Chemical Tests for Intoxication

Training Course for Breath Test Operator Certification

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Chemical Tests for Intoxication
Training Course for Breath Test Operator Certification

Schedule

0800 – 0815  ILEA Welcome / Orientation

0815 – 0830  Dept. of Toxicology / Indiana Administrative Code (IAC) 260

0830 – 0925  Pharmacology and Toxicology of Alcohol / Evidence Submission

0925 – 0935  Break

0935 – 1030  Legal Aspects of Breath Testing for Ethanol / Recent Case Law

1030 – 1145  Instrumentation and Approved Method for Breath Test Analysis

1145 – 1300  LUNCH

1300 – 1700  Laboratory Exercises / Evaluations / Written Examination / Final Laboratory Exercise

Breaks will be provided between blocks of instruction as time permits.
Chemical Tests for Intoxication
Training Course for Breath Test Operator Certification

Course Schedule

(See Course Schedule – page 3)

Requirements:

Must be present for entire course

Must obey ILEA Rules

Course Staff:

Inspectors   Tom Pierce
             Lou Brown
             Dwight Holbrook

Toxicologist    Sheila A. Arnold, PhD
             sarnold1@isdt.in.gov

General Counsel  Teri Kendrick
             tkendrick@isdt.in.gov
Indiana Administrative Code Title 260

Objective:

To provide the training required under 260 IAC 2-2-2 for breath test operator certification.

Duties of the Department:

IC 10-20-2 (enacted 2011)
- Conduct analyses for poisons, drugs, and alcohols upon human tissues and fluids
- Report analytical findings of the department
- Consult with Indiana coroners regarding interpretation of analytical findings
- Furnish expert testimony
- Provide instruction in toxicology to law enforcement officers
- Certify law enforcement officers as required by law for administration of breath and other chemical tests
- Provide instruction and technical assistance to prosecutors and defense counsel regarding ISDT lab results
- Provide instruction to judges on toxicology and alcohol and drug testing

IAC 260

A complete copy of Title 260 is available at:
http://www.in.gov/legislative/iac/iac_title?iact=260

See Article 2 of Title 260 for current provisions.

IAC Title 260 regulates:

Selection, training, certification, and recertification of breath test operators

Selection, inspection, and certification of breath test instruments and chemicals

Approved methods for administering breath alcohol tests

Reference: IC 9-30-6-5

260 IAC 2-2-1 Selection of breath test operators

Must be employed by a law enforcement agency

“Law enforcement agency” means an agency or department with authority to apprehend criminal offenders
260 IAC 2-2-2 Training of breath test operators

The breath test operator certification training course includes training in:

- Pharmacology and toxicology of ethanol
- Legal aspects of breath testing for ethanol
- Theory, operation, and care of breath test equipment
- Use of breath test instrument using known ethanol-water or ethanol-gas standards

260 IAC 2-2-3 Recertification of breath test operators

- Must be recertified at least every two years from month of certification or recertification. Your operator card expires on the last day of the month.
- Must demonstrate competence by passing an examination approved by ISDT
- A person who fails the recertification exam may be given a second exam if previous certification has not been expired for more than 30 days
  - During time between first and second exams, person is not certified
- Director may suspend or revoke certification at any time

260 IAC 2-2-4 Authorization of certified breath test operators

- Administer breath tests
- Make replacements and adjustments to breath test instruments not related to calibration

260 IAC 2-3-1 Selection of breath test equipment

The department shall select breath test equipment for use for evidentiary breath testing to ensure the accurate analysis of breath specimens for the determination of breath ethanol concentrations.

- Equipment selected by the department must analyze breath samples and report a numerical value expressed as grams of ethanol per two hundred ten (210) liters of breath.

260 IAC 2-3-2 Inspection of breath test instruments

- ISDT will inspect each instrument at least every 180 days
- If the location of the instrument is changed, it must be inspected and certified prior to use
  - Moving the instrument past the length of its electrical cord is a location change
- Intox EC/IR II shall not deviate more than 5% or 0.005, whichever is greater, from the certified value of the ethanol-water standard or the value adjusted for ambient barometric pressure of the certified ethanol-gas standard

  ***Permitted deviation is plus or minus 5% or 0.005, whichever is greater.

  Example: If the target value ("dry gas target") is 0.077, the instrument reading of the ethanol content of the dry gas must fall within the range of 0.072 to 0.082.
Indiana Code

- ISDT sends certifications of breath test operators and instruments to the circuit court clerks.
  
  IC 9-30-6-5(b): Failure to send a certificate does not invalidate any test.

- ISDT maintains records of certifications at its administrative office
Pharmacology and Toxicology of Alcohol

**Pharmacology:** Study of mechanisms by which drugs alter biological systems in an attempt to improve health and alleviate disease

**Toxicology:** Study of the adverse effects of chemicals on living organisms

**Principle:** “All substances are poisons; there is none that is not a poison. The right dose differentiates a poison from a remedy.” Paracelsus

<table>
<thead>
<tr>
<th>Toxicity Rating</th>
<th>Dose (mg/kg b.w.)</th>
<th>For Average Adult</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Practically non-toxic</td>
<td>More than 15,000</td>
<td>More than 1 quart</td>
</tr>
<tr>
<td>2. Slightly Toxic</td>
<td>5000-15,000</td>
<td>1 pint-1 quart</td>
</tr>
<tr>
<td>3. Moderately Toxic</td>
<td>500-5000</td>
<td>1 ounce-1 pint</td>
</tr>
<tr>
<td>4. Very Toxic</td>
<td>50-500</td>
<td>1 teaspoon-1 ounce</td>
</tr>
<tr>
<td>5. Extremely Toxic</td>
<td>5-50</td>
<td>7 drops-1 teaspoon</td>
</tr>
<tr>
<td>6. Supertoxic</td>
<td>Less than 5</td>
<td>Less than 7 drops</td>
</tr>
</tbody>
</table>

**Forensic Toxicology:** Study of the effects of chemical substances on criminal behavior or results.

**Substances**
- Alcohol
- Other drugs
- Poisons

**Testing**
- Laboratory
- Breath Alcohol

**Interpretation**
- OWI
- Postmortem

**History of Ethanol Testing**

**Sir Edward Mellanby (1884 - 1955):**
Established relationship between BAC and intoxication. (1919)

**Erik M.P. Widmark (1889 - 1945):**
Described mathematical terms (rho and beta) for alcohol distribution and elimination. (1932)

**Goran Liljestrand (1889 - 1968):**
Determined that expired air contained an ethanol concentration about 1/2000 that of blood. (1931)

**Rolla N. Harger (1890 - 1983):**
Developed first practical breath test instrument (Drunkometer 1938). Drunkometer was complicated and had low reliability.

**Robert Forney (1916 - 1997):**
First Director of State Department of Toxicology. (1957)

**Robert Borkenstein (1912 – 2002):**
Creator of the Breathalyzer (1954) and conducted the first study to demonstrate the relationship between BAC and the likelihood of being in a motor-vehicle accident--Grand Rapids Study supported changing the legal blood alcohol content from 0.10 to 0.08 (1964)
Types of Alcohols

Alcohols are characterized as a chemical class of molecule having a carbon atom bound to an oxygen-hydrogen (-OH) bond.

Methanol

Wood alcohol

All types of alcohol can cause CNS impairment
  Methanol intoxication symptoms mirror those of EtOH

Extremely toxic even at low doses (0.02-0.03 g%)

MeOH inhalation defense is not valid. After 15 mins of fresh air, the effects of any volatiles that are inhaled will dissipate.

Methanol Metabolism

\[
\text{Methanol} \xrightarrow{\text{Alcohol Dehydrogenase (ADH)}} \text{Formaldehyde} \xrightarrow{\text{Aldehyde Dehydrogenase (ALDH)}} \text{Formic Acid} \xrightarrow{\text{Folic Acid (Vit B9)}} \text{CO}_2 + \text{H}_2\text{O}
\]
Isopropanol

Rubbing alcohol

All types of alcohol can cause CNS impairment
  Isopropanol intoxication symptoms mirror those of EtOH

Toxic (>0.04%) – metabolized to acetone
  Acetone causes CNS impairment as well
  Acetone longer t½

Isopropanol Metabolism

\[
\text{Isopropanol} \xrightarrow{\text{Alcohol Dehydrogenase (ADH)}} \text{Acetone}
\]

Sources of Acetone

  Metabolite of Isopropanol
  Solvent
  Compromised liver function
    Fatty liver
    Cirrhosis
    Diabetic
  Ketoacidosis Starvation
  Ketoacidosis
Ethylene glycol

Component in antifreeze

Considered a polyalcohol

Can also cause CNS impairment

Extremely toxic

Metabolites lead to severe acidosis

Metabolites can also lead to acute renal failure

Ethylene glycol Metabolism

Alcoholic Beverages

These beverages contain the same amount of ethanol:

One beer (12 oz, 4.5%)

One glass of wine (4.5 oz, 12%)

One mixed drink (containing 1.5 oz, 80 proof)

The total amount of ethanol consumed, not the type of beverage, is important.

Fermentation

A biological process in which sugars such as glucose, fructose, and sucrose are converted into cellular energy—this conversion produces ethanol and carbon dioxide. Because yeasts perform this conversion in the absence of oxygen, ethanol fermentation is classified as an anaerobic process.

Distillation

A physical process by which ethanol is separated and purified from a mixture.
Pharmacokinetics of Ethanol = what the body does to the drug.

Absorption: how it gets in

Distribution: where it goes

Metabolism: what happens to it

Elimination: where/how it leaves

**ADME**

Absorption

Routes of absorption: Mouth - Esophagus - Stomach - Intestine

**Mouth:**

Ethanol can be absorbed from the mouth, but very slowly; not significant.

A mouth rinsed with a solution containing ethanol will be alcohol-free in about 10-12 minutes (MOUTH ALCOHOL).

**Stomach:**

Ethanol can be absorbed directly from the stomach.

The stomach normally absorbs about 20% of ingested ethanol.

Stomach has thick lining, not really designed for absorption.

Small size of EtOH permits its passage via diffusion.

**Intestine:**

The upper intestine normally absorbs about 80% of the ingested ethanol.

The lower intestine and lower bowel readily absorb ethanol. Most ethanol is absorbed, however, from the upper GI tract before it reaches the lower intestine.

**ABSORPTION** primarily occurs in the **INTESTINES**

**Skin:**

Ethanol has not been demonstrated in the blood as a result of absorption through the skin. If it is absorbed, the rate is lower than the rate of metabolism.

An EtOH absorption defense

Absorption rate through the skin < Elimination rate = NO net BAC accumulation
Factors that affect rate of ethanol absorption:

Presence of food in the stomach - *** Most Important ***

- Most foods will delay gastric emptying - ↓ absorption

Exercise - Effects vary; some studies show no effect

- Mild exercise can increase gastric emptying - ↑ absorption
- Strenuous exercise can decrease gastric emptying - ↓ absorption

Excitement or fear - ↓ absorption

Drugs - Effects vary

Smoking - ↓ absorption

GI pathologies - Effects vary, depending on the pathology

The rate of ethanol absorption depends on the rate of gastric emptying. Increased gastric emptying will increase absorption of ethanol and result in higher peak blood/breath alcohol concentrations. Decreased gastric emptying will decrease absorption of ethanol and result in lower peak blood/breath alcohol concentrations.

Ethanol Absorption

Distribution

Ethanol is soluble in water and is distributed throughout the body based on water content.

Tissues and organs that have the highest concentration of water will have the highest concentration of ethanol.

Widmark's rho or Widmark's r

The available water content of an average male is 68%; of an average female, 55%. For the same amount of ethanol per body weight, a woman will have a higher concentration of ethanol.
Ethanol Metabolism

EtOH is metabolized by both the stomach and by the liver; primarily by the liver.

Some EtOH is metabolized by these organs before reaching the general circulation.

The amount of EtOH ingested, therefore, may NOT accurately reflect the calculated BAC.

Effects of Pathological Conditions on Ethanol Metabolism

- Fatty Change (steatosis)
- Alcoholic Hepatitis
- Cirrhosis of the Liver
- Diabetes

Metabolism and Elimination

Metabolism:

Approximately 90 - 95 % of absorbed ethanol is metabolized by the body prior to elimination, mostly in the liver.

The rest is excreted unchanged in urine, sweat, tears, milk, and breath.

Elimination of Ethanol

Ethanol disappears from the blood at a constant rate, termed Widmark's β (beta) factor. (“burn off rate”)

- Rate varies between individuals.
  - Average rate - 0.015-0.019 g% per hour
  - Elimination ranges from 0.010-0.025 g% per hour
  - Alcoholics and binge drinkers can eliminate at a rate of 0.035 g% per hour
Toxicology of Ethanol

**Ethanol is a CNS Depressant.**

CNS = Central Nervous System

Depressant = slows function

Even though impairment has been correlated to blood and breath alcohol concentrations, impairment is caused by ethanol in the **BRAIN**.

**Four primary types of impairment**

1. Loss of judgment and self-control
2. Impairment of vision and hearing
3. Clumsiness of voluntary muscles
4. Decreased awareness of surroundings

<table>
<thead>
<tr>
<th>BLOOD-ALCOHOL CONCENTRATION</th>
<th>STAGE OF ALCOHOLIC INFLUENCE</th>
<th>CLINICAL SIGNS/SYMPTOMS</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.01-0.05</td>
<td>Subclinical</td>
<td>Influence/effects usually not apparent or obvious. Behavior nearly normal by ordinary observation. Impairment detectable by special tests.</td>
</tr>
<tr>
<td>0.03-0.12</td>
<td>Euphoria</td>
<td>Mirth, euphoria, sociability, talkativeness. Increased self-confidence, decreased inhibitions. Diminished attention, judgment and control. Some sensory-motor impairment. Slow information processing. Loss of efficiency in critical performance tests.</td>
</tr>
<tr>
<td>0.09-0.25</td>
<td>Excitement</td>
<td>Emotional instability, loss of critical judgment. Impairment of perception, memory and comprehension. Decreased sensory response, increased reaction time. Reduced visual acuity &amp; peripheral vision; and slow glare recovery. Sensory-motor incoordination; impaired balance; slurred speech; vomiting; drowsiness.</td>
</tr>
<tr>
<td>0.18-0.30</td>
<td>Confusion</td>
<td>Disorientation, mental confusion, vertigo, dizziness. Exaggerated emotional states (fear, rage, grief, etc). Disturbances of vision (diplopia, etc.) and of perception of color, form, motion, dimensions. Increased pain threshold. Increased muscular incoordination; staggering gait; ataxia. Apathy, lethargy.</td>
</tr>
<tr>
<td>0.25-0.40</td>
<td>Stupor</td>
<td>General inertia, approaching loss of motor functions. Markedly decreased response to stimuli. Marked muscular incoordination; inability to stand or walk. Vomiting; incontinence of urine and feces. Impaired consciousness; sleep or stupor.</td>
</tr>
<tr>
<td>0.35-0.50</td>
<td>Coma</td>
<td>Complete unconsciousness; coma, anesthesia. Depressed or abolished reflexes. Subnormal temperature. Impairment of circulation and respiration. Possible death.</td>
</tr>
<tr>
<td>0.45+</td>
<td>Death</td>
<td>Death from respiratory arrest.</td>
</tr>
</tbody>
</table>

**Tolerance**

With practice, the brain can learn to function better under the influence of ethanol. People vary, therefore, in their abilities to handle ethanol, not just as a result of inherent differences, but as a result of experience.

Tolerance is defined as the ability of an organism to adapt. There are two forms of ethanol tolerance, including:

1. **Psychological**: Increased ability to alter behavior in order to not appear intoxicated.
2. **Biochemical**: Increased rate of degradation of alcohol to inactive metabolites.
Ethanol Involvement in Auto Crashes

<table>
<thead>
<tr>
<th>% BAC</th>
<th>Enhancement Factor</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.01-0.04</td>
<td>0.9x</td>
</tr>
<tr>
<td>0.05-0.09</td>
<td>1.5x</td>
</tr>
<tr>
<td>0.10-0.14</td>
<td>5x</td>
</tr>
<tr>
<td>0.15-0.19</td>
<td>14x</td>
</tr>
<tr>
<td>0.20-0.24</td>
<td>24x</td>
</tr>
</tbody>
</table>

Grand Rapids Study: Borkenstein, et al. 1964

Latest reanalysis of Borkenstein and other data reveals:

- at 0.08 % Chances are 4x
- at 0.15 % Chances are 25x
- at 0.20 % Chances are >100x

Breath Ethanol Determination

As the blood passes through the lungs, ethanol will leave and become part of the expired breath.

Ethanol's distribution between blood and breath obeys Henry’s Law.

**Henry's Law** -- in a closed container, at a given temperature and pressure, a material in solution will be in equilibrium with the air in the space above.

- Body temp = 37º C (98.6º F)
- Breath temp = 34º C (93.2º F)

The ratio between the concentration of ethanol in the blood and that in the breath from the deepest part of the lung (alveolar air) is called the partition coefficient. The accepted ratio is 2100:1 in the United States.

This ratio means that 2100 mL (2.1 Liters) of alveolar air will contain the same amount of ethanol as does 1 mL of blood.

The amount of ethanol in deep (alveolar) lung air is directly related to the amount present in the blood.

Most of the population has a breath:blood ratio greater than 2100:1

- Breath test instruments in Indiana are calibrated at a ratio of 2100:1
  - For most of the population, Indiana breath test instruments underestimate the BAC
  - A breath test should not produce a higher result than a blood test

Relationship Between Blood and Breath Alcohol Concentrations

\[
\text{BAC} = \text{BrAC} \times (2,100)
\]
Table 5.1: Blood to breath ratios of alcohol when samples were taken within 10 minutes of each other. Data taken from the Paton Report using an Intoximeter 5000 breath-alcohol analyzer (Cobb and Dabbs, 1985)

<table>
<thead>
<tr>
<th>Apparent BBR</th>
<th>Frequency</th>
<th>Relative frequency</th>
<th>Cumulative frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,900–1,999</td>
<td>4</td>
<td>0.5</td>
<td>0.5</td>
</tr>
<tr>
<td>2,000–2,099</td>
<td>19</td>
<td>2.3</td>
<td>2.8</td>
</tr>
<tr>
<td>2,100–2,199</td>
<td>68</td>
<td>8.3</td>
<td>11.1</td>
</tr>
<tr>
<td>2,200–2,299</td>
<td>124</td>
<td>15.2</td>
<td>26.3</td>
</tr>
<tr>
<td>2,300–2,399</td>
<td>180</td>
<td>22.1</td>
<td>48.4</td>
</tr>
<tr>
<td>2,400–2,499</td>
<td>129</td>
<td>15.6</td>
<td>64.2</td>
</tr>
<tr>
<td>2,500–2,599</td>
<td>118</td>
<td>14.5</td>
<td>78.7</td>
</tr>
<tr>
<td>2,600–2,699</td>
<td>66</td>
<td>8.1</td>
<td>86.8</td>
</tr>
<tr>
<td>2,700–2,799</td>
<td>39</td>
<td>4.8</td>
<td>91.6</td>
</tr>
<tr>
<td>2,800–2,899</td>
<td>26</td>
<td>3.2</td>
<td>94.8</td>
</tr>
<tr>
<td>2,900–2,999</td>
<td>15</td>
<td>1.8</td>
<td>96.6</td>
</tr>
<tr>
<td>3,000–3,099</td>
<td>13</td>
<td>1.8</td>
<td>98.2</td>
</tr>
<tr>
<td>&gt;3,100</td>
<td>14</td>
<td>1.8</td>
<td>100</td>
</tr>
</tbody>
</table>

Figure 5.1: Frequency distribution of BBRs of alcohol in drivers apprehended in the UK (data from the Paton Report) where blood and breath were sampled not more than 10 minutes apart (Cobb and Dabbs, 1985)

No corrections were made for the elimination of alcohol over this 10 minute time interval. This graph verifies that very few individuals have BBR less than 2,000:1 (0.5%), although 26% were below 2,300:1. The mean ratio ± standard deviation was 2,449 ± 233 for n = 815 apprehended drivers.
Factors Affecting Partition Ratio

**Temperature:** An increase of 1.8 °F amounts to a 7% increase in the result

Example: A person with a body temperature of 100.4 °F and an actual BAC of 0.0935% will have a BrAC result of 0.10%.

**Atmospheric Pressure:** No evidence to support variations in partition ratio

**Cellular Composition:** 2,100 value based on hematocrit (cell volume) of 47%; hematocrit varies between 42 and 52% for males and 37 and 47% for females. A person with a lower hematocrit can have a falsely elevated BAC based on BrBAC—the variability is small and ranges from -2 to +5%.

**Physical Activity:** Exercise can underestimate the BAC based on the BrAC.

Breath to blood ratio = the ethanol in 2100 mL (2.1 L) of air is equivalent to the ethanol in 1 mL of blood.

Therefore, in 100 mL of blood there is 210 L of air.

**Ethanol reporting units:**

Blood – g/100 mL
Breath – g/210 L

Common Challenges to Breath Test Results

**Subject vomited or burped:**

The argument may be that a subject who had burped or vomited while a high concentration of alcohol existed in the stomach would exhibit falsely elevated breath ethanol levels. **Observe carefully during the 15-minute waiting period. Record your observations, including “nothing unusual.”**

**Unable to give a sufficient sample due to pulmonary disorders:**

Argument against refusal given for Insufficient Sample or Time Out. Cases in which this would be true are rare.

**Subject was not impaired at the time of the incident:**

The argument is that the subject had recently consumed an alcoholic beverage and was still absorbing ethanol at the time of the incident. **(Rebutting 3-hour presumption. This is usually addressed by a toxicologist.)**
**Lab Ethanol Measurement**

Indiana statutes are based on concentrations in whole blood.

ISDT Lab tests whole blood.

Most hospital labs test serum or plasma, with some exceptions.

Other types of samples can be tested, but have no evidentiary value in Indiana.

Ratio of ethanol in other fluid to that in whole blood:

- serum/plasma: 1: 1.04 - 1.26
- saliva: 1: 1.10
- urine: variable

**Blood (impairment) vs. urine (use)**
EVIDENCE KITS

- All supplies included
  - Blood Tubes
  - Urine Bottle
  - Requisition
  - COC
  - Labels
  - Enclosures
  - Directions
- Pick-up at ISDT
- Ship by FedEx
- Email: toxkits@isdt.in.gov

Security

- Limited lab access
- Convenient and secured submission of evidence
- Easy pick-up of evidence kits
- Located within secure building and only accessible during normal operating hours
### Evidence Description Worksheet

**Specimens**

<table>
<thead>
<tr>
<th>Specimen</th>
<th>Color</th>
<th>Size (mL)</th>
<th>Type</th>
<th>Approx. Vol (mL)</th>
<th>Exp. Date</th>
<th>Name</th>
<th>Date</th>
<th>Time</th>
<th>Int (set)</th>
<th>Int (off)</th>
<th>Additional Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>Gray</td>
<td>10</td>
<td>WB</td>
<td>7.8</td>
<td>12/2017</td>
<td></td>
<td>Y</td>
<td>Y</td>
<td>Y</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>B</td>
<td>Gray</td>
<td>10</td>
<td>WB</td>
<td>5.8</td>
<td>12/2017</td>
<td></td>
<td>Y</td>
<td>Y</td>
<td>Y</td>
<td>Y</td>
<td></td>
</tr>
</tbody>
</table>

**Enclosures**

**Primary**
- Container: Yes
- Seal: Yes
- Envelope: No
- Other: No

**Secondary**
- Container: Yes
- Seal: Yes
- Plastic bag: Yes
- Foam: No
- Other: No

**Additional Information**

- Int (set): 0
- Int (off): 0
- Officer: Initials, init (off): Initials, Init (set): Y
- Test Site: Y
- Other: Y

---

**Expiration Date**
Blood Specimen Preservation

Sodium Fluoride = Preservative

Potassium Oxalate = Anticoagulant

Temperature --- Refrigeration for extended storage

ISDT Testing Policy

All positive screening results will be confirmed
No need to request confirmation testing
All testing requested will be performed

Exception: Urine ethanol and urine drug analysis

Value of urine testing at the prosecutor’s request

Exceptions – Outsourced to NMS
Sexual assault
Child endangerment
Violent Crime/Homicide
Juvenile

Target turnaround time for alcohol
– 15 days
Target turnaround time for drug testing
– 30 days

iResults-web-based results retrieval
Toxicology Testing Request Form
Simplified Single Page Format

No panel choices

No need to request confirmation testing

Information collected primarily for statistical purposes and prioritization

Chain of Custody Information

---

INDIANA STATE DEPARTMENT OF TOXICOLOGY
TOXICOLOGY ANALYSIS REQUEST FORM

1) SUBJECT INFORMATION
Name of Subject (Last, First, Middle Initial) Date of Birth Height/Weight □ Male □ Female

2) SUBMITTING AGENCY
Title (SGT, Deputy, etc.) Printed Officer/Coroner Name Agency
Agency Address Agency Case #
City/Zip Electronic Mail (email) Address
Telephone Fax

3) TESTS REQUESTED
Alcohol □ Blood Drugs □ Blood □ Other Specified the name of drug(s) involved in your case.
Note: Refer to www.ISDT.gov/DRUG for a listing of drugs included in our blood drug panel. Other drug testing can be completed at the expense of the requesting agency.

4) TYPE OF CASE
Traffic: □ Fatal Accident □ PI Accident □ PD Accident □ OWI
Non-Traffic: □ Homicide □ Suicide □ Sexual Assault □ Other (Specify) □ Juvenile
Involvement: □ Driver □ Passenger □ Pedestrian □ Accused □ Victim
Subject: □ Injured □ Not Injured □ Deceased □ Juvenile

5) EVIDENCE COLLECTION AND CHAIN OF CUSTODY INFORMATION
Specimen Collected By: __________________________ Collection Facility: __________________________
Date Collected: ________ Time Collected: ________ am/pm Witness: __________________________

Received From: __________________________ Received To: __________________________ Purpose: __________________________ Date: ________ Time (am/pm): ________

Received From: __________________________ Received To: __________________________ Purpose: __________________________ Date: ________ Time (am/pm): ________

Received From: __________________________ Received To: __________________________ Purpose: __________________________ Date: ________ Time (am/pm): ________

AGREEMENT FOR DESTRUCTION OF SPECIMENS:
THE SUBMITTING AGENCY AGREES THAT THE SPECIMENS SUBMITTED WILL BE DESTROYED BY ISDT ONE YEAR AFTER ANALYSIS IS COMPLETED.

550 W. 16th Street Indianapolis, IN 46202 (T) 317-921-5000 (F) 317-278-2356

Revised: 10/19/16  Page 23 of 57
Reliable Results

Screening Test – aliquot from original specimen

1 day for specimen preparation and analysis
1 day for analyst to process and review results
1 day for peer technical review of results

Confirmation Test – 2 different aliquots from original specimen

1 day for specimen preparation and analysis
1 day for analyst to process and review results
1 day for peer technical review of results
1 day to prepare report
1 day for peer administrative review

All 3 results must agree within 10%
QC samples run in the beginning, end, and after every 10-12 evidentiary samples
Calibration run for each batch
Proficiency samples tested (College of American Pathologists – CAP)

Negative alcohol result

Positive alcohol result
### Negative drug Result

**ISDT Case:**

- Item Descriptions: Evidence Submission 1: Evidence Envelope
- Item #1: Blood Tube
- Item #2: Blood Tube

**Body Fluid:**

<table>
<thead>
<tr>
<th>Drug Class</th>
<th>Qualitative</th>
<th>Quantitative</th>
<th>Qualitative</th>
<th>Quantitative</th>
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</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

### Positive drug result-Page 1

**ISDT Case:**

- Subject Name: Adam Jones
- Agency Case: IMPD North King Ave
- County: ___

**Blood Sample:**

<table>
<thead>
<tr>
<th>Drug Class</th>
<th>Result</th>
<th>Method</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Positive drug result-Page 2

**ISDT Case:**

- Subject Name: Adam Jones
- Agency Case: IMPD North King Ave
- County: ___

**Blood Sample:**

<table>
<thead>
<tr>
<th>Drug Class</th>
<th>Result</th>
<th>Method</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

**Notes:**

- If a case has been identified using toxicology testing for a drug known or believed to be present in the body, the Department of Toxicology recommends obtaining a positive drug test and follow-up testing to confirm the presence of the drug.

---

**Contact Information:**

555 W. 10th Street, Indianapolis, IN 46222
Phone: 317-232-6500, Fax: 317-278-5209
Website: www.in.gov/idt/
Uncertainty of Measurement

- Non-negative parameter characterizing the dispersion of the values attributed to a measured quantity
- Reported as a quantity value ± the measurement uncertainty (e.g., 0.085 ± 0.003 g/100mL)
**Testing Aspects of Drugs for OVWI**

**Type of sample**
- Blood: Can show impairment
- Urine: Can show use, but not impairment

**Timing of sample**
- Blood: Requires person trained to draw blood
- Urine: Can be taken by anyone

**Testing of sample**
- Blood: Shorter detection time window
- Urine: Most drugs detected over a longer time

**Interpretation of results**

### Types of Samples

<table>
<thead>
<tr>
<th>Blood</th>
<th>Urine</th>
</tr>
</thead>
<tbody>
<tr>
<td>Can show impairment</td>
<td>Can show use, but not impairment</td>
</tr>
<tr>
<td>Requires person trained to draw blood</td>
<td>Can be taken by anyone</td>
</tr>
<tr>
<td>Shorter detection time window</td>
<td>Most drugs detected over a longer time</td>
</tr>
</tbody>
</table>

### Types of Samples

<table>
<thead>
<tr>
<th>Drug</th>
<th>Test/Alternate Name</th>
<th>Screening Cut-Off</th>
<th>Screening Technique</th>
<th>Confirmation Cut-Off</th>
<th>Confirmation Technique</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acetaminophen</td>
<td>Acetaminophen</td>
<td>500 µg/mL</td>
<td>ELISA</td>
<td>Unconfirmed to NMS</td>
<td>Unconfirmed to NMS</td>
</tr>
<tr>
<td>MDMA (Ecstasy)</td>
<td>Ecstasy</td>
<td>500 µg/mL</td>
<td>ELISA</td>
<td>Unconfirmed to NMS</td>
<td>Unconfirmed to NMS</td>
</tr>
<tr>
<td>Methamphetamine</td>
<td>Methamphetamine</td>
<td>1000 ng/mL</td>
<td>GCMS</td>
<td>Unconfirmed to NMS</td>
<td>Unconfirmed to NMS</td>
</tr>
<tr>
<td>Tramadol</td>
<td>Tramadol</td>
<td>1000 ng/mL</td>
<td>GCMS</td>
<td>Unconfirmed to NMS</td>
<td>Unconfirmed to NMS</td>
</tr>
</tbody>
</table>

NOTE: All positive screening results will be confirmed and quantified.

- **ELISA**: Enzyme-Linked Immuno-Sorbent Assay
- **GCMS**: Gas Chromatography/Mass Spectrometry
- **H2-GC**: Headspace - Gas Chromatography
- **LC/GCMS**: Liquid Chromatography/Mass Spectrometry
- **Conf**: Lowest concentration of drug that can be reported

**Updated: 10/19/16**
Timing of Sample

For most drugs
- Detectable in blood for 4 to 5 half-lives
- Present in urine 2-30 days – depending on drug

Some exceptions
- Inhalants
  - Not in urine at all
  - Present in blood for about an hour after use

- Cannabinoids (Marijuana)
  - In urine for up to approximately 30 days (depends on prior use)

Testing of Sample

Screen test
- Shows presence/absence of drug class
- Needs confirmation for use in court

Confirmation test
- Separate test for each class
- Shows concentrations of individual drugs
- Needs interpretation

Interpretation: Confirmation Results

Substances found
- Active drugs/metabolites
- Inactive metabolites

Concentrations
- Can show possible level of impairment (Blood)
- Can show approximate time of use (Blood and Urine)
- Can determine approximate dosage (Blood)
INSPECT
http://www.in.gov/pla/inspect/

INSPECT reports will help you identify scheduled drugs prescribed to the individual. This is helpful if the person appeared to be intoxicated, but the drug analysis came back as none detected.
Legal Aspects of Breath Testing for Ethanol

Stop for minor traffic violation

Length of detention must be reasonable.

Officer must use least intrusive means reasonably available.


Implied Consent

A person who operates a vehicle impliedly consents to submit to a chemical test as a condition of operating a vehicle in Indiana.

*Chemical test* means an analysis of a person's blood, breath, urine, or other bodily substance for the determination of the presence of alcohol, a controlled substance or its metabolite, or a drug or its metabolite.

Implied Consent Advisement

If the person refuses to submit to a chemical test, you **shall** inform the person that refusal will result in the suspension of the person’s driving privileges.

Recent Indiana cases: *State v. Schulze* (Indiana Court of Appeals, August 2014)

*Burnell v. State* (Indiana Supreme Court, August 2016)

Miranda Warning

Miranda warning must be given when suspect is in custody AND is being interrogated.

Many times, Miranda warning is given after the suspect fails the breath test.

Once subject is in custody, officer should not question subject about vehicle operation, impairment, crash details, etc., until *Miranda* warning is given.

**In custody**

Gray area – Not clearly delineated

Handcuffing suspect is placing “in custody.”

Putting suspect in police car may constitute “in custody.”

Traffic stop and asking subject to get out of car, in and of itself, is not “in custody.”

**Interrogation**

Neither Portable Breath Tests (PBT) nor Field Sobriety Tests (FST) are statements. They alone, therefore, do not constitute an interrogation.

Accordingly, if you do a PBT or a FST without interrogating suspect, you are not required to give the *Miranda* warning.
Similarly, breath and blood samples do not require *Miranda* warning. The implied consent advisement is not interrogation, and IC 9-30-6-3(b) specifically provides that a person’s refusal to submit to a chemical test is admissible into evidence.

**Statements by suspect**

Document admissions and incriminating statements and circumstances under which statements were made.

**Preparation for Testimony**

Review case file

Discuss case with other officers who witnessed or assisted

Mentally organize elements of offense and supporting evidence

Revisit the scene if appropriate

Discuss case with assigned prosecutor

**During Testimony**

Provide specific descriptive details

Avoid vague language

**Testimony Regarding Impairment**

Your observations

Standardized field sobriety tests

**Testimony regarding the breath test**

Describe administering the Approved Method

1. Observation time (use same timepiece throughout)

2. Instructions given

3. Subject cooperation or lack of cooperation

4. How results are expressed
Testimony about training

Dates of your certification

Verify dates of certification with your identification card covering the period in question.

Keep current identification card with you and save all old/expired cards.

Topics taught in Training Course for Breath Test Operator Certification

This course has covered the areas required by 260 IAC 2-2-2:

1. The pharmacology and toxicology of ethanol
2. The legal aspects of breath testing for ethanol
3. The theory, operation, and care of breath test equipment
4. The use of a breath test instrument using known ethanol-water or ethanol-gas standards

Questions officers lack expertise to answer in testimony

Certification process

How instruments are certified

When instrument was last certified

Any questions regarding instrument certification materials or process

Expert testimony regarding pharmacology/toxicology of ethanol

Effect of ethanol

How much ethanol results in impairment

Mechanics of instrument operation and maintenance

How the instrument operates

How/when maintenance is done

Any other questions relating to repair and/or maintenance of instrument

“I don’t know.”

Do not volunteer more information than necessary to answer questions asked.

Focus on answering questions succinctly
Other Issues/Relevant Statutes

IC 9-30-5 and prima facie evidence of intoxication

1. 0.08 g. of alcohol per 100 ml. of blood or 210 liters of breath

2. 0.15 g. of alcohol per 100 ml. of blood or 210 liters of breath

If a chemical test was administered within 3 hours of the time probable cause was developed, the Indiana code establishes a rebuttable presumption that the breath or blood alcohol content test result is the subject’s breath or blood alcohol content at the time of vehicle operation.

The law also establishes a rebuttable presumption that a person who operates a vehicle with at least 0.080 breath or blood alcohol content is intoxicated. Because this presumption is rebuttable, however, evidence in addition to the chemical test result is required to prove the impairment element of the charge of operating a vehicle while intoxicated.

IC 9-30-7 – implied consent for accident involving serious injury or death

“A law enforcement officer shall offer a portable breath test or chemical test to any person who the officer has reason to believe operated a vehicle that was involved in a fatal accident or an accident involving serious bodily injury.” (IC 9-30-7-3)

Blood search warrants

Metzger v. State, 6 N.E.3d 485 (Indiana Court of Appeals, 2014)

IC 34-47-3-1 Disobedience of process or order

Missouri v. McNeely (U.S. Supreme Court, 2013)

IC 9-30-6-6(a) Subpoenas for hospital blood samples/test results:

If medical personnel take a sample during the course of normal treatment, the sample or test results shall be provided to an officer who requests them as part of a criminal investigation even if the patient does not consent.

Indiana law exempts medical personnel from civil and criminal liability for providing law enforcement with a sample or test result under these circumstances.

IC 9-36-11-5 Defendant waives right to confront and cross-examine analyst if prosecuting attorney files notice of intent to introduce lab report not later than 20 days before trial and defendant does not file demand for cross-examination within required time.
**Instrumentation and Approved Method for Breath Analysis**

**Intox EC/IR II**

NHTSA-approved as an evidentiary breath alcohol instrument

**Theory of Operation**

- EC = Electrochemical (fuel cell)
- IR = Infrared

- Intox EC/IR II uses fuel cell technology to measure amount of ethanol in a sample
- Intox EC/IR II uses infrared technology to detect mouth alcohol

The infrared system tracks the ethanol concentration in the sample in near real time to detect the presence of mouth alcohol, but does not produce a BAC measurement

- If mouth alcohol is present, the IR system will detect that there is a higher ethanol concentration in the subject’s mouth air than in the subject’s deep lung air
**Intox EC/IR II**

When a breath sample containing ethanol is introduced into the fuel cell sample port, an electrochemical reaction occurs. Measurement of the electrical current produced indicates the amount of ethanol consumed by the fuel cell.

The fuel cell is specific to alcohol, but not specific to ethanol.

- Intox EC/IR II detects methanol and isopropanol (alcohols other than ethanol) as interferents.

Acetone is not a fuel for the fuel cell, so the fuel cell does not react to it.

**Accuracy Checks**

The Intox EC/IR II performs a calibration (accuracy) check before and after each breath test by testing a sample from an internal dry gas tank containing a certified value of ethanol and nitrogen.

Instrument will disable if result of each calibration check is not within 0.005 or 5%, whichever is greater, of the target value*.

*Target value = the certified value of the ethanol and nitrogen standard (dry gas in the instrument’s internal tank) adjusted for the ambient barometric pressure

- Ethanol molecules in dry gas are affected by ambient barometric pressure: high pressure keeps the molecules closer together, resulting in a higher ethanol measurement; low pressure allows the molecules to spread, resulting in a lower ethanol measurement

***The target value is listed on the instrument report as “Dry Gas Target.”***

The Intox EC/IR II adjusts for this effect by measuring the ambient barometric pressure to determine a target value for itself when it measures the ethanol in its internal dry gas tank.
Care and Service of the Intox EC/IR II

Instrument should be left turned on 24/7

- Any person can turn instrument on or off***
  
  ***But this should only be done if absolutely necessary

Only persons authorized by director of ISDT may make changes that affect instrument calibration

Instrument should not be operated in environments heavy with alcohol vapor, cigarette smoke, high levels of radio frequencies, or magnetic interference.

- Intox EC/IR II is designed so that none of these environmental conditions will affect test results
- Prolonged exposure to these conditions may shorten the life of the fuel cell

Instrument displays a status message indicating the condition when:

- it fails a calibration check
- it malfunctions
- the dry gas tank is low

- If this occurs, notify ISDT

Other Intox EC/IR II status messages

Maximum Flow Exceeded

Potential cause: The subject blew with too much force.

Check Ambient Conditions

Potential cause: The breath tube is too close to the subject. The instrument may be detecting alcohol in the ambient air from the subject exhaling alcohol near the breath tube.

Instrument Service

To request service of an instrument, complete and email the service request form on the State Department of Toxicology website or call ISDT at 317-921-5000.

Provide the following information:

- Officer’s name (or name of contact person at instrument location)
- Instrument location
- Instrument serial number
- Description of any issues and status messages displayed or printed on instrument reports.

An inspector will be notified as soon as possible and will contact the instrument location.
Approved method for Intox EC/IR II

The approved method that shall be followed in making an analysis of breath for ethanol using the Intox EC/IR II breath test instrument has twelve steps. (260 IAC 2-4-2)

*These are rules, not guidelines.*

- **STEP ONE:** Person to be tested must:
  - have had nothing to eat or drink,
  - not have put any foreign substance into mouth or respiratory tract, and
  - not smoke within 15 minutes before time first breath sample is taken or at any time from first breath sample until after final breath sample
  - Fifteen-minute period can begin before subject arrives at testing site

One of the common challenges to breath test results is that the subject burped or vomited prior to the test, causing an elevated breath ethanol level. Observe the subject during the 15-minute waiting period, and record your observations, including “nothing unusual.” If the subject burps or vomits during the 15-minute period, begin a new 15-minute period, or take the subject for a blood test.

- **STEP TWO:** Verify that instrument is in ready mode, as indicated by instrument display
  - Check to see that the printer is online and has paper.

- **STEP THREE:** Press “Enter” key to start subject test
• **STEP FOUR**: Insert identification card into barcode reader, or press “Enter” key and use keyboard to enter breath test operator information requested by instrument display

All of the information scanned from the operator ID card may be edited by using the instrument keyboard. Any text that is highlighted on the instrument display may be edited; e.g., a last name change or a department change.

• **STEP FIVE**: When requested by instrument display, enter beginning date and time of the 15-minute period

Format for date is MM/DD/YYYY
Format for time is HH:MM (military time)

Instrument will calculate 15 minutes from the beginning time entered by the operator. If the beginning time entered was not \( \geq \) 15 minutes ago, instrument will delay start of test sequence until 15 minutes have elapsed from the beginning time entered. Examples: If beginning time entered was 10 minutes ago, instrument will wait for 5 minutes before starting the test sequence. If beginning time entered was 30 minutes ago, instrument will begin the test sequence.
• STEP SIX: When requested by instrument display, select “Y” or “N” to indicate whether operator is officer with control of subject during the 15-minute period
• STEP SEVEN: If “N” is selected in STEP SIX, when requested by instrument display, enter information of officer with control of subject during the 15-minute period

• STEP EIGHT: Enter incident information requested by instrument display
  
  Use spacebar to move between “Reason for Test:” options
• STEP NINE: Enter subject information requested by instrument display by:
  
  ➢ inserting subject’s driver/operator license or identification card into barcode reader or

  ➢ pressing “Enter” key and using keyboard to enter available subject information requested by instrument display

  Scanned DL info cannot be edited by keyboard

• STEP TEN: When “Please blow” appears on instrument display, place new mouthpiece in breath tube. Instruct subject to deliver a breath sample. Remove mouthpiece when prompted by instrument display and discard.

  Do not allow the test subject to handle the breath tube.

  Instruct the subject: “Take a deep breath and hold it, make a tight seal around the mouthpiece, and then blow long and steady until I tell you to stop.”

  If minimum flow is not reached within 3 minutes from time that “Please blow”/”Press ‘R’ for refusal” is displayed, instrument will display “Refusal? [Y/N].” The 3-minute timer resets after each “Insufficient Sample.” If this occurs 3 times, test sequence ends.

  Removal of Mouthpiece: The approved method requires the removal of the mouthpiece from the breath tube in order to ensure that there will not be a mouthpiece on the breath tube during the instrument’s Purge/Blank cycle, which could result in a failed Blank Check. In order to ensure compliance with this requirement, you may remove the mouthpiece after each delivery or each attempted delivery of each breath sample without waiting for the prompt by the instrument display.
• **STEP ELEVEN:** When “Please blow” appears again on instrument display, place new mouthpiece in breath tube. Instruct subject to deliver a breath sample. Remove mouthpiece when prompted by instrument display and discard.

After delivery of the first sample there is a 2-minute delay before the next “Purging Remove Mouthpiece” prompt.

• **STEP TWELVE:** Print instrument report and remove from printer; check report for numerical value of subject’s breath ethanol concentration and correct date and time and **sign report** where indicated.
Two-test sequence with 0.020 agreement

Intox EC/IR-II: Subject Test

ISDT 550 W. 16th Street Indianapolis, IN 46202

Serial Number: 011082  Test Number: 47
Test Date: 08/07/2013  Test Time: 10:50 EDT

Operator Name: Bunion, Paul R
Operator Certification Number: G99999
Agency Name: Skyville
Observation Began: 08/07/2013 at 10:40
Observer Name: Bunion, Paul R
Driver License Number: 123456789
Subject Name: Sober, Stone
Subject D.O.B.: 05/31/1961

Dry Gas Target: 0.077
Lot Number: AG317601  Tank Number: 4  Exp Date: 06/05/2015

System Check: Passed internal diagnostics

<table>
<thead>
<tr>
<th>Test</th>
<th>g/210L</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:00</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:01</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:02</td>
</tr>
<tr>
<td>SUBJ</td>
<td>0.120</td>
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<tr>
<td>BLK</td>
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<tr>
<td>SUBJ</td>
<td>0.118</td>
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<tr>
<td>BLK</td>
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<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:08</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:09</td>
</tr>
</tbody>
</table>

Test Status Sample Complete

RESULT: 0.118 g/210L subject’s breath ethanol content
11:06 EDT, 08/07/2013 (the lower of the two results)

ALCOHOL READINGS ARE EXPRESSED AS GRAMS OF ALCOHOL PER 210 LITERS OF BREATH

System Check” is a set of internal diagnostics that looks at the baselines of all the instrument sensors. Although only the first system check appears on the instrument report, the instrument performs a system check before each function in the test sequence (i.e., before every blank check, every accuracy check, every subject test).

You may use this instrument report.
Approved method for Intox EC/IR II
260 IAC 2-4-2(b)(1):

- If “Please blow” appears on instrument display after completion of STEPS ONE through ELEVEN, perform an additional breath test, beginning with STEP ELEVEN

  The instrument prompts for an additional test when the BAC results of the two previous tests in the sequence are not within 0.020 of each other.

- If “No 0.020 Agreement”*** is printed on report after this additional test:
  - perform an additional breath test, beginning with STEP TWO and proceeding through STEP TWELVE;
  - obtain an alternate chemical test for ethanol, or
  - perform a breath test on another instrument

*** Example: If the first test result is 0.130 and the second result is 0.100, the instrument will prompt for a third sample.

Three-test sequence with 0.020 agreement

[test omitted]
Dry Gas Target: 0.077
Lot Number: AG317601 Tank Number: 4 Exp Date: 06/05/2015
System Check: Passed

<table>
<thead>
<tr>
<th>Test</th>
<th>g/210L</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:00</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
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</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:11</td>
</tr>
</tbody>
</table>

Test Status Sample Complete
RESULT: 0.100 g/210L (lower of the two results within 0.020 of each other is reported)

The lower of the two results within 0.020 of each other is reported as the subject’s BAC.

You may use this instrument report.
Three-test sequence with no 0.020 agreement

Intox EC/IR-II: Subject Test

ISDT 550 W. 16th Street Indianapolis, IN 46202

Serial Number: 011082  Test Number: 47  Test Date: 08/07/2013  Test Time: 10:50 EDT

Operator Name: Bunion, Paul R  Operator Certification Number: G99999
Agency Name: Skyville
Observation Began: 08/07/2013 at 10:40
Observer Name: Bunion, Paul R
Driver License Number: 123456789
Subject Name: Sober, Stone
Subject D.O.B.: 05/31/1961

Dry Gas Target: 0.077
Lot Number: AG317601 Tank Number: 4  Exp Date: 06/05/2015

System Check: Passed

<table>
<thead>
<tr>
<th>Test</th>
<th>g/210L</th>
<th>Time</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:00</td>
<td>blank check</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:01</td>
<td>calibration check</td>
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<tr>
<td>BLK</td>
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</tr>
<tr>
<td>SUBJ</td>
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<td>11:03</td>
<td>1st subject sample test</td>
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<td>SUBJ</td>
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<td>2nd subject sample test</td>
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<td>SUBJ</td>
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<td>3rd subject sample test</td>
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<td>blank check</td>
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<tr>
<td>CHK</td>
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<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:11</td>
<td>blank check</td>
</tr>
</tbody>
</table>

Test Status No 0.020 Agreement

RESULT: *.*.* g/210L  no BAC reported 11:08 EDT, 08/07/2013

ALCOHOL READINGS ARE EXPRESSED AS GRAMS OF ALCOHOL PER 210 LITERS OF BREATH

___________________
Operator Signature

You may not use this instrument report to determine subject BAC.
Approved method for Intox EC/IR II
260 IAC 2-4-2(b)(2):

- If “Interfering Substance” is printed on report, perform an additional breath test beginning with STEP ONE and proceeding through STEP TWELVE

  Another 15-minute waiting period is required before beginning an additional breath test.

- If “Interfering Substance” is printed on report after this additional test sequence:
  - obtain an alternate chemical test for ethanol;
  - perform a breath test on another instrument, or
  - if a numerical value for subject’s BAC is printed on a report, check for correct date and time and sign where indicated

Test sequence with Interfering Substance on first subject sample

[Text omitted]

Dry Gas Target: 0.077
Lot Number: AG317601 Tank Number: 4 Exp Date: 06/05/2015

System Check: Passed
[internal diagnostics]

<table>
<thead>
<tr>
<th>Test</th>
<th>g/210L</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:00</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:01</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:02</td>
</tr>
<tr>
<td>SUBJ</td>
<td><em>.</em>**</td>
<td>11:03</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:04</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:05</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:06</td>
</tr>
</tbody>
</table>

Test Status *.*** Interfering Substance
RESULT: *.*** g/210L no BAC reported
[Text omitted]

If you get an “Interfering Substance” on the first test of a sequence, the sequence will end, and the result will be “Interfering Substance.”

You may not use this instrument report.
Test sequence with Interfering Substance on second subject sample

**Intox EC/IR-II: Subject Test**

ISDT 550 W. 16th Street Indianapolis, IN  46202

Serial Number: 011082    Test Number: 47
Test Date: 08/07/2013    Test Time: 10:50 EDT

Operator Name: Bunion, Paul R
Operator Certification Number: G99999
Agency Name: Skyville
Observation Began: 08/07/2013 at 10:40
Observer Name: Bunion, Paul R
Driver License Number: 123456789
Subject Name: Sober, Stone
Subject D.O.B.: 05/31/1961

Dry Gas Target: 0.077
Lot Number: AG317601 Tank Number: 4 Exp Date: 06/05/2015

System Check: Passed

<table>
<thead>
<tr>
<th>Test</th>
<th>g/210L</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
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<td>11:00</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:01</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:02</td>
</tr>
<tr>
<td>SUBJ</td>
<td>0.120</td>
<td>11:03</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:06</td>
</tr>
<tr>
<td>SUBJ <em>.</em>.**</td>
<td>11:06</td>
<td>2nd subject sample test</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:07</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:08</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:09</td>
</tr>
</tbody>
</table>

Test Status *.*.** Interfering Substance

RESULT: 0.120 g/210L  subject’s BAC
11:03 EDT, 08/07/2013

ALCOHOL READINGS ARE EXPRESSED AS GRAMS OF ALCOHOL PER 210 LITERS OF BREATH

You may not use this instrument report unless you complete a second breath test as specified in the Approved Method, beginning with a 15-minute waiting period.
Approved method for Intox EC/IR II
260 IAC 2-4-2(b)(3):

- If “RFI Detected” is printed on report, locate and remove source of interference, and perform an additional breath test, beginning with STEP TWO and proceeding through STEP TWELVE

  **Another 15-minute waiting period is not required**

- If “RFI Detected” is printed on report after this additional test sequence:
  - obtain an alternate chemical test for ethanol;
  - perform a breath test on another instrument, or
  - if a numerical value for subject’s BAC is printed on a report, check for correct date and time and sign where indicated

***Intox EC/IR II case construction provides “Faraday Cage” immunity

**Test sequence with RFI Detected on first subject sample**

```
Dry Gas Target: 0.077
Lot Number: AG317601 Tank Number: 4 Exp Date: 06/05/2015
System Check: Passed internal diagnostics

<table>
<thead>
<tr>
<th>Test</th>
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<tr>
<td>CHK</td>
<td>0.076</td>
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</tr>
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<td>0.000</td>
<td>11:02</td>
</tr>
<tr>
<td>SUBJ</td>
<td><em>.</em>**</td>
<td>11:03</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:04</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:05</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:06</td>
</tr>
</tbody>
</table>

Test Status *.*** RFI Detected
RESULT: *.*** g/210L no BAC reported
```

If you get an “RFI Detected” on the first test of a sequence, the sequence will end, and the result will be “RFI Detected.”

You may not use this instrument report.
Test sequence with RFI Detected on second subject sample

**Intox EC/IR-II: Subject Test**

ISDT 550 W. 16th Street Indianapolis, IN  46202

Serial Number: 011082  Test Number: 47  
Test Date: 08/07/2013  Test Time: 10:50 EDT

Operator Name: Bunion, Paul R  
Operator Certification Number: G99999  
Agency Name: Skyville  
Observation Began: 08/07/2013 at 10:40  
Observer Name: Bunion, Paul R  
Driver License Number: 123456789  
Subject Name: Sober, Stone  
Subject D.O.B.: 05/31/1961

Dry Gas Target: 0.077  
Lot Number: AG317601  Tank Number: 4  Exp Date: 06/05/2015

System Check: Passed ➰ *internal diagnostics*

<table>
<thead>
<tr>
<th>Test</th>
<th>g/210L</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:00</td>
</tr>
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<td>0.076</td>
<td>11:01</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:02</td>
</tr>
<tr>
<td>SUBJ</td>
<td>0.120</td>
<td>11:03</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:06</td>
</tr>
<tr>
<td>SUBJ</td>
<td><em>.</em>**</td>
<td>11:06</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
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</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:08</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:09</td>
</tr>
</tbody>
</table>

Test Status *.*** RFI Detected

RESULT: 0.120 g/210L ➰ *subject’s BAC*  
11:03 EDT,  
08/07/2013

ALCOHOL READINGS ARE EXPRESSED AS GRAMS OF ALCOHOL  
PER 210 LITERS OF BREATH

You may not use this instrument report unless you complete a second breath test as specified in the Approved Method. Another 15-minute waiting period is not required.
Approved method for Intox EC/IR II
260 IAC 2-4-2(b)(4):

- If “Mouth Alcohol” is printed on report, perform an additional breath test, beginning with STEP ONE and proceeding through STEP TWELVE

**Another 15-minute waiting period is required**

- If “Mouth Alcohol” is printed on report after this additional test sequence:
  - obtain an alternate chemical test for ethanol;
  - perform a breath test on another instrument, or
  - if a numerical value for subject’s BAC is printed on a report, check for correct date and time and sign where indicated

Test sequence with Mouth Alcohol on first subject sample

```
[log omitted]
Dry Gas Target: 0.077
Lot Number: AG317601 Tank Number: 4 Exp Date: 06/05/2015

System Check: Passed  internal diagnostics

<table>
<thead>
<tr>
<th>Test</th>
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<tbody>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:00</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:01</td>
</tr>
<tr>
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<td>0.000</td>
<td>11:02</td>
</tr>
<tr>
<td>SUBJ</td>
<td><em>.</em>.*</td>
<td>11:03</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:04</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:05</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:06</td>
</tr>
</tbody>
</table>

Test Status: *.*.* Mouth Alcohol
RESULT: *.*.* g/210L <no BAC reported>
```

If you get a “Mouth Alcohol” on the first test of a sequence, the sequence will end, and the result will be “Mouth Alcohol.” You may not use this instrument report.
Test sequence with Mouth Alcohol on second subject sample

**Intox EC/IR-II: Subject Test**

ISDT 550 W. 16th Street Indianapolis, IN 46202

Serial Number: 011082  Test Number: 47
Test Date: 08/07/2013 Test Time: 10:50 EDT

Operator Name: Bunion, Paul R
Operator Certification Number: G99999
Agency Name: Skyville
Observation Began: 08/07/2013 at 10:40
Observer Name: Bunion, Paul R
Driver License Number: 123456789
Subject Name: Sober, Stone
Subject D.O.B.: 05/31/1961

Dry Gas Target: 0.077
Lot Number: AG317601 Tank Number: 4 Exp Date: 06/05/2015

**System Check: Passed**

Test | g/210L | Time | Description
--- | --- | --- | ---
BLK | 0.000 | 11:00 | blank check
CHK | 0.076 | 11:01 | calibration check
BLK | 0.000 | 11:02 | blank check
SUBJ | 0.120 | 11:03 | 1st subject sample test
BLK | 0.000 | 11:06 | blank check
SUBJ | *.*.*.* | 11:06 | 2nd subject sample test
BLK | 0.000 | 11:07 | blank check
CHK | 0.076 | 11:08 | calibration check
BLK | 0.000 | 11:09 | blank check

Test Status *.*.*.* Mouth Alcohol

RESULT: 0.120 g/210L **subject’s BAC**

11:03 EDT, 08/07/2013

ALCOHOL READINGS ARE EXPRESSED AS GRAMS OF ALCOHOL PER 210 LITERS OF BREATH

---

Operator Signature

You may **not** use this instrument report unless you complete a second breath test as specified in the Approved Method, beginning with a 15-minute waiting period.
Approved method for Intox EC/IR II
260 IAC 2-4-2(5)

- If “Insufficient Sample” or “Time Out” is printed on report, perform an additional breath test, beginning with STEP TWO and proceeding through STEP TWELVE

**Another 15-minute waiting period is not required**

- If “Insufficient Sample” or “Time Out” is printed on report after this additional test sequence:
  - obtain an alternate chemical test for ethanol;
  - perform a breath test on another instrument, or
  - if a numerical value for subject’s BAC is printed on a report, check for correct date and time and **sign where indicated**

- If “Insufficient Sample” or “Time Out” is caused by subject’s lack of cooperation, operator should record that test was refused

- If a numerical value for subject’s BAC is printed on a report, check for correct date and time and **sign where indicated**.

**Test sequence with Insufficient Sample on first subject sample**

```
[Text omitted]
Dry Gas Target: 0.077
Lot Number: AG317601 Tank Number: 4 Exp Date: 06/05/2015
System Check: Passed internal diagnostics

<table>
<thead>
<tr>
<th>Test</th>
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<tr>
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<td>11:02</td>
</tr>
<tr>
<td>SUBJ</td>
<td><em>.</em>**</td>
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</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
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<td>11:05</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:06</td>
</tr>
</tbody>
</table>

Test Status *.*** Insufficient Sample

RESULT: *.*** g/210L ← no BAC reported
[Text omitted]
```

If you get an “Insufficient Sample” or “Time Out” on the first test of a sequence, the sequence will end, and the result will be “Insufficient Sample” or “Time Out.” You may **not** use this instrument report.
Test sequence with Insufficient Sample on second subject sample

**Intox EC/IR-II: Subject Test**

ISDT 550 W. 16th Street Indianapolis, IN 46202

Serial Number: 011082  Test Number: 47
Test Date: 08/07/2013  Test Time: 10:50 EDT

Operator Name: Bunion, Paul R
Operator Certification Number: G99999
Agency Name: Skyville
Observation Began: 08/07/2013 at 10:40
Observer Name: Bunion, Paul R
Driver License Number: 123456789
Subject Name: Sober, Stone
Subject D.O.B.: 05/31/1961

Dry Gas Target: 0.077
Lot Number: AG317601 Tank Number: 4 Exp Date: 06/05/2015

System Check: Passed  

<table>
<thead>
<tr>
<th>Test</th>
<th>g/210L</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:00</td>
</tr>
<tr>
<td>CHK</td>
<td>0.076</td>
<td>11:01</td>
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<td>BLK</td>
<td>0.000</td>
<td>11:02</td>
</tr>
<tr>
<td>SUBJ</td>
<td>0.120</td>
<td>11:03</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:06</td>
</tr>
<tr>
<td>SUBJ</td>
<td><em>.</em></td>
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</tr>
<tr>
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</tr>
<tr>
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<td>0.076</td>
<td>11:08</td>
</tr>
<tr>
<td>BLK</td>
<td>0.000</td>
<td>11:09</td>
</tr>
</tbody>
</table>

Test Status *.* Insufficient Sample

RESULT: 0.120 g/210L  
11:04 EDT, 08/07/2013  

ALCOHOL READINGS ARE EXPRESSED AS GRAMS OF ALCOHOL PER 210 LITERS OF BREATH

---

You may not use this instrument report unless you complete a second breath test as specified in the Approved Method. Another 15-minute waiting period is not required.
Alternate Test

This is a blood test. The sample must be taken by a medical person, but a hospital is not needed.

The drawing of the subject’s blood should be witnessed by an officer.

Print Last Test

Press “P” (for “Print”)

Type in Password “OPER”

Press “Enter” key

Press “Space” bar to print

Will print only the last test in the instrument memory

Maximum BrAC Result

Intox EC/IR II measures up to 0.440 BrAC

If subject BrAC is >0.440, instrument will display “Sample Over Range”

Get blood if this happens
Laboratory Exercises

You will be required to submit the following instrument reports at the completion of these exercises:

Exercise 1: Personal breath test with duplicate copy

Exercise 2: Subject breath test

Exercise 3: Subject (instructor) breath test

Exercise 1: Complete a personal breath test by delivering two acceptable breath samples during a subject test sequence. Print and sign the instrument report. Print a duplicate of this instrument report by use of the password protected “Print Last Test” command.

Exercise 2: Complete a subject test sequence acting as the breath test operator and instructing another student in the delivery of two acceptable breath samples during a subject test sequence.** Print and sign the instrument report.

After completion of the above exercises, turn in your instrument reports to an ISDT instructor, and report to the classroom to take the written examination.

After your completed written examination is graded by an ISDT instructor, report to the laboratory to complete the final laboratory exercise below:

Exercise 3: Complete a subject test sequence acting as the breath test operator and instructing an ISDT instructor in the delivery of two acceptable breath samples during the subject test sequence.** Print, sign, and turn in the instrument report.

** Emphasis should be placed on coaching the test subject on delivery of the samples in order to minimize the occurrence of “Insufficient sample” test results.
260 IAC 2-4-2 Approved method for Intox EC/IR II breath analysis

The approved method that shall be followed in making an analysis of breath for ethanol using the Intox EC/IR II breath test instrument is as follows:

STEP ONE: The person to be tested must:
   (A) have had nothing to eat or drink;
   (B) not have put any foreign substance into his or her mouth or respiratory tract; and
   (C) not smoke;
within fifteen (15) minutes before the time the first breath sample is taken or at any time from the taking of the first breath sample until after the taking of the final breath sample.

STEP TWO: Verify that the instrument is in ready mode, as indicated by the instrument display.

STEP THREE: Press "Enter" key to start subject test.

STEP FOUR: Insert identification card into the barcode reader, or press the "Enter" key and use the keyboard to enter the breath test operator information requested by the instrument display.

STEP FIVE: When requested by the instrument display, enter the beginning date and time of the fifteen (15) minute period described in STEP ONE.

STEP SIX: When requested by the instrument display, select "Y" or "N" to indicate whether the breath test operator is the officer with control of the subject during the fifteen (15) minute period described in STEP ONE.

STEP SEVEN: If "N" is selected in STEP SIX, when requested by the instrument display, enter the information of the officer with control of the subject during the fifteen (15) minute period described in STEP ONE.

STEP EIGHT: Enter incident information requested by the instrument display.

STEP NINE: Enter subject information by:
   (A) inserting the subject's driver/operator license or identification card into the barcode reader; or
   (B) pressing the "Enter" key and using the keyboard to enter the available subject information requested by the instrument display.

STEP TEN: When "Please blow" appears on the instrument display, place a new mouthpiece in the breath tube. Instruct the subject to deliver a breath sample. Remove mouthpiece when prompted by the instrument display and discard.

STEP ELEVEN: When "Please blow" appears again on the instrument display, place a new mouthpiece in the breath tube. Instruct the subject to deliver a breath sample. Remove mouthpiece when prompted by the instrument display and discard.

STEP TWELVE: Print the instrument report and remove it from the printer; check the instrument report for the numerical value of the subject's breath ethanol concentration and the correct date and time and sign the instrument report where indicated.

OVER
If any of the following messages appear on the instrument display or report, proceed as follows:

(1) If "Please blow" appears on the instrument display after completion of STEPS ONE through ELEVEN, perform an additional breath test, beginning with STEP ELEVEN. If "No 0.020 Agreement" is printed on the instrument report after this additional breath test:

(A) perform an additional breath test, beginning with STEP TWO and proceeding through STEP TWELVE;
(B) obtain an alternate chemical test for ethanol; or
(C) perform a breath test on another breath test instrument.

(2) If "Interfering Substance" is printed on the instrument report, perform an additional breath test, beginning with STEP ONE and proceeding through STEP TWELVE. If "Interfering Substance" is printed on the instrument report after this additional breath test:

(A) obtain an alternate chemical test for ethanol;
(B) perform a breath test on another breath test instrument; or
(C) if a numerical value for the subject's breath ethanol concentration is printed on any instrument report, check the instrument report for the correct date and time and sign the instrument report where indicated.

(3) If "RFI Detected" is printed on the instrument report, locate and remove the source of the interference and perform an additional breath test, beginning with STEP TWO and proceeding through STEP TWELVE. If "RFI Detected" is printed on the instrument report after this additional breath test:

(A) obtain an alternate chemical test for ethanol;
(B) perform a breath test on another breath test instrument; or
(C) if a numerical value for the subject's breath ethanol concentration is printed on any instrument report, check the instrument report for the correct date and time and sign the instrument report where indicated.

(4) If "Mouth Alcohol" is printed on the instrument report, perform an additional breath test, beginning with STEP ONE and proceeding through STEP TWELVE. If "Mouth Alcohol" is printed on the instrument report after this additional breath test:

(A) obtain an alternate chemical test for ethanol;
(B) perform a breath test on another breath test instrument; or
(C) if a numerical value for the subject's breath ethanol concentration is printed on any instrument report, check the instrument report for the correct date and time and sign the instrument report where indicated.

(5) If "Insufficient Sample" or "Time Out" is printed on the instrument report, perform an additional breath test, beginning with STEP TWO and proceeding through STEP TWELVE. If "Insufficient Sample" or "Time Out" is printed on the instrument report after this additional breath test:

(A) obtain an alternate chemical test for ethanol;
(B) perform a breath test on another breath test instrument; or
(C) if a numerical value for the subject's breath ethanol concentration is printed on any instrument report, check the instrument report for the correct date and time and sign the instrument report where indicated.

If an "Insufficient Sample" or "Time Out" message is caused by the lack of cooperation of the subject, the breath test operator should record that the test was refused and, if a numerical value for the subject's breath ethanol concentration is printed on any instrument report, check the instrument report for the correct date and time and sign the instrument report where indicated.