM). My duties include compliance				
 inspections and report reviews. Previously, I had inspected the MasterBrand, Inc.("MasterBrand") facilities as part of my duties.				
On March 5th, 2024, I filed a personal inj	ury lawsuit with MasterBrand	d stemmir	g from an incident tha	at occurred
 when I was inspecting the MasterBrand f	acilities on January 17th 201	24		
when I was inspecting the masterbrand i	acindes on sandary 17th, 20.	4-7.	************	
Though I have not participated in, nor made any decisions or votes relating to MasterBrand, I completed a minor, administrative				
matter relating to their documentation from the 2023 calendar year on May 2024.				
A representative for MasterBrand reached out to IDEM ethics officer James French on June, 2024.				
After speaking with Mr. French, we agreed that a screen and disclosure would be appropriate to avoid the appearance of any				
conflict of interest moving forward.				
connect of interest moving forward.				
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Describe the screen established by your ethics officer: (Attach additional pages as needed.) See attached Screening Protocol				
Gee attached Goreening Protocol				
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AFFIRMATION				
Your signature below affirms that your disclosures on this form are true, complete, and cor knowledge and belief. In addition to this form, you have attached a copy of your written dis				
appointing authority and ethics officer.	,,,,,,,,,,,,,			
Signature of state officer, employee or special state appointee	Date signed (month, day, year)			
Printed full name of state officer, employee or special state appointee	07/10/2024			
Adrianne Mary Balla				
FOR ETHICS OFFICER USE ONLY				
Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.				
Signature of ethics officer /s / James M French	Date signed (month, day, year)			
Printed full name of ethics officer	7/10/2024			
James Michael French				

### **Protocol to Screen**

# Indiana Department of Environmental Management's Office of Air Quality's Adrianne Balla from Any Matters Regarding MasterBrand, Inc.

Ms. Adrianne Balla is an Environmental Manager within the Office of Program Support's (OPS) Northern Regional Office at the Indiana Department of Environmental Management (IDEM). Ms. Balla's duties include compliance inspections and report reviews. Previously, Ms. Balla had inspected the MasterBrand, Inc. (MasterBrand) facilities as part of her duties.

On March 3rd, 2024, Ms. Balla filed a personal injury lawsuit with MasterBrand, stemming from an incident that occurred when Ms. Balla was inspecting the MasterBrand facilities on January 17th, 2024. Though IDEM does not assert this to be a conflict of interest per IC 4-2-6-9, IDEM is instituting the following to ensure Ms. Balla is screened from any potential conflict of interest with MasterBrand.

- Northern Regional Office Director James Weingart is Ms. Balla's direct supervisor. Director
  Weingart will not assign any current or future matter that may arise involving the MasterBrand,
  or any of its clients, to Ms. Balla.
- Ms. Balla shall recuse herself from the portion of any meeting at IDEM in which discussions
  specific to MasterBrand, or in which any of its clients or interests are discussed or are expected
  to be discussed.
- 3. Ms. Balla shall not discuss any matter involving MasterBrand, or any of its clients, with any other IDEM employee.
- 4. Director Weingart will notify in writing all employees his supervisory chain that they are not to discuss any matters involving MasterBrand, or any of its clients, with Ms. Balla, nor send her any emails concerning MasterBrand.
- 5. Ms. Balla shall not participate in decisions regarding or relating to MasterBrand, or any of their clients.
- 6. Ms. Balla shall not access any correspondence or files relating to or involving MasterBrand, or any of its clients, either during her hours of work at IDEM or by utilizing State equipment. Such documents, if not a matter of public record in IDEM's Virtual File Cabinet, shall be housed with the employee assigned to the matter or kept where Ms. Balla does not have access to alter the documents.
- 7. Ms. Balla shall immediately inform Director Weingart and IDEM Ethics Officer James French if any provision of this screening protocol is violated.
- 8. Ms. Balla shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
- 9. All signatories to this screening protocol shall review it within thirty (30) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.

	(-10 NOX )
Adrianne Balla	Date
Environmental Manager	
Office of Air Quality	
Jame L. Wunguss James E. Weingart Director Northern Regional Office	7/10/34 Date
/s/ James M French	7/10/2024
James French	Date
Ethics Officer	
Office of Legal Counsel	

### **Ethics Screen for Adrianne Balla**

French, James M < JFrench@idem.IN.gov>
Wed 7/10/2024 4:37 PM
To:Rockensuess, Brian < BRockens@idem.IN.gov>
Cc:Balla, Adrianne < ABalla@idem.IN.gov>;Weingart, James < JWEINGAR@idem.IN.gov>

2 attachments (394 KB)

Disclosure for Balla -- MasterBrand Inc.pdf; Balla Screen - MasterBrand.pdf;

Commissioner Rockensuess,

This email serves as notification to the appointing authority per IC 4-2-6-9(b)(2)(D) that OAQ's Adrianne Balla has a potential conflict of interest. On March 3rd, 2024, Ms. Balla filed a personal injury lawsuit with MasterBrand, Inc, stemming from an incident that occurred when Ms. Balla was inspecting the MasterBrand facilities on January 17th, 2024. Though IDEM does not assert this to be a conflict of interest per IC 4-2-6-9, IDEM is instituting the following to ensure Ms. Balla is screened from any potential conflict of interest with MasterBrand. Ms. Balla and I have discussed IC 4-2-6-9, and I instructed her to file a written disclosure to the Office of the Inspector General and to implement a screening protocol here at IDEM, see attached. Ms. Balla's supervisor, James Weingart, has been notified of the screening protocol. Please reach out if you have any questions, thanks.

Best, Michael

#### James Michael French

Attorney | IDEM Tel: (317) 234-2170

Email: jfrench@idem.in.gov

### ***PRIVILEGED AND CONFIDENTIAL***

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